

Persons who address the board are reminded that the board may not take formal action on matters that are not part of the meeting agenda, and, may not discuss or deliberate on any topic that is not specifically named in the agenda that was posted 72 hours in advance of the meeting today. For any non-agenda topic that is introduced during this meeting, there are only three permissible responses: 1) to provide a factual answer to a question, 2) to cite specific Board of Trustees policy relevant to the topic, or 3) to place the topic on the agenda of a subsequent meeting.

Speakers shall direct their presentations ONLY to the Board Chair or the Board as a whole.

**MEETING OF THE BOARD OF TRUSTEES
DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
AND RICHLAND COLLEGIATE HIGH SCHOOLS**

**District Office
1601 South Lamar Street
Lower Level, Room 007
Dallas, TX 75215
Tuesday, January 5, 2010
4:00 PM**

AGENDA

- I. Certification of Posting of Notice of the Meeting Wright Lassiter
- II. Citizens Desiring to Address the Board Regarding Agenda Items
- III. Enrollment, Budget, and Related Operational Updates about Richland Collegiate High School of Mathematics, Science, and Engineering (opened Fall 2006) and Planning Update about Richland Collegiate High School for Visual, Performing and Digital Arts (opening Fall 2010) – Dr. Kathryn Eggleston, acting superintendent
- IV. Opportunity for Chancellor and Board Members to Declare Conflicts of Interest Specific to this Agenda (pp. 6-11)
- V. Consideration of bids
 1. Recommendation to increase award to Pecos Construction/Hill & Wilkinson for design-build adaptive remodel construction at Eastfield College by \$100,000 to new maximum of \$4,412,542. Original award was \$2,920,037.
 2. Recommendation for award to DCC, Inc., low bid, in the amount of \$159,621.11 for swimming pool upgrades at Mountain View College.
 3. Recommendation for award to Progressive Roofing, Inc., low bid, in the amount of \$139,000 for gymnasium roof replacement at Mountain View College.
 4. Recommendation for a price agreement with Think Education

Solutions, LLC., only proposal, in the amount of \$43,000 (3-year estimate) for a scholarship management system to be used district-wide.

5. Recommendation for a price agreement with The Tape Company, only bid, in the amount of \$31,000 (20-month estimate) for audio and video blank recording media to be used district-wide.

- VI. Consent Agenda: If a trustee wishes to remove an item from the consent agenda, it will be considered at this time.

Minutes

6. Approval of Minutes of the December 1, 2009 Work Session Meeting
7. Approval of Minutes of the December 1, 2009 Regular Meeting
8. Approval of Minutes of the December 15, 2009 Audit Committee Meeting
9. Approval of Minutes of the December 15, 2009 Special Meeting

Policy Reports

10. Approval of Bond Order for Dallas County Community College District General Obligation Bonds, Series 2010

Curriculum Reports

11. Approval of Three Awards in Commercial Building Energy Performance Technology for Cedar Valley College

Building and Grounds Reports

12. Approval of Amendment to Agreement with Hellmuth, Obata + Kassabaum, L.P.
13. Approval of Change Order with Accord Construction, Inc.
14. Approval of Agreement with Neel-Schaffer

Financial Reports

15. Approval of Expenditures for November 2009
16. Approval of Resolution for Agreement Related to the Texas Investment Provider Selection Committee (TIPSC)
17. Approval of Membership in North Central Texas Regional Certification Agency
18. Approval of Interlocal Contract for Services Provided by DCCCD to Dallas County Hospital District
19. Approval of Amendment to Agreement with The University of Texas at Arlington
20. Approval of Agreement with Spanish Language Institute (“ASLI”), registered by Mexico’s Secretary of Public Education as the *Instituto*

de Lengua y Estudios Latinoamericanos

21. Approval of Agreement with Grand Prairie AirHogs Professional Baseball Team
22. Approval of Interagency Agreement with The University of Texas at Arlington, Texas Manufacturing Assistance Center

VII. Individual Items

23. Acceptance of Resignation, Retirement and Phased Faculty Retirement
24. Employment of Contractual Personnel
25. Approval of Long-term Sabbatical Leaves for 2010-2011

VIII. Informative Reports

26. Presentation of Current Funds Operating Budget Report for November 2010
27. Presentation of Revised 4th Quarter Investment Transactions
28. Presentation of 1st Quarter Investment Transactions
29. Presentation of 1st Quarter Financial Statements
30. Presentation of DCCCD Foundation, Inc. Report of Gifts and Expenditures on Behalf of DCCCD
31. Receipt of Business and Corporate Contracts
32. Monthly Award and Change Order Summary
33. Payments for Goods and Services
34. Progress Report on Construction Projects
35. Bond Program Report on Projects
36. Facilities Management Project Report
37. Discussion of Internal Control by External Auditor with Staff
38. Distribution of Annual Audited Financial Statements
39. Designated Fund Balance
40. Organizational Structure and Staffing Patterns
41. Central Administration and Educational Program Review
42. Gilliam Collegiate Academy

IX. Questions/Comments from the Board and Chancellor

43. Duties and Ethics of Public Sector Board Members under Texas Law (requested by Trustee Ferguson)
44. Bid requirements - discussion about criteria for establishing specifications such as those in Request for Proposal No. 11592, Custodial Services, in the December 1, 2009, agenda (requested by Trustees Flores and Metzger)

X. Citizens Desiring to Appear Before the Board

- XI. Executive Session: The Board may conduct an executive session as authorized under §551.074 of the Texas Government Code to deliberate on personnel matters, including commencement of annual evaluation of the chancellor and any prospective employee who is noted in Employment of Contractual Personnel.

As provided by §551.072 of the Texas Government Code, the Board of Trustees may conduct an executive session to deliberate regarding real property since open deliberation would have a detrimental effect upon negotiations with a third person.

The Board may conduct an executive session under §551.071 of the Texas Government Code to seek the advice of its attorney on a construction matter at Cedar Valley College in which the duty of the attorney under the Rules of Professional Conduct clearly conflict with the Open Meetings Act.

- XII. Adjournment of Regular Meeting

**CERTIFICATION OF POSTING OF NOTICE JANUARY 5, 2010
REGULAR MEETING OF THE DALLAS COUNTY COMMUNITY
COLLEGE DISTRICT AND RICHLAND COLLEGIATE HIGH SCHOOLS
BOARD OF TRUSTEES**

I, Wright L. Lassiter, Jr., Secretary of the Board of Trustees of the Dallas County Community College District, do certify that a copy of this notice was posted on the 18th day of December, 2009, in a place convenient to the public in the District Office Administration Building, and a copy of this notice was provided on the 18th day of December, 2009, to John F. Warren, County Clerk of Dallas County, Texas, and the notice was posted on the bulletin board at the George Allen Sr. Courts Building, all as required by the Texas Government Code, §551.054.

A handwritten signature in blue ink, appearing to read 'Wright L. Lassiter, Jr.', is positioned above a horizontal line.

Wright L. Lassiter, Jr., Secretary

Chapter 176 of the Texas Local Government Code Disclosure of Certain Relationships with Local Government Officers; Providing Public Access to Certain Information

Chapter 176 of the Texas Local Government Code was approved by the Legislature and it is effective January 2006. In an effort to comply with this law, the District provides annual training to the Board of Trustees, the Superintendent and its employees that are involved in the monitoring and approval of contracts with vendors.

Applicable to:

1. Board of Trustees
2. Superintendent
3. Principal, Director level and above [See Policy DBD Local]
4. Vendors and potential vendors

On May 23, 2005, the Texas Senate passed House Bill No. 914, adding Chapter 176 to the Local Government Code, and imposing new disclosure and reporting obligations on vendors and potential vendors to local government entities beginning on January 1, 2006. This includes School Districts.

Failure to abide by these new statutory requirements can result in possible criminal penalties.

Legal FAQs

The following has been provided by the Texas Association of School Boards

Q: What is HB 914?

A: Adopted by the 79th Legislature, House Bill 914 (HB 914) added chapter 176 to the Texas Local Government Code. HB 914 requires the disclosure of certain conflicts of interest by local government officers and by vendors who sell goods or services to local government entities.

Q: What does HB 914 require from local government officers?

A: HB 914 requires “local government officers” (LGOs) to complete forms disclosing their relationships with actual or potential vendors. In a school district, LGOs must file these forms with the district’s superintendent.

Q: What is a “local government officer”?

A: An LGO is a member of the governing body of a local government entity (LGE). An LGO is also a director, superintendent, administrator, president, or other person designated as the executive officer of the LGE. For school districts, “local government officers” are board members and superintendents.

Q: What are the forms called and where can we find them?

A: The form for LGOs is a conflicts disclosure statement, or “CIS.” The form for vendors is a “questionnaire,” or “CIQ.” The Texas Ethics Commission was charged with developing these forms. The forms are posted at www.ethics.state.tx.us/whatsnew/conflict_forms.htm.

Q: When do LGOs have to file CIS forms?

A: An LGO must file a CIS regarding a specific vendor if the LGO has an employment or business relationship with the vendor and the district has contracted with the vendor or is considering doing business with the vendor. The form must be filed within seven days of the date the LGO becomes aware of facts requiring disclosure.

Q: What relationships must be disclosed?

A: An LGO must disclose a relationship with a vendor if the officer or a member of his family (see below) receives taxable income because of an employment or business relationship with the vendor. An LGO must also disclose gifts offered to the LGO or his family members by a vendor within the past 12 months if the value of the gifts was \$250 or more.

Q: What family relationships are covered?

A: For purposes of the disclosure requirements, family relationships include first-degree relatives, both by consanguinity (blood) and by affinity (marriage). This includes the LGO’s parents, children, spouse, the spouses of the LGO’s parents and children, and the parents and children of the LGO’s spouse. See DBE(EXHIBIT).

Q: When does an LGO have to disclose gifts?

A: An LGO must disclose a vendor’s offer of gifts worth \$250 or more. The CIS form requires an LGO to disclose an offer of a gift even if the officer refused the gift. However, an LGO does not have to disclose food, lodging, transportation, or entertainment accepted as a guest, even if the value exceeded \$250.

Q: Does the LGO still have to file the “substantial interest” affidavit under Texas Local Government Code chapter 171?

A: Yes. These are separate and independent requirements. Thus, an LGO who has a substantial interest in a transaction involving the district may need to complete both the CIS and the substantial interest affidavit. See BBFA(LEGAL).

Q: What if I or a family member has an interest-bearing savings account at the district’s depository bank?

A: Under a conservative reading of the statute, an LGO must disclose that he or a family member receives taxable income from the district’s bank, even if the LGO or family member receives only \$.01 of interest income each year. The statute refers to “taxable income” and does not contain a threshold dollar amount. Recently, state representatives Beverly Woolley and John Smithee submitted a request to the attorney general for clarification of several issues, including this one.

Q: What if an LGO owns a business that is entering into a contract with the district?

A: An LGO who owns a business that contracts with the district must file a CIS, in his capacity as a board member or superintendent, and a CIQ, in his capacity as a vendor.

Q: What if the LGO or vendor has nothing to disclose?

A: The statute does not require an LGO to file a CIS if he has nothing to disclose. Unfortunately, however, the statute does not clarify whether vendors with nothing to disclose have to file CIQ with school districts. This is one of the many questions asked in the pending Attorney General request. Until further clarification, vendors may submit “blank” CIQs out of an abundance of caution.

Q: Does HB 914 apply to employees of the district?

A: The only employee to whom the statute directly applies is the superintendent. A board of trustees may extend the disclosure requirements, subject to criminal penalties, to all or a group of district employees. Because of the additional administrative burden this may create, TASB Legal Services recommends that a board consult with its school attorney before extending these requirements to additional employees.

Q: Does an LGO have to file a CIS if one of the LGO's relatives is employed by the district?

A: No. HB 914 does not apply when a district employs a relative of an LGO as a district employee. Such relationships continue to be regulated by the nepotism laws. See BBFB(LEGAL).

Q: What is the penalty for a violation?

A: There is a criminal penalty for failing to file a required disclosure statement. Knowing failure to file the conflicts disclosure statement is a Class C misdemeanor. It is a defense to prosecution if the officer files the statement within seven business days of receiving notice of a violation.

Q: What forms are vendors required to file?

A: An individual or business entity that contracts or seeks to contract for the sale or purchase of property, goods, or services with a district must file a CIQ. This includes individuals and entities that seek to purchase goods and services from school districts, as well as those who seek to sell goods and services to school districts. An "agent" of a vendor in the vendor's business with the district must also file a CIQ.

Q: When and where must a vendor file the CIQ?

A: The CIQ must be filed with the superintendent within seven days of beginning contract negotiations, or submitting an application, bid, response to a request for proposal, correspondence, or other writing related to a potential agreement with a district. The forms must be updated annually.

Q: What should the superintendent do with the forms he receives?

A: The district has a responsibility to make public the information received under this statute. The superintendent must post CIS forms received from LGOs and CIQ forms received from vendors on the district's internet Web site. The superintendent is also responsible for maintaining a list of LGOs at the district and making that list available to the public.

Q: What is the district's obligation to notify vendors of this requirement?

A: The statute does not require school districts or other LGEs to inform vendors of the disclosure requirements, nor does the statute impose a penalty on districts for doing business with vendors who fail to file CIQs. However, the vendors face criminal liability. TASB Legal Services recommends that districts take reasonable

steps to notify vendors of the requirement through bid documents, website postings, and other avenues of communication.

Q: If the district does business with another district or an ESC, does it have to complete a CIQ?

A: No. The State of Texas, a political subdivision of the state, the federal government, and foreign governments are not subject to the disclosure requirements.

Q: Why did TASB send our district a CIQ?

A: In addition to the services and resources TASB provides to school districts as a benefit of membership, TASB provides a number of products and services to school districts and other LGEs for a fee. For this reason, TASB is complying with the new requirements like any other vendor. After the January 1, 2006 effective date of the new requirements, TASB sent school districts and other LGEs its completed CIQ. In many cases, TASB was unable to identify an actual or potential conflict, but TASB submitted a form to ensure compliance. Districts should post the TASB CIQ in the same manner as other CIQs. If you have questions about TASB's CIQ, contact Mary Ann Briley, TASB Associate Executive Director, Member Services, 800-580-8272, extension 3594.

Q: Where can I get more information?

A: In the October 2005 Texas Lone Star, TASB Legal Services overviewed these new requirements. The requirements of House Bill 914 are also reflected in Update 77 at BBFA(LEGAL) and DBD(LEGAL).

February 2006

This document is provided for educational purposes only and contains information to facilitate a general understanding of the law. It is not an exhaustive treatment of the law on this subject nor is it intended to substitute for the advice of an attorney. It is important for you to consult with your own attorneys in order to apply these legal principles to specific fact situations.

DECLARATION OF CONFLICT OF INTEREST

Texas Local Government Code, Chapter 176, provides that local government officers shall file disclosure statements about potential conflict(s) of interest in certain defined circumstances. “Local government officers” are the chancellor and trustees. The penalty for violating Chapter 176 accrues to the chancellor or trustee, not to DCCCD.

Names of providers considered and/or recommended for awards in this agenda appear following this paragraph. If uncertain about whether a conflict of interest exists, the chancellor or trustee may consult with DCCCD Legal Counsel Robert Young.

Advantage USAA, Inc.
ASAP Fence Co.
ASLI – Spanish Language Institute
AuriQ Systems, Inc.
Beldon Roofing Company
Blue Eon Solutions
Castro Roofing of Texas
CNN Imagesource
DCC, Inc.
Friedrich Software Resources, LLC
GAST & Alloy Supply Co.
Grand Prairie Airhogs
GSAT, Inc.
GWS Welding Supply
Info USA Marketing, Inc.
L & C Fence, Inc.
Matheson Tri-Gas
Metroplex Welding Supply, Inc.
MSC Industrial Supply co.
Neel-Schaffer
North Central Texas Regional
Certification Agency
OutReach Systems
Pecos Construction/Hill & Wilkinson
LTD
Progressive Roofing, Inc.
Prozair Distribution, Inc.
Sunbelt Pools, Inc.
Sunnyvale Fence Company

The Dallas County Hospital District
The Tape Company
The University of Texas at Arlington
Think Education Solutions, LLC.

(Tab 1) RECOMMENDATION FOR AMENDMENT OF AWARD –
RFP NO. 11378
DESIGN-BUILD ADAPTIVE REMODEL CONSTRUCTION
AT EASTFIELD COLLEGE

RECOMMENDATION FOR AMENDMENT TO AWARD:

PECOS CONSTRUCTION/HILL & WILKINSON \$100,000
LTD.

COMMENTS: This amendment will increase the contingency to help assure that funding is available to avoid project delays that could arise from any remaining unforeseen conditions or change requirements since this is an adaptive remodel project. The original project contingency has been used or earmarked to complete unforeseen project requirements including the fire sprinkler system in all of building C. This will bring the building in conformance with current life safety standards for fire protection as required by the State and the City of Mesquite.

The attached amended construction budget includes the original and amended Phase I Design Fee, Phase I Preconstruction Fee, Phase II Construction Fee, Phase II Construction Administration Fee, cost for the Design-Builder to perform the general conditions, and the Cost of the Work.

The funds for the amended construction budget for this project will come from savings realized on the other Bond Program construction projects at Eastfield College.

NOTE:

As is the case for all work under the Design-Build contracts, the Design-Builder is required to select the subcontractors for the additional work via an advertised sealed bid process. Further, the guaranteed maximum price may not exceed the budget amount unless specifically approved by the board of trustees.

Administration further recommends the district director of purchasing be authorized to execute contracts for this project.

(Tab 2) RECOMMENDATION FOR AWARD – BID NO. 11670
SWIMMING POOL UPGRADES
MOUNTAIN VIEW COLLEGE

RESPONSE: Of four companies that satisfied the mandatory site visit requirement, two bids were received.

COMPARISON OF BIDS:

DCC, Inc.	\$159,621.11
Sunbelt Pools, Inc.	\$181,096.00

RECOMMENDATION FOR AWARD:

DCC, INC.	\$159,621.11
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LOW BID

COMMENTS: Various components of the pool have reached the end of their useful life and must be replaced or upgraded to assure safe and efficient use of the pool. This project is for mechanical and electrical upgrades which includes replacement of aging water pumps, piping, filter equipment, chemical feed controllers, a flow meter and lighting. Many of the components to be replaced under this project are part of the original campus construction which was completed in 1970.

Administration further recommends the district director of purchasing be authorized to execute contracts for this project.

(Tab 3) RECOMMENDATION FOR AWARD – BID NO. 11678
GYMNASIUM ROOF REPLACEMENT
MOUNTAIN VIEW COLLEGE

RESPONSE: Of 24 companies that attended the mandatory prebid meeting, five bids were received.

COMPARISON OF BIDS:

Progressive Roofing, Inc.		\$139,000
Advantage USAA, Inc.	(alternate)	\$180,698
Advantage USAA, Inc.		\$191,000
Beldon Roofing Company		\$206,100
Castro Roofing of Texas		\$224,400

RECOMMENDATION FOR AWARD:

PROGRESSIVE ROOFING, INC.		\$139,000
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LOW BID

COMMENTS: This project is to remove and/or replace the approximately 13,000 square foot four-ply built-up coal tar pitch roofing system on the gymnasium; new insulation and associated roof drainage components are included. This is the original roof and is almost 40 years old.

Administration further recommends the district director of purchasing be authorized to execute contracts for this project.

(Tab 4) RECOMMENDATION FOR AWARD – RFP NO. 11681
SCHOLARSHIP MANAGEMENT SYSTEM
PRICE AGREEMENT, DISTRICT-WIDE
JANUARY 6, 2010 THROUGH JANUARY 5, 2013

RESPONSE: Requests for proposals were sent to nine companies, and one response was received.

RECOMMENDATION FOR AWARD:

	(3-year estimate)
THINK EDUCATION SOLUTIONS, LLC.	\$43,000

ONLY PROPOSAL

COMMENTS: This is for STARS (Scholarship Tracking and Review System) software licensing, training, and installation services. The system will automate the collection and processing of scholarship applications district-wide, and will meet rigid standards for privacy and security. Students will be able to apply for multiple scholarships simultaneously while staff will be able to accept, process, and award scholarships in a paperless environment. Current status of pending applications will be easily accessible on-line.

The system will handle up to 8,000 active applicants which is expected to meet the needs for the next 3 years. In the event the number of active applicants exceeds 8,000 there will be an incremental one time, license fee of approximately \$2,000 per 1,000 active applicants and an annual support and maintenance fee of approximately \$500 per additional 1,000 active applicants .

Administration further recommends the district director of purchasing be authorized to execute contracts for this project.

(Tab 5) RECOMMENDATION FOR AWARD – BID NO. 11699
AUDIO AND VIDEO BLANK RECORDING MEDIA
PRICE AGREEMENT, DISTRICT-WIDE
JANUARY 6, 2010 THROUGH AUGUST 31, 2011

RESPONSE: Requests for bids were sent to eight companies, and one bid was received.

COMPARISON OF BIDS:

	discount from list price
The Tape Company	25-40%

RECOMMENDATION FOR AWARD:

THE TAPE COMPANY	(20-month estimate)
	\$31,000

ONLY BID

COMMENTS: This price agreement is for blank recording media which includes various brands and types of professional and broadcast quality audio/video recording media and accessories. It is used primarily by the campus Learning Resource Centers, as well as the LeCroy Center. Each ordering location will determine the brand, type, and quantity of recording tape that best satisfies its recording requirements. Award to this company is recommended as they are the current contractor and have performed satisfactorily for many years. Rebidding is not expected to yield a significant improvement in bidder response.

Administration further recommends the district director of purchasing be authorized to execute contracts for this project.

CONSENT AGENDA NO. 6

Approval of Minutes of the December 1, 2009 Work Session Meeting

It is recommended that the Board approve the minutes of the December 1, 2009 Board of Trustees Work Session.

Board Members and Officers Present:

Mrs. Kitty Boyle (arrived 3:24 PM)
Mr. Bob Ferguson
Ms. Diana Flores (Vice Chair)
Dr. Wright Lassiter (Secretary and Chancellor)
Mrs. Martha Sanchez Metzger
Mr. Jerry Prater (Chair)
Mr. JL Sonny Williams

Board Members and Officers Absent: Ms. Charletta Compton

Board Chair Jerry Prater convened the meeting at 3:12 PM. Dr. Wright Lassiter certified to the posting of the meeting notice.

**CERTIFICATION OF POSTING OF NOTICE DECEMBER 1, 2009
WORK SESSION OF THE
DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
AND RICHLAND COLLEGIATE HIGH SCHOOLS
BOARD OF TRUSTEES**

I, Wright L. Lassiter, Jr., Secretary of the Board of Trustees of the Dallas County Community College District, do certify that a copy of this notice was posted on the 24th day of November, 2009, in a place convenient to the public in the District Office Administration Building, and a copy of this notice was provided on the 24th day of November, 2009, to John F. Warren, County Clerk of Dallas County, Texas, and the notice was posted on the bulletin board at the George Allen Sr. Courts Building, all as required by the Texas Government Code, §551.054.



Wright L. Lassiter, Jr., Secretary

Final GO Bond Sale and Build America Bonds presentation

Mr. Bob Estrada and Mr. Jason Hughes briefed the board about applicability of debt ratios to public entities and the final sale from the \$450 million bond program.

Executive Session

There was no executive session.

Adjournment

Board Chair Prater adjourned the meeting at 4:05 PM.

Approved:

A handwritten signature in blue ink, appearing to read "W. Lassiter, Jr.", is written over a horizontal line.

Wright L. Lassiter, Jr., Secretary

CONSENT AGENDA NO. 7

Approval of Minutes of the December 1, 2009 Regular Meeting

It is recommended that the Board approve the minutes of the December 1, 2009 Board of Trustees Regular Meeting.

Board Members and Officers Present:

Mrs. Kitty Boyle
Mr. Bob Ferguson
Ms. Diana Flores (Vice Chair)
Dr. Wright Lassiter (Secretary and Chancellor)
Mrs. Martha Sanchez Metzger
Mr. Jerry Prater (Chair)
Mr. JL Sonny Williams

Board Members and Officers Absent: Ms. Charletta Compton

Board Chair Jerry Prater convened the meeting at 4:21 PM. Dr. Wright Lassiter certified to the posting of the meeting notice.

**CERTIFICATION OF POSTING OF NOTICE DECEMBER 1, 2009
REGULAR MEETING OF THE DALLAS COUNTY COMMUNITY
COLLEGE DISTRICT AND RICHLAND COLLEGIATE HIGH SCHOOLS
BOARD OF TRUSTEES**

I, Wright L. Lassiter, Jr., Secretary of the Board of Trustees of the Dallas County Community College District, do certify that a copy of this notice was posted on the 24th day of November, 2009, in a place convenient to the public in the District Office Administration Building, and a copy of this notice was provided on the 24th day of November, 2009, to John F. Warren, County Clerk of Dallas County, Texas, and the notice was posted on the bulletin board at the George Allen Sr. Courts Building, all as required by the Texas Government Code, §551.054.



Wright L. Lassiter, Jr., Secretary

Citizens Desiring to Address the Board Regarding Agenda Items

There were no citizens desiring to address the board regarding agenda items.

Recognition of the NJCAA Division III National Championship Richland College Women's Soccer Team – Dr. Tony Summers, vice president and athletic director

Dr. Tony Summers presented the NJCAA Division III National Championship Richland College Women's Soccer Team and trophy.

Recognition of the NJCAA Division III National Championship Brookhaven College Women's Volleyball Team – Dr. Richard McCrary, interim president

Dr. Richard McCrary presented the NJCAA Division III National Championship Brookhaven College Women's Volleyball Team and trophy.

Enrollment, Budget, and Related Operational Updates about Richland Collegiate High School of Mathematics, Science, and Engineering (opened Fall 2006) and Planning Update about Richland Collegiate High School for Visual, Performing and Digital Arts (opening Fall 2010) – Donna Walker, deputy superintendent

Ms. Donna Walker, deputy superintendent, provided a status report about RCHS of Mathematics, Science, and Engineering and a planning update about the RCHS for Visual, Performing and Digital Arts.

Opportunity for Chancellor and Board Members to Declare Conflicts of Interest Specific to this Agenda

There were no declarations of conflict of interest.

Consideration of Bids

Mrs. Boyle moved and Mr. Ferguson seconded a motion to approve all bids, except agenda item #3, to be considered separately, and agenda item #9, to be tabled, in the Consideration of Bids section of the agenda. Motion passed. (See December 1, 2009, Board Meeting, Consideration of Bids, Agenda Items #1-10, minus agenda items #3 and #9, which are made a part of and incorporated into the approved minutes as though fully set out in the minutes.)

Mrs. Boyle moved and Mr. Ferguson seconded a motion to approve agenda item #3 in the Consideration of Bids section of the agenda. Motion failed. Ms. Flores moved and Mr. Ferguson seconded a motion to revoke the action taken on agenda item #3. Motion passed. Mrs. Boyle moved and Mr. Ferguson seconded a motion to approve agenda item #3 in the Consideration of Bids section of the agenda. Motion passed. (See December 1, 2009, Board Meeting, Consideration of Bids, Agenda Item #3, which is made a part of and incorporated into the approved minutes as though fully set out in the minutes.)

Consent Agenda

Vice Chair Flores moved and Mrs. Sanchez Metzger seconded a motion to approve recommendations #11-29 in the Consent Agenda. Motion passed. (See December 1, 2009, Board Meeting, Agenda Items #11-29, which are made a part of and incorporated into the approved minutes as though fully set out in the minutes.)

Individual Items

Vice Chair Flores moved and Mrs. Boyle seconded a motion to approve recommendations #30-31, in the Individual Items section of the agenda. Motion passed. (See December 1, 2009, Board Meeting, Agenda Items #30-31, which are made a part of and incorporated into the approved minutes as though fully set out in the minutes.)

Informative Reports

(See December 1, 2009, Board Meeting, Agenda Items #32-40, which are made a part of and incorporated into the approved minutes as though fully set out in the minutes.)

Questions/Comments from the Board and Chancellor

Trustee Flores asked that M/WBE participation per project and overall average be added to future iterations of Informative Report No. 40, Facilities Management Project Report.

Citizens Desiring to Appear Before the Board

There were no citizens desiring to appear before the board.

Executive Session

There was no executive session.

Adjournment

Mr. Ferguson moved and Mrs. Sanchez Metzger seconded a motion to adjourn the meeting. Motion passed. Board Chair Prater adjourned the meeting at 5:39 PM.

Approved:



Wright L. Lassiter, Jr., Secretary

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CONSENT AGENDA NO. 8

Approval of Minutes of the December 15, 2009 Audit Committee Meeting

It is recommended that the Board approve the minutes of the December 15, 2009 Board of Trustees Audit Committee Meeting.

Board Members and Officers Present:

Ms. Charletta Compton
Mr. Bob Ferguson
Ms. Diana Flores (Vice Chair) (arrived at 3:53 PM)
Dr. Wright Lassiter (Secretary and Chancellor)
Mr. Jerry Prater (Chair) (arrived at 4:00 PM)
Mr. JL Sonny Williams

Board Members and Officers Absent: Mrs. Kitty Boyle and Mrs. Martha Sanchez Metzger

Trustee Charletta Compton convened the meeting at 3:10 PM. Dr. Wright Lassiter certified to the posting of the meeting notice.

**CERTIFICATION OF POSTING OF NOTICE DECEMBER 15, 2009
AUDIT COMMITTEE MEETING OF THE
DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
AND RICHLAND COLLEGIATE HIGH SCHOOLS
BOARD OF TRUSTEES**

I, Wright L. Lassiter, Jr., Secretary of the Board of Trustees of the Dallas County Community College District, do certify that a copy of this notice was posted on the 11th day of December, 2009, in a place convenient to the public in the District Office Administration Building, and a copy of this notice was provided on the 11th day of December, 2009, to John F. Warren, County Clerk of Dallas County, Texas, and the notice was posted on the bulletin board at the George Allen Sr. Courts Building, all as required by the Texas Government Code, §551.054.



Wright L. Lassiter, Jr., Secretary

Communications Related to the Audit

Mr. Ben Kohnle and Mr. Jim Forson and committee members discussed responsibilities, audit approach and focus, reports to be issued, results of audit and single audit, financial highlights, required auditor communications, Grant Thornton's commitment to ethical and professional excellence, and audit committee questions and answers.

Financial Statements and Office of Management and Budget Circular A-133 Supplemental Financial and Compliance Report Together with Reports of Independent Auditors, August 31, 2009 and 2008, and, internal controls letter to the Board of Trustees

Executive Vice Chancellor Ed DesPlas presented the *Financial Statements and Office of Management and Budget Circular A-133 Supplemental Financial and Compliance Report Together with Reports of Independent Auditors*. Mr. Ferguson moved and Ms. Compton seconded a motion to present at the special meeting on December 15, 2009. Motion passed.

Quarterly Summary of Activities

Mr. Rafael Godinez reviewed the *Quarterly Summary of Activities for the 1st Quarter ended November 30, 2009*.

Presentation of 1st Quarter Financial Statements

Executive Vice Chancellor Ed DesPlas presented the 1st Quarter Financial Statements. Mr. Ferguson moved and Ms. Compton seconded a motion to present at the board meeting on January 5, 2010. Motion passed.

Review of Chancellor's Travel

The committee received the chancellor's travel report.

Other Notes from the Meeting

Trustee Compton asked to know to whom the audit report and financial statements are distributed. Trustee Flores asked to know the suggestions Grant Thornton gave to the staff.

Adjournment

Ms. Compton moved and Mr. Ferguson seconded a motion to adjourn the meeting. Motion passed and the meeting was adjourned at 4:22 PM.

Approved:

A handwritten signature in blue ink, appearing to be 'Wright L. Lassiter, Jr.', written in a cursive style.

Wright L. Lassiter, Jr., Secretary

CONSENT AGENDA NO. 9

Approval of Minutes of the December 15, 2009 Special Meeting

It is recommended that the Board approve the minutes of the December 15, 2009 Board of Trustees Special Meeting.

Board Members and Officers Present:

Ms. Charletta Compton
Mr. Bob Ferguson
Ms. Diana Flores (Vice Chair)
Dr. Wright Lassiter (Secretary and Chancellor)
Mr. Jerry Prater (Chair)
Mr. JL Sonny Williams

Board Members and Officers Absent: Mrs. Kitty Boyle and Mrs. Martha Sanchez Metzger

Board Chair Jerry Prater convened the meeting at 4:33 PM. Dr. Wright Lassiter certified to the posting of the meeting notice.

**CERTIFICATION OF POSTING OF NOTICE DECEMBER 15, 2009
SPECIAL MEETING OF THE DALLAS COUNTY COMMUNITY
COLLEGE DISTRICT AND RICHLAND COLLEGIATE HIGH SCHOOLS
BOARD OF TRUSTEES**

I, Wright L. Lassiter, Jr., Secretary of the Board of Trustees of the Dallas County Community College District, do certify that a copy of this notice was posted on the 11th day of December, 2009, in a place convenient to the public in the District Office Administration Building, and a copy of this notice was provided on the 11th day of December, 2009, to John F. Warren, County Clerk of Dallas County, Texas, and the notice was posted on the bulletin board at the George Allen Sr. Courts Building, all as required by the Texas Government Code, §551.054.



Wright L. Lassiter, Jr., Secretary

Citizens Desiring to Address the Board Regarding Agenda Items

There were no citizens desiring to address the board regarding agenda items.

Opportunity for Chancellor and Board Members to Declare Conflicts of Interest Specific to this Agenda

There were no declarations of conflict of interest.

Consent Agenda

Vice Chair Flores moved and Mr. Ferguson seconded a motion to approve recommendation #1 in the Consent Agenda. Motion passed. (See December 15, 2009, Board Meeting, Agenda Item #1, which is made a part of and incorporated into the approved minutes as though fully set out in the minutes.)

Citizens Desiring to Appear Before the Board

There were no citizens desiring to appear before the board.

Executive Session

There was no executive session.

Other Notes from the Meeting

Trustee Compton ask when repairs will be made to McKee Street.

Adjournment

Vice Chair Flores moved and Mr. Ferguson seconded a motion to adjourn the meeting. Motion passed. Board Chair Prater adjourned the meeting at 4:36 PM.

Approved:



Wright L. Lassiter, Jr., Secretary

POLICY REPORT NO. 10

Approval of Bond Order for Dallas County Community College District
General Obligation Bonds, Series 2010

It is recommended that authorization be given to approve the attached bond order, which authorizes the sale and issuance of Dallas County Community College District General Obligation Bonds, Series 2010, in an amount not to exceed \$50,000,000, and other matters related thereto.

At the February 3, 2004 Board Meeting, the Board of Trustees approved the Order for a General Obligation Bond Election on May 15, 2004. The outcome of that election was that the voters authorized the Board of Trustees of the Dallas County Community College District to issue bonds, in one or more series, with an aggregate principal amount not to exceed \$450,000,000, for the construction and equipment of school buildings and the purchase of necessary sites therefore. The attached bond order authorizes the issuance of the fourth and final series, granting of authority to price and sell the bonds with the executive vice chancellor of business affairs (EVCBA), and approval of all necessary documents and procedures related thereto.

Usually the bonds are priced the day prior to Board approval. However, the District's financial advisors have advised that January 4, 2010, a Monday following a holiday, is not a good time to be in the market. However, the funds are needed prior to February to continue the bond projects. Therefore the bond order parameters delegate the authority for the sale to the EVCBA who can work with the financial advisors on the best time to be in the market following the January 5 Board meeting. The parameters also allow the sale of some, all or none of the \$50 million as Build America Bonds based on market conditions and whether they provide any benefit to the District.

Payment of the principal and interest on said bonds will be paid by a levy, pledge, assessment and collection of the interest and sinking portion of ad valorem taxes in an amount sufficient to meet the annual debt requirement, taking into account tax delinquencies and the cost of tax collection. The current statutory maximum tax rate for community colleges for such debt repayment is \$0.50 per \$100 assessed valuation. The interest and sinking portion of the tax rate currently assessed by the District is \$0.0171 per \$100 of assessed valuation and is not projected to rise above \$0.02 per \$100 of assessed valuation after this issuance.

The full documentation for the bond order for the \$50,000,000 Series 2010 General Obligation Bonds is attached.

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**ORDER AUTHORIZING THE ISSUANCE OF
GENERAL OBLIGATION BONDS, SERIES 2010;
DELEGATING THE SALE OF THE BONDS TO THE DESIGNATED FINANCIAL
OFFICER NAMED IN THIS ORDER; ESTABLISHING PARAMETERS REGARDING
THE SALE OF THE BONDS; AND APPROVING AND AUTHORIZING
INSTRUMENTS AND PROCEDURES RELATING THERETO**

THE STATE OF TEXAS :
COUNTY OF DALLAS :
DALLAS COUNTY COMMUNITY COLLEGE DISTRICT :

WHEREAS, all of the bonds hereinafter authorized were lawfully and favorably voted at an election held by the Dallas County Community College District (referred to herein as the "District" or the "Issuer") on May 15, 2004; and

WHEREAS, at said election, the District was authorized to issue \$450,000,000 in bonds; and

WHEREAS, on April 3, 2007, the Board of Trustees of the District authorized the issuance of Dallas County Community College District General Obligation Commercial Paper Notes, Series A (the "Commercial Paper Notes"), and authorized the District to issue from time to time commercial paper notes in a principal amount, at any one time outstanding, not to exceed \$150,000,000 in principal amount, for the purpose of providing interim financing of projects approved at the May 15, 2004 election; and

WHEREAS, the District previously has issued its General Obligation Bonds, Series 2004, in the aggregate principal amount of \$67,375,000 (the "Series 2004 Bonds"), its General Obligation and Refunding Bonds, Series 2008, in the aggregate principal amount of \$211,975,000 (the "Series 2008 Bonds") and its General Obligation Bonds, Series 2009, in the aggregate principal amount of \$102,985,000 (the "Series 2009 Bonds"); and

WHEREAS, the District utilized \$70,000,000 in proceeds from the Series 2004 Bonds to fund projects approved at said election; and

WHEREAS, the District utilized \$95,000,000 in proceeds from the Series 2008 Bonds to fund projects approved at said election and \$125,000,000 in proceeds from the Series 2008 Bonds to refund Commercial Paper Notes issued to fund projects approved at said election; and

WHEREAS, the District utilized \$110,000,000 in proceeds from the Series 2009 Bonds to fund projects approved at said election; and

WHEREAS, subsequent to the delivery of the Series 2008 Bonds, the District did not issue any Commercial Paper Notes, the District has terminated the authority to issue Commercial Paper Notes, and there are no Commercial Paper Notes currently outstanding or authorized to be issued; and

WHEREAS, as a result of the issuance of the Series 2004 Bonds, the Series 2008 Bonds and the Series 2009 Bonds, the District has remaining authorized but unissued bonds approved at said election in the amount of \$50,000,000; and

WHEREAS, the bonds hereinafter authorized and designated were voted and are to be issued and delivered pursuant to the laws of the State of Texas, including specifically Section 130.122, Texas Education Code and Chapter 1371, Texas Government Code.

THEREFORE, BE IT ORDERED BY THE BOARD OF TRUSTEES OF DALLAS COUNTY COMMUNITY COLLEGE DISTRICT:

Section 1. RECITALS, AMOUNT AND PURPOSE OF THE BONDS. The recitals set forth in the preamble hereof are incorporated herein and shall have the same force and effect as if set forth in this Section. The bonds of the District are hereby authorized to be issued and delivered in the aggregate principal amount not to exceed \$50,000,000, for the purpose of constructing and equipping school buildings in the District, and the purchase of the necessary sites therefor, and paying costs of issuance associated with the sale of the Bonds.

Section 2. DEFINITIONS. As used in this Order, unless the context shall otherwise require, the following terms shall have the respective meanings, to-wit:

"ARRA" means the American Recovery and Reinvestment Act, signed into law on February 17, 2009.

"Board" shall mean the Board of Trustees of the District.

"Bonds" shall mean and include the bonds initially issued and delivered pursuant to this Order and all substitute bonds exchanged therefor, as well as all other substitute bonds and replacement bonds issued pursuant hereto, and the term "Bond" shall mean any of the Bonds.

"Build America Bonds" means those bonds authorized to be issued under the provisions of ARRA for the construction of capital improvements.

"Code" shall mean the Internal Revenue Code of 1986, as amended.

"Defeasance Securities" shall mean (i) direct, noncallable obligations of the United States of America, including obligations that are unconditionally guaranteed by the United States of America, (ii) noncallable obligations of an agency or instrumentality of the United States of America, including obligations that are unconditionally guaranteed or insured by the agency or instrumentality and that, on the date of the purchase thereof are rated as to investment quality by a nationally recognized investment rating firm not less than AAA or its equivalent, and (iii) noncallable obligations of a state or an agency or a county, municipality, or other political subdivision of a state that have been refunded and that, on the date the governing body of the Issuer adopts or approves the proceedings

authorizing the financial arrangements are rated as to investment quality by a nationally recognized investment rating firm not less than AAA or its equivalent.

"Designated Financial Officer" shall mean the Executive Vice Chancellor of Business Affairs of the District.

"MSRB" shall mean the Municipal Securities Rulemaking Board.

"Purchase Agreement" shall mean the Bond Purchase Agreement between the Issuer and Southwest Securities, Inc., as representative for the Underwriters.

"Rule" shall mean SEC Rule 15c2-12, as amended from time to time.

"SEC" shall mean the United States Securities and Exchange Commission.

"Underwriters" shall mean the investment banking firm or firms named in the Purchase Agreement.

Section 3. DELEGATION OF SALE OF BONDS. (a) Delegation to Designated Financial Officer. As authorized by Chapter 1371, Texas Government Code, the Designated Financial Officer is hereby authorized to effect the sale of the Bonds authorized to be sold by this Order. Any determination of the Designated Financial Officer, acting for and on behalf of the Board, relating to the sale of Bonds pursuant to this Order shall have the same force and effect as if such determination were made by the Board. In effecting the sale of the Bonds authorized to be sold by this Order, the Designated Financial Officer, acting for and on behalf of the Board, may determine the terms of sale of the Bonds, including determining and fixing the date of the Bonds, any additional or different designation or title by which the Bonds shall be known, the aggregate principal amount of the Bonds to be sold (subject to the limitation set forth in Section 1 hereof), the price at which the Bonds will be sold, the years in which the Bonds will mature, the principal amount to mature in each of such years, the rate or rates of interest to be borne by each such maturity, the interest payment periods, the dates, price, and terms upon and at which the Bonds shall be subject to redemption prior to maturity at the option of the District, as well as any mandatory sinking fund redemption and extraordinary mandatory redemption provisions, the aggregate principal amount of Bonds to be issued, if any, as obligations, the interest on which is excluded from gross income for purposes of section 103 of the Code, the aggregate principal amount of Bonds to be issued, if any, as Build America Bonds, and all other matters relating to the issuance, sale, and delivery of the Bonds, including, without limitation, the use of municipal bond insurance for the Bonds, all of which shall be specified in the Purchase Agreement.

(b) Parameters. The foregoing provisions of this Section notwithstanding, the purchase price to be paid for the Bonds sold pursuant to this Order shall not be less than 95% of the aggregate principal amount thereof, and the Bonds shall not bear a "net effective interest rate" (as defined in and calculated in accordance with the provisions of Chapter 1204, Texas Government Code) of greater than 7%. The Bonds shall not be delivered unless prior to their delivery, such Bonds have been rated by a nationally recognized rating agency for municipal securities in one of the four highest rating

categories for long term obligations, as required by law. The authority hereby granted by the Board to the Designated Financial Officer to effect the sale of all or any portion of the Bonds authorized to be sold by this Order expires at 5:00 p.m., Wednesday, March 31, 2010.

Section 4. INTEREST. The Bonds shall bear interest calculated on the basis of a 360-day year composed of twelve 30-day months from the dates specified in the FORM OF BOND and in the Purchase Agreement, to their respective dates of maturity at the rates set forth in the executed Purchase Agreement. Said interest shall be payable in the manner provided and on the dates stated in the FORM OF BOND set forth in this Order.

Section 5. CHARACTERISTICS OF THE BONDS. (a) Registration, Transfer, Conversion and Exchange; Authentication. The Issuer shall keep or cause to be kept at the designated corporate trust office in Dallas, Texas (the "Designated Trust Office") of U.S. Bank National Association (the "Paying Agent/Registrar") books or records for the registration of the transfer, conversion and exchange of the Bonds (the "Registration Books"), and the Issuer hereby appoints the Paying Agent/Registrar as its registrar and transfer agent to keep such books or records and make such registrations of transfers, conversions and exchanges under such reasonable regulations as the Issuer and Paying Agent/Registrar may prescribe; and the Paying Agent/Registrar shall make such registrations, transfers, conversions and exchanges as herein provided within three days of presentation in due and proper form. The Paying Agent/Registrar shall obtain and record in the Registration Books the address of the registered owner of each Bond to which payments with respect to the Bonds shall be mailed, as herein provided; but it shall be the duty of each registered owner to notify the Paying Agent/Registrar in writing of the address to which payments shall be mailed, and such interest payments shall not be mailed unless such notice has been given. The Issuer shall have the right to inspect the Registration Books during regular business hours of the Paying Agent/Registrar, but otherwise the Paying Agent/Registrar shall keep the Registration Books confidential and, unless otherwise required by law, shall not permit their inspection by any other entity. The Issuer shall pay the Paying Agent/Registrar's standard or customary fees and charges for making such registration, transfer, conversion, exchange and delivery of a substitute Bond or Bonds. Registration of assignments, transfers, conversions and exchanges of Bonds shall be made in the manner provided and with the effect stated in the FORM OF BOND set forth in this Order. Each substitute Bond shall bear a letter and/or number to distinguish it from each other Bond.

Except as provided in Section 5(c) hereof, an authorized representative of the Paying Agent/Registrar shall, before the delivery of any such Bond, date and manually sign said Bond, and no such Bond shall be deemed to be issued or outstanding unless such Bond is so executed. The Paying Agent/Registrar promptly shall cancel all paid Bonds and Bonds surrendered for conversion and exchange. No additional ordinances, orders, or resolutions need be passed or adopted by the governing body of the Issuer or any other body or person so as to accomplish the foregoing conversion and exchange of any Bond or portion thereof, and the Paying Agent/Registrar shall provide for the printing, execution, and delivery of the substitute Bonds in the manner prescribed herein. Pursuant to Chapter 1206, Texas Government Code, the duty of conversion and exchange of Bonds as aforesaid is hereby imposed upon the Paying Agent/Registrar, and, upon the execution thereof, the converted and exchanged Bond shall be valid, incontestable, and enforceable in the same

manner and with the same effect as the Bonds which initially were issued and delivered pursuant to this Order, approved by the Attorney General, and registered by the Comptroller of Public Accounts.

(b) Payment of Bonds and Interest. The Issuer hereby further appoints the Paying Agent/Registrar to act as the paying agent for paying the principal of and interest on the Bonds, all as provided in this Order. The Paying Agent/Registrar shall keep proper records of all payments made by the Issuer and the Paying Agent/Registrar with respect to the Bonds, and of all conversions and exchanges of Bonds, and all replacements of Bonds, as provided in this Order. However, in the event of a nonpayment of interest on a scheduled payment date, and for 30 days thereafter, a new record date for such interest payment (a "Special Record Date") will be established by the Paying Agent/Registrar, if and when funds for the payment of such interest have been received from the Issuer. Notice of the Special Record Date and of the scheduled payment date of the past due interest (which shall be 15 days after the Special Record Date) shall be sent at least five business days prior to the Special Record Date by United States mail, first class postage prepaid, to the address of each registered owner appearing on the Registration Books at the close of business on the last business day next preceding the date of mailing of such notice.

(c) In General. The Bonds (i) shall be issued in fully registered form, without interest coupons, with the principal of and interest on such Bonds to be payable only to the registered owners thereof, (ii) may be redeemed prior to their scheduled maturities (notice of which shall be given to the Paying Agent/Registrar by the Issuer at least 50 days prior to any such redemption date), (iii) may be transferred and assigned, (iv) may be converted and exchanged for other Bonds, (v) shall have the characteristics, (vi) shall be signed, sealed, executed and authenticated, (vii) the principal of and interest on the Bonds shall be payable, and (viii) shall be administered and the Paying Agent/Registrar and the Issuer shall have certain duties and responsibilities with respect to the Bonds, all as provided, and in the manner and to the effect as required or indicated, in the FORM OF BOND set forth in this Order. The Bonds initially issued and delivered pursuant to this Order are not required to be, and shall not be, authenticated by the Paying Agent/Registrar, but on each substitute Bond issued in conversion of and exchange for any Bond or Bonds issued under this Order the Paying Agent/Registrar shall execute the PAYING AGENT/REGISTRAR'S AUTHENTICATION CERTIFICATE, in the form set forth in the FORM OF BOND.

(d) Substitute Paying Agent/Registrar. The Issuer covenants with the registered owners of the Bonds that at all times while the Bonds are outstanding the Issuer will provide a competent and legally qualified bank, trust company, financial institution, or other agency to act as and perform the services of Paying Agent/Registrar for the Bonds under this Order, and that the Paying Agent/Registrar will be one entity. The Issuer reserves the right to, and may, at its option, change the Paying Agent/Registrar upon not less than 120 days written notice to the Paying Agent/Registrar, to be effective not later than 60 days prior to the next principal or interest payment date after such notice. In the event that the entity at any time acting as Paying Agent/Registrar (or its successor by merger, acquisition, or other method) should resign or otherwise cease to act as such, the Issuer covenants that promptly it will appoint a competent and legally qualified bank, trust company, financial institution, or other agency to act as Paying Agent/Registrar under this Order. Upon any

change in the Paying Agent/Registrar, the previous Paying Agent/Registrar promptly shall transfer and deliver the Registration Books (or a copy thereof), along with all other pertinent books and records relating to the Bonds, to the new Paying Agent/Registrar designated and appointed by the Issuer. Upon any change in the Paying Agent/Registrar, the Issuer promptly will cause a written notice thereof to be sent by the new Paying Agent/Registrar to each registered owner of the Bonds, by United States mail, first class postage prepaid, which notice also shall give the address of the new Paying Agent/Registrar. By accepting the position and performing as such, each Paying Agent/Registrar shall be deemed to have agreed to the provisions of this Order, and a certified copy of this Order shall be delivered to each Paying Agent/Registrar.

(e) Book-Entry Only System. The Bonds issued in exchange for the Bonds initially issued to the purchaser specified herein shall be initially issued in the form of a separate single fully registered Bond for each of the maturities thereof. Upon initial issuance, the ownership of each such Bond shall be registered in the name of Cede & Co., as nominee of The Depository Trust Company ("DTC"), and except as provided in subsection (f) hereof, all of the outstanding Bonds shall be registered in the name of Cede & Co., as nominee of DTC.

With respect to Bonds registered in the name of Cede & Co., as nominee of DTC, the Issuer and the Paying Agent/Registrar shall have no responsibility or obligation to any DTC Participant or to any person on behalf of whom such a DTC Participant holds an interest on the Bonds. Without limiting the immediately preceding sentence, the Issuer and the Paying Agent/Registrar shall have no responsibility or obligation with respect to (i) the accuracy of the records of DTC, Cede & Co. or any DTC Participant with respect to any ownership interest in the Bonds, (ii) the delivery to any DTC Participant or any other person, other than a Bondholder, as shown on the Registration Books, of any notice with respect to the Bonds, or (iii) the payment to any DTC Participant or any other person, other than a Bondholder, as shown in the Registration Books of any amount with respect to principal of, premium, if any, or interest on, as the case may be, the Bonds. Notwithstanding any other provision of this Order to the contrary, the Issuer and the Paying Agent/Registrar shall be entitled to treat and consider the person in whose name each Bond is registered in the Registration Books as the absolute owner of such Bond for the purpose of payment of principal, premium, if any, and interest, as the case may be, with respect to such Bond, for the purpose of giving notices and other matters with respect to such Bond, for the purpose of registering transfers with respect to such Bond, and for all other purposes whatsoever. The Paying Agent/Registrar shall pay all principal of, premium, if any, and interest on the Bonds only to or upon the order of the respective owners, as shown in the Registration Books as provided in this Order, or their respective attorneys duly authorized in writing, and all such payments shall be valid and effective to fully satisfy and discharge the Issuer's obligations with respect to payment of principal of, premium, if any, and interest on, or as the case may be, the Bonds to the extent of the sum or sums so paid. No person other than an owner, as shown in the Registration Books, shall receive a Bond certificate evidencing the obligation of the Issuer to make payments of principal, premium, if any, and interest, as the case may be, pursuant to this Order. Upon delivery by DTC to the Paying Agent/Registrar of written notice to the effect that DTC has determined to substitute a new nominee in place of Cede & Co., and subject to the provisions in this Order with respect to interest checks being mailed to the registered owner at the close of business

on the Record Date, the word "Cede & Co." in this Order shall refer to such new nominee of DTC.

(f) Successor Securities Depository; Transfers Outside Book-Entry Only System. In the event that the Issuer or the Paying Agent/Registrar determines that DTC is incapable of discharging its responsibilities described herein and in the representation letter of the Issuer to DTC and that it is in the best interest of the beneficial owners of the Bonds that they be able to obtain certificated Bonds, the Issuer or the Paying Agent/Registrar shall (i) appoint a successor securities depository, qualified to act as such under Section 17(a) of the Securities and Exchange Act of 1934, as amended, notify DTC and DTC Participants of the appointment of such successor securities depository and transfer one or more separate Bonds to such successor securities depository or (ii) notify DTC and DTC Participants of the availability through DTC of Bonds and transfer one or more separate Bonds to DTC Participants having Bonds credited to their DTC accounts. In such event, the Bonds shall no longer be restricted to being registered in the Registration Books in the name of Cede & Co., as nominee of DTC, but may be registered in the name of the successor securities depository, or its nominee, or in whatever name or names Bondholders transferring or exchanging Bonds shall designate, in accordance with the provisions of this Order.

(g) Payments to Cede & Co. Notwithstanding any other provision of this Order to the contrary, so long as any Bond is registered in the name of Cede & Co., as nominee of DTC, all payments with respect to principal of, premium, if any, and interest on, or as the case may be, such Bond and all notices with respect to such Bond shall be made and given, respectively, in the manner provided in the representation letter of the Issuer to DTC.

(h) DTC Letter of Representations. The District heretofore has executed a Blanket Letter of Representations with DTC with respect to establishing a book-entry-only system of registration of ownership of the Bonds.

Section 6. FORM OF BONDS. The form of the Bonds, including the form of Paying Agent/Registrar's Authentication Certificate, the form of Assignment and the form of Registration Certificate of the Comptroller of Public Accounts of the State of Texas to be attached to the Bonds initially issued and delivered pursuant to this Order, shall be, respectively, substantially as provided in Exhibit A to this Order, with such appropriate variations, omissions, or insertions as are permitted or required by this Order.

Section 7. TAX LEVY. A special Interest and Sinking Fund (the "Interest and Sinking Fund") is hereby created solely for the benefit of the Bonds, and the Interest and Sinking Fund shall be established and maintained by the Issuer at an official depository bank of the Issuer. The Interest and Sinking Fund shall be kept separate and apart from all other funds and accounts of the Issuer, and shall be used only for paying the interest on and principal of the Bonds. All ad valorem taxes levied and collected for and on account of the Bonds shall be deposited, as collected, to the credit of the Interest and Sinking Fund. During each year while any Bond or the interest thereon is outstanding and unpaid, the governing body of the Issuer shall compute and ascertain a rate and amount of ad valorem tax which will be sufficient, together with any direct subsidy payments described in Section

20 of this Order, to raise and produce the money required to pay the interest on the Bonds as such interest comes due, and to provide and maintain a sinking fund adequate to pay the principal of its Bonds as such principal matures or comes due; and said tax shall be based on the latest approved tax rolls of the Issuer, with full allowance being made for tax delinquencies and the cost of tax collection. Said rate and amount of ad valorem tax is hereby levied, and is hereby ordered to be levied, against all taxable property in the Issuer for each year while any Bond or the interest thereon is outstanding and unpaid; and said tax shall be assessed and collected each such year and deposited to the credit of the aforesaid Interest and Sinking Fund. Said ad valorem taxes will be sufficient to provide for the payment of the interest on and principal of the Bonds, as such interest comes due and such principal matures, provided that the aggregate annual bond taxes in the Issuer shall never exceed the rate of fifty cents on the one hundred dollar valuation of taxable property in the Issuer. The Issuer appropriates from current funds on hand, and directs the transfer for deposit into the Interest and Sinking Fund moneys as may be necessary to pay the interest payment on the Bonds scheduled to occur on August 15, 2010.

Section 8. CONSTRUCTION FUND. (a) Construction Fund Created. There is hereby created and there shall be established and maintained on the books of the District, a separate fund designated as the Construction Fund.

(b) Deposit of Proceeds. In accordance with Section 18(b) of this Order, proceeds of the Bonds shall be deposited into the Construction Fund and used by the District for payment of the costs incurred in connection with the purposes described in Section 1 of this Order, including any costs for engineering, financing, financial consultation, administrative, auditing and legal expenses.

(c) Surplus Proceeds. Any surplus proceeds, including the investment earnings derived from the investment of monies on deposit in the Construction Fund, remaining on deposit in the Construction Fund after completing the expenditure of funds for the purposes described in Section 1 of this Order, shall be transferred to the Interest and Sinking Fund; provided, however, that any interest earnings on monies on deposit in the Construction Fund which are required to be rebated to the United States of America pursuant to Section 12 or Section 20 hereof in order to prevent the Bonds from being arbitrage bonds shall be transferred to the "Rebate Fund" hereinafter established and shall not be considered as interest earnings for purposes of this subsection.

(d) Disbursement of Moneys. The moneys held in the Construction Fund shall be disbursed from time to time to the District upon the request of the Designated Financial Officer. A written copy of all such disbursements from the Construction Fund shall be maintained by the Designated Financial Officer.

(e) Limitation of Payment of Issuance Costs. Anything in this Order to the contrary notwithstanding, should all or any portion of the Bonds be sold as Build America Bonds, no more than 2% of the proceeds from the sale of such Bonds sold as Build America Bonds may be used to pay issuance costs thereon.

Section 9. DEFEASANCE OF BONDS. (a) Defeased Bonds. Any Bond and the interest thereon shall be deemed to be paid, retired and no longer Outstanding (a "Defeased Bond"), except to the extent provided in subsection (d) of this Section, when payment of the principal of such Bond, plus interest thereon to the due date (whether such due date be by reason of maturity or otherwise) either (i) shall have been made or caused to be made in accordance with the terms thereof, or (ii) shall have been provided for on or before such due date by irrevocably depositing with or making available to the Paying Agent/Registrar in accordance with an escrow agreement or other similar instrument (the "Future Escrow Agreement") for such payment (1) lawful money of the United States of America sufficient to make such payment or (2) Defeasance Securities that mature as to principal and interest in such amounts and at such times as will insure the availability, without reinvestment, of sufficient money to provide for such payment, and when proper arrangements have been made by the Issuer with the Paying Agent/Registrar for the payment of its services until all Defeased Bonds shall have become due and payable. At such time as a Bond shall be deemed to be a Defeased Bond hereunder, as aforesaid, such Bond and the interest thereon shall no longer be secured by, payable from, or entitled to the benefits of, the pledge of ad valorem taxes in favor of the Bonds, and such principal and interest shall be payable solely from such money or Defeasance Securities.

(b) Investment in Defeasance Securities. Any moneys so deposited with the Paying Agent/Registrar may at the written direction of the Issuer be invested in Defeasance Securities, maturing in the amounts and times as hereinbefore set forth, and all income from such Defeasance Securities received by the Paying Agent/Registrar that is not required for the payment of the Bonds and interest thereon, with respect to which such money has been so deposited, shall be turned over to the Issuer, or deposited as directed in writing by the Issuer. Any Future Escrow Agreement pursuant to which the money and/or Defeasance Securities are held for the payment of Defeased Bonds may contain provisions permitting the investment or reinvestment of such moneys in Defeasance Securities or the substitution of other Defeasance Securities upon the satisfaction of the requirements specified in subsections (a)(i) or (ii) of this Section. All income from such Defeasance Securities received by the Paying Agent/Registrar which is not required for the payment of the Defeased Bonds, with respect to which such money has been so deposited, shall be remitted to the Issuer or deposited as directed in writing by the Issuer.

(c) Paying Agent/Registrar Services. Until all Defeased Bonds shall have become due and payable, the Paying Agent/Registrar shall perform the services of Paying Agent/Registrar for such Defeased Bonds the same as if they had not been defeased, and the Issuer shall make proper arrangements to provide and pay for such services as required by this Order.

(d) Selection of Bonds for Defeasance. In the event that the Issuer elects to defease less than all of the principal amount of Bonds of a maturity, the Paying Agent/Registrar shall select, or cause to be selected, such amount of Bonds by such random method as it deems fair and appropriate.

Section 10. DAMAGED, MUTILATED, LOST, STOLEN, OR DESTROYED BONDS. (a) Replacement Bonds. In the event any outstanding Bond is damaged, mutilated, lost, stolen, or destroyed, the Paying Agent/Registrar shall cause to be printed, executed, and delivered, a new bond

of the same principal amount, maturity, and interest rate, as the damaged, mutilated, lost, stolen, or destroyed Bond, in replacement for such Bond in the manner hereinafter provided.

(b) Application for Replacement Bonds. Application for replacement of damaged, mutilated, lost, stolen, or destroyed Bonds shall be made by the registered owner thereof to the Paying Agent/Registrar. In every case of loss, theft, or destruction of a Bond, the registered owner applying for a replacement bond shall furnish to the Issuer and to the Paying Agent/Registrar such security or indemnity as may be required by them to save each of them harmless from any loss or damage with respect thereto. Also, in every case of loss, theft, or destruction of a Bond, the registered owner shall furnish to the Issuer and to the Paying Agent/Registrar evidence to their satisfaction of the loss, theft, or destruction of such Bond, as the case may be. In every case of damage or mutilation of a Bond, the registered owner shall surrender to the Paying Agent/Registrar for cancellation the Bond so damaged or mutilated.

(c) No Default Occurred. Notwithstanding the foregoing provisions of this Section, in the event any such Bond shall have matured, and no default has occurred which is then continuing in the payment of the principal of, redemption premium, if any, or interest on the Bond, the Issuer may authorize the payment of the same (without surrender thereof except in the case of a damaged or mutilated Bond) instead of issuing a replacement Bond, provided security or indemnity is furnished as above provided in this Section.

(d) Charge for Issuing Replacement Bonds. Prior to the issuance of any replacement bond, the Paying Agent/Registrar shall charge the registered owner of such Bond with all legal, printing, and other expenses in connection therewith. Every replacement bond issued pursuant to the provisions of this Section by virtue of the fact that any Bond is lost, stolen, or destroyed shall constitute a contractual obligation of the Issuer whether or not the lost, stolen, or destroyed Bond shall be found at any time, or be enforceable by anyone, and shall be entitled to all the benefits of this Order equally and proportionately with any and all other Bonds duly issued under this Order.

(e) Authority for Issuing Replacement Bonds. In accordance with Chapter 1206, Texas Government Code, this Section 10 of this Order shall constitute authority for the issuance of any such replacement bond without necessity of further action by the governing body of the Issuer or any other body or person, and the duty of the replacement of such bonds is hereby authorized and imposed upon the Paying Agent/Registrar, and the Paying Agent/Registrar shall authenticate and deliver such Bonds in the form and manner and with the effect, as provided in Section 5(a) of this Order, for Bonds issued in conversion and exchange for other Bonds.

Section 11. CUSTODY, APPROVAL, AND REGISTRATION OF BONDS; BOND COUNSEL'S OPINION; CUSIP NUMBERS AND CONTINGENT INSURANCE PROVISION, IF OBTAINED. The Designated Financial Officer is hereby authorized to have control of the Bonds initially issued and delivered hereunder and all necessary records and proceedings pertaining to the Bonds pending their delivery and their investigation, examination, and approval by the Attorney General of the State of Texas, and their registration by the Comptroller of Public Accounts of the

State of Texas (the "Comptroller"). Upon registration of the Bonds the Comptroller (or a deputy designated in writing to act for the Comptroller) shall manually sign the Comptroller's Registration Certificate attached to such Bonds, and the seal of the Comptroller shall be impressed, or placed in facsimile, on such Certificate. The approving legal opinion of the Issuer's Bond Counsel and the assigned CUSIP numbers may, at the option of the Issuer, be printed on the Bonds issued and delivered under this Order, but neither shall have any legal effect, and shall be solely for the convenience and information of the registered owners of the Bonds. In addition, if bond insurance is obtained, the Bonds may bear an appropriate legend as provided by the insurer.

Section 12. COVENANTS REGARDING TAX EXEMPTION OF INTEREST ON THE BONDS. The Issuer covenants to take any action necessary to assure, or refrain from any action which would adversely affect, the treatment of the Bonds as obligations described in section 103 of the Code, the interest on which is not includable in the "gross income" of the holder for purposes of federal income taxation. In furtherance thereof, the Issuer covenants as follows:

(a) to take any action to assure that no more than 10 percent of the proceeds of the Bonds (less amounts deposited to a reserve fund, if any) are used for any "private business use," as defined in section 141(b)(6) of the Code or, if more than 10 percent of the proceeds are so used, that amounts, whether or not received by the Issuer, with respect to such private business use, do not, under the terms of this Order or any underlying arrangement, directly or indirectly, secure or provide for the payment of more than 10 percent of the debt service on the Bonds, in contravention of section 141(b)(2) of the Code;

(b) to take any action to assure that in the event that the "private business use" described in subsection (a) hereof exceeds 5 percent of the proceeds of the Bonds (less amounts deposited into a reserve fund, if any) then the amount in excess of 5 percent is used for a "private business use" which is "related" and not "disproportionate," within the meaning of section 141(b)(3) of the Code, to the governmental use;

(c) to take any action to assure that no amount which is greater than the lesser of \$5,000,000, or 5 percent of the proceeds of the Bonds (less amounts deposited into a reserve fund, if any) is directly or indirectly used to finance loans to persons, other than state or local governmental units, in contravention of section 141(c) of the Code;

(d) to refrain from taking any action which would otherwise result in the Bonds being treated as "private activity bonds" within the meaning of section 141(b) of the Code;

(e) to refrain from taking any action that would result in the Bonds being "federally guaranteed" within the meaning of section 149(b) of the Code;

(f) to refrain from using any portion of the proceeds of the Bonds, directly or indirectly, to acquire or to replace funds which were used, directly or indirectly, to acquire

investment property (as defined in section 148(b)(2) of the Code) which produces a materially higher yield over the term of the Bonds, other than investment property acquired with --

(1) proceeds of the Bonds invested for a reasonable temporary period of three years or less until such proceeds are needed for the purpose for which the Bonds are issued,

(2) amounts invested in a bona fide debt service fund, within the meaning of section 1.148-1(b) of the Treasury Regulations, and

(3) amounts deposited in any reasonably required reserve or replacement fund to the extent such amounts do not exceed 10 percent of the proceeds of the Bonds;

(g) to otherwise restrict the use of the proceeds of the Bonds or amounts treated as proceeds of the Bonds, as may be necessary, so that the Bonds do not otherwise contravene the requirements of section 148 of the Code (relating to arbitrage) and, to the extent applicable, section 149(d) of the Code (relating to advance refundings); and

(h) to pay to the United States of America at least once during each five-year period (beginning on the date of delivery of the Bonds) an amount that is at least equal to 90 percent of the "Excess Earnings," within the meaning of section 148(f) of the Code and to pay to the United States of America, not later than 60 days after the Bonds have been paid in full, 100 percent of the amount then required to be paid as a result of Excess Earnings under section 148(f) of the Code.

In order to facilitate compliance with clause (h) above, a "Rebate Fund" is hereby established by the Issuer for the sole benefit of the United States of America, and such Fund shall not be subject to the claim of any other person, including without limitation the bondholders. The Rebate Fund is established for the additional purpose of compliance with section 148 of the Code.

For purposes of the foregoing (a) and (b), the Issuer understands that the term "proceeds" includes "disposition proceeds" as defined in the Treasury Regulations and, in the case of refunding bonds, transferred proceeds (if any) and proceeds of the refunded bonds expended prior to the date of issuance of the Bonds. It is the understanding of the Issuer that the covenants contained herein are intended to assure compliance with the Code and any regulations or rulings promulgated by the U.S. Department of the Treasury pursuant thereto. In the event that regulations or rulings are hereafter promulgated which modify or expand provisions of the Code, as applicable to the Bonds, the Issuer will not be required to comply with any covenant contained herein to the extent that such failure to comply in the opinion of nationally recognized bond counsel, will not adversely affect the exemption from federal income taxation of interest on the Bonds under section 103 of the Code. In the event that regulations or rulings are hereafter promulgated which impose additional requirements which are applicable to the Bonds, the Issuer agrees to comply with the additional requirements to the extent necessary, in the opinion of nationally recognized bond counsel, to preserve the exemption

from federal income taxation of interest on the Bonds under section 103 of the Code. In furtherance of such intention, the Issuer hereby authorizes and directs the Chancellor, the Designated Financial Officer or any other Vice Chancellor to execute any documents, certificates or reports required by the Code and to make such elections, on behalf of the Issuer, which may be permitted by the Code as are consistent with the purpose for the issuance of the Bonds.

Section 13. ALLOCATION OF, AND LIMITATION ON, EXPENDITURES FOR THE PROJECT. The Issuer covenants to account for the expenditure of proceeds from the sale of the Bonds and any investment earnings thereon to be used for the purposes described in Section 1 of this Order (each such purpose referred to herein and Section 14 hereof as a "Project") on its books and records by allocating proceeds to expenditures within 18 months of the later of the date that (a) the expenditure on a Project is made or (b) each such Project is completed, but in no event later than three years after the date of which the original expenditure is paid. The foregoing notwithstanding, the Issuer shall not expend such proceeds or investment earnings more than 60 days after the earlier of (a) the fifth anniversary of the date of delivery of the Bonds or (b) the date the Bonds are retired, unless the Issuer obtains an opinion of nationally-recognized bond counsel substantially to the effect that such expenditure will not adversely affect the tax-exempt status of the Bonds.

Section 14. DISPOSITION OF PROJECT. The Issuer covenants that the property constituting a Project will not be sold or otherwise disposed in a transaction resulting in the receipt by the Issuer of cash or other compensation, unless the Issuer obtains an opinion of nationally-recognized bond counsel substantially to the effect that such sale or other disposition will not adversely affect the tax-exempt status of the Bonds. For purposes of this Section, the portion of the property comprising personal property and disposed of in the ordinary course of business shall not be treated as a transaction resulting in the receipt of cash or other compensation. For purposes of this Section, the Issuer shall not be obligated to comply with this covenant if it obtains an opinion of nationally-recognized bond counsel to the effect that such failure to comply will not adversely affect the excludability for federal income tax purposes from gross income of the interest.

Section 15. CONTINUING DISCLOSURE UNDERTAKING. (a) Annual Reports. (i) The Issuer shall provide annually to the MSRB, within six months after the end of each Fiscal Year ending in or after 2010, financial information and operating data with respect to the Issuer of the general type included in the final Official Statement authorized by Section 17 of this Order, being the information described in Exhibit B hereto. Any financial statements so to be provided shall be (1) prepared in accordance with the accounting principles described in Exhibit B hereto, or such other accounting principles as the Issuer may be required to employ from time to time pursuant to state law or regulation, and (2) audited, if the Issuer commissions an audit of such statements and the audit is completed within the period during which they must be provided. If the audit of such financial statements is not complete within such period, then the Issuer shall provide unaudited financial statements and, when and if the audit report on such statements becomes available, audited financial statements for the applicable fiscal year to the MSRB, when and if the audit report becomes available.

(ii) If the Issuer changes its Fiscal Year, it will notify the MSRB of the change (and of the date of the new Fiscal Year end) prior to the next date by which the Issuer otherwise would be required to provide financial information and operating data pursuant to this Section. The financial information and operating data to be provided pursuant to this Section may be set forth in full in one or more documents or may be included by specific reference to any document (including an official statement or other offering document, if it is available from the MSRB) that theretofore has been provided to the MSRB or filed with the SEC. Filings shall be made electronically, in the format as prescribed by the MSRB.

(b) Material Event Notices. The Issuer shall notify the MSRB, in a timely manner, of any of the following events with respect to the Bonds, if such event is material within the meaning of the federal securities laws:

1. Principal and interest payment delinquencies;
2. Non-payment related defaults;
3. Unscheduled draws on debt service reserves reflecting financial difficulties;
4. Unscheduled draws on credit enhancements reflecting financial difficulties;
5. Substitution of credit or liquidity providers, or their failure to perform;
6. Adverse tax opinions or events affecting the tax-exempt status of the Bonds;
7. Modifications to rights of holders of the Bonds;
8. Bond calls;
9. Defeasances;
10. Release, substitution, or sale of property securing repayment of the Bonds;
and
11. Rating changes.

The Issuer shall notify the MSRB, in a timely manner, of any failure by the Issuer to provide financial information or operating data in accordance with subsection (a) of this Section by the time required by such subsection.

(c) Limitations, Disclaimers, and Amendments. (i) The Issuer shall be obligated to observe and perform the covenants specified in this Section for so long as, but only for so long as, the Issuer remains an "obligated person" with respect to the Bonds within the meaning of the Rule, except that the Issuer in any event will give notice of any deposit made in accordance with this Order or applicable law that causes Bonds no longer to be outstanding.

(ii) The provisions of this Section are for the sole benefit of the holders and beneficial owners of the Bonds, and nothing in this Section, express or implied, shall give any benefit or any legal or equitable right, remedy, or claim hereunder to any other person. The Issuer undertakes to provide only the financial information, operating data, financial statements, and notices which it has expressly agreed to provide pursuant to this Section and does not hereby undertake to provide any other information that may be relevant or material to a complete presentation of the Issuer's financial results, condition, or prospects or hereby undertake to update any information provided in accordance

with this Section or otherwise, except as expressly provided herein. The Issuer does not make any representation or warranty concerning such information or its usefulness to a decision to invest in or sell Bonds at any future date.

(iii) UNDER NO CIRCUMSTANCES SHALL THE ISSUER BE LIABLE TO THE HOLDER OR BENEFICIAL OWNER OF ANY BOND OR ANY OTHER PERSON, IN CONTRACT OR TORT, FOR DAMAGES RESULTING IN WHOLE OR IN PART FROM ANY BREACH BY THE ISSUER, WHETHER NEGLIGENT OR WITHOUT FAULT ON ITS PART, OF ANY COVENANT SPECIFIED IN THIS SECTION, BUT EVERY RIGHT AND REMEDY OF ANY SUCH PERSON, IN CONTRACT OR TORT, FOR OR ON ACCOUNT OF ANY SUCH BREACH SHALL BE LIMITED TO AN ACTION FOR MANDAMUS OR SPECIFIC PERFORMANCE.

(iv) No default by the Issuer in observing or performing its obligations under this Section shall comprise a breach of or default under the Order for purposes of any other provision of this Order. Nothing in this Section is intended or shall act to disclaim, waive, or otherwise limit the duties of the Issuer under federal and state securities laws.

(v) Should the Rule be amended to obligate the Issuer to make filings or provide notices to entities other than the MSRB, the Issuer agrees to undertake such obligation in accordance with the Rule as amended.

(vi) The provisions of this Section may be amended by the Issuer from time to time to adapt to changed circumstances that arise from a change in legal requirements, a change in law, or a change in the identity, nature, status, or type of operations of the Issuer, but only if (1) the provisions of this Section, as so amended, would have permitted an underwriter to purchase or sell Bonds in the primary offering of the Bonds in compliance with the Rule, taking into account any amendments or interpretations of the Rule since such offering as well as such changed circumstances and (2) either (a) the holders of a majority in aggregate principal amount (or any greater amount required by any other provision of this Order that authorizes such an amendment) of the outstanding Bonds consent to such amendment or (b) a person that is unaffiliated with the Issuer (such as nationally recognized bond counsel) determines that such amendment will not materially impair the interest of the holders and beneficial owners of the Bonds. If the Issuer so amends the provisions of this Section, it shall include with any amended financial information or operating data next provided in accordance with subsection (a) of this Section an explanation, in narrative form, of the reason for the amendment and of the impact of any change in the type of financial information or operating data so provided. The Issuer may also amend or repeal the provisions of this continuing disclosure agreement if the SEC amends or repeals the applicable provision of the Rule or a court of final jurisdiction enters judgment that such provisions of the Rule are invalid, but only if and to the extent that the provisions of this sentence would not prevent an underwriter from lawfully purchasing or selling Bonds in the primary offering of the Bonds.

Section 16. DEFAULT AND REMEDIES. (a) Events of Default. Each of the following occurrences or events for the purpose of this Order is hereby declared to be an Event of Default:

(i) the failure to make payment of the principal of or interest on any of the Bonds when the same becomes due and payable; or

(ii) default in the performance or observance of any other covenant, agreement or obligation of the Issuer, the failure to perform which materially, adversely affects the rights of the registered owners of the Bonds, including, but not limited to, their prospect or ability to be repaid in accordance with this Order, and the continuation thereof for a period of 60 days after notice of such default is given by any registered owner to the Issuer.

(b) Remedies for Default.

(i) Upon the happening of any Event of Default, then and in every case, any registered owner or an authorized representative thereof, including, but not limited to, a trustee or trustees therefor, may proceed against the Issuer, or any official, officer or employee of the Issuer in their official capacity, for the purpose of protecting and enforcing the rights of the registered owners under this Order, by mandamus or other suit, action or special proceeding in equity or at law, in any court of competent jurisdiction, for any relief permitted by law, including the specific performance of any covenant or agreement contained herein, or thereby to enjoin any act or thing that may be unlawful or in violation of any right of the registered owners hereunder or any combination of such remedies.

(ii) It is provided that all such proceedings shall be instituted and maintained for the equal benefit of all registered owners of Bonds then outstanding.

(c) Remedies Not Exclusive.

(i) No remedy herein conferred or reserved is intended to be exclusive of any other available remedy or remedies, but each and every such remedy shall be cumulative and shall be in addition to every other remedy given hereunder or under the Bonds or now or hereafter existing at law or in equity; provided, however, that notwithstanding any other provision of this Order, the right to accelerate the debt evidenced by the Bonds shall not be available as a remedy under this Order.

(ii) The exercise of any remedy herein conferred or reserved shall not be deemed a waiver of any other available remedy.

(iii) By accepting the delivery of a Bond authorized under this Order, such registered owner agrees that the certifications required to effectuate any covenants or representations contained in this Order do not and shall never constitute or give rise to a personal or pecuniary liability or charge against the officers, employees or members of the Issuer or the

Board.

(iv) None of the members of the Board, nor any other official or officer, agent, or employee of the Issuer, shall be charged personally by the registered owners with any liability, or be held personally liable to the registered owners under any term or provision of this Order, or because of any Event of Default or alleged Event of Default under this Order.

Section 17. SALE OF BONDS. (a) Sale of Bonds. The sale of the Bonds to Southwest Securities, Inc., as representative (the "Representative") for the Underwriters named in the Purchase Agreement, at the purchase price to be negotiated by the Designated Financial Officer as shall be set forth in the Purchase Agreement, is hereby authorized, ratified and confirmed. One Bond in the principal amount maturing on each maturity date as set forth in the Purchase Agreement shall be delivered to the Representative, on behalf of itself and the other Underwriters, and the Underwriters shall have the right to exchange such bonds upon their initial delivery as provided in Section 5 hereof without cost.

(b) Purchase Agreement Authorized. The Purchase Agreement setting forth the terms of the sale of the Bonds to the Underwriters, in substantially the form previously approved by the Board in connection with the sale of the Series 2009 Bonds, is hereby approved and authorized to be delivered in executed form to the Underwriters.

(c) Official Statement. The official statement prepared in connection with the sale of the Bonds, in substantially the form attached to this Order, are hereby accepted, approved and authorized to be delivered in executed form to the Underwriters. The use of the "Preliminary Official Statement" prepared in connection with the sale of the Bonds is hereby ratified.

Section 18. FURTHER PROCEDURES. (a) Actions Authorized. The Chair and the Vice Chair of the Board, the Chancellor of the District, the Designated Financial Officer, and all other officers, employees, and agents of the District, and each of them, shall be and they are hereby expressly authorized, empowered, and directed from time to time and at any time to do and perform all such acts and things and to execute, acknowledge, and deliver in the name and under the corporate seal and on behalf of the Issuer all such instruments, whether herein mentioned, as may be necessary or desirable in order to carry out the terms and provisions of this Order, the Bonds, the sale and delivery of the Bonds and fixing all details in connection with the Bonds. The Board hereby authorizes the payment of the fee of the Office of the Attorney General of the State of Texas for the examination of the proceedings relating to the issuance of the Bonds, in the amount determined in accordance with the provisions of Section 1202.004, Texas Government Code.

(b) Use of Proceeds. The proceeds representing accrued interest on the Bonds shall be deposited to the credit of the Interest and Sinking Fund. Proceeds representing premium, if any, paid by the Underwriters in connection with the sale of the Bonds may be used for any purpose authorized by Section 1201.042(d), Texas Government Code; provided, that no more than \$50,000,000 in proceeds from the sale of the Bonds shall be deposited to the credit of the Construction Fund.

Section 19. CONTINUED PERFECTION OF SECURITY INTEREST. Chapter 1208, Texas Government Code, applies to the issuance of the Bonds and the pledge of the ad valorem taxes granted by the Issuer under Section 7 of this Order, and such pledge is therefore valid, effective, and perfected. If Texas law is amended at any time while the Bonds are outstanding and unpaid such that the pledge of the ad valorem taxes granted by the Issuer under Section 7 of this Order is to be subject to the filing requirements of Chapter 9, Texas Business & Commerce Code, then in order to preserve to the registered owners of the Bonds the perfection of the security interest in said pledge, the Issuer agrees to take such measures as it determines are reasonable and necessary under Texas law to comply with the applicable provisions of Chapter 9, Texas Business & Commerce Code and enable a filing to perfect the security interest in said pledge to occur.

Section 20. BUILD AMERICA BONDS. The Board hereby delegates to the Designated Financial Officer the authority to make an irrevocable election, under Section 54AA(d)(1)(C) of the Code, to designate all or any portion of the Bonds authorized to be sold under the terms of this Order as Build America Bonds.

Should the Designated Financial Officer exercise the authority granted by this Order to sell all or a portion of the Bonds as Build America Bonds, the District makes an irrevocable election pursuant to Section 54AA(g)(2)(B) of the Code to receive direct payments of the credit described in Section 6431 of the Code with respect to the issuance of such Bonds as Build America Bonds. The District hereby covenants that it will use its best efforts to take all actions necessary to ensure the future collection of the direct payments in support of such Bonds while such Bonds are outstanding; provided, that a failure to collect the direct payment, in and of itself, shall not constitute an Event of Default as defined in Section 16 of this Order.

The Designated Financial Officer, or his designee, is hereby authorized and directed from time to time and at any time to perform all acts and things necessary or desirable and to execute and deliver any agreements, certificates, documents or other instruments, whether herein mentioned, to carry out the terms and provisions of this Section 20, including but not limited to, (i) the preparation and making of any filings with the Internal Revenue Service and (ii) taking any actions necessary to obtain any moneys from the Federal government that may be available to the District.

Direct subsidy payments received from the United States Department of the Treasury in support of the payment of the interest on the Bonds, if any, sold as Build America Bonds, in an amount equal to 35% of the interest payable on such Bonds promptly will be deposited to the credit of the Interest and Sinking Fund, and used to pay interest on such Bonds.

In connection with any issuance of Bonds as Build America Bonds, the District covenants to pay to the United States of America at least once during each five-year period (beginning on the date of delivery of the Bonds) an amount that is at least equal to 90 percent of the "Excess Earnings", within the meaning of section 148(f) of the Code and to pay to the United States of America, not later than 60 days after the Bonds have been paid in full, 100 percent of the amount then required to be paid as a result of Excess Earnings under section 148(f) of the Code. In order to facilitate compliance with clause (h) above, a "Rebate Fund" is established and held by the District for the sole benefit of

the United States of America, and such Rebate Fund shall not be subject to the claim of any other person, including without limitation the registered owners of the Bonds. The Rebate Fund is established for the additional purpose of compliance with section 148 of the Code.

Section 21. **APPLICATION OF COVENANTS.** Should all or any portion of the Bonds be issued as Build America Bonds, the covenants set forth in Sections 12, 13 and 14 of this Order shall not apply to such Bonds issued as Build America Bonds. If none of the Bonds are issued as Build America Bonds, the provisions set forth in Section 20 of this Order shall not be effective.

Section 22. **RULES OF CONSTRUCTION.** For all purposes of this Order, unless the context requires otherwise, all references to designated Sections and other subdivisions are to the Sections and other subdivisions of this Order. The words "herein", "hereof" and "hereunder" and other words of similar import refer to this Order as a whole and not to any particular Section or other subdivision. Except where the context otherwise requires, terms defined in this Order to impart the singular number shall be considered to include the plural number and vice versa. References to any named person means that party and its successors and assigns. References to any constitutional, statutory or regulatory provision means such provision as it exists on the date this Order is adopted by the Board and any future amendments thereto or successor provisions thereof. Any reference to "FORM OF BOND" shall refer to the form of the Bonds set forth in Exhibit A to this Order.

Section 23. **REPEAL OF CONFLICTING ORDERS.** All orders or resolutions and all parts of any orders or resolutions which are in conflict or inconsistent with this Order are hereby repealed and shall be of no further force or effect to the extent of such conflict or inconsistency.

Section 24. **PUBLIC NOTICE.** It is hereby found and determined that each of the officers and members of the Board was duly and sufficiently notified officially and personally, in advance, of the time, place, and purpose of the meeting at which this Order was adopted; that this Order would be introduced and considered for adoption at said meeting; and that said meeting was open to the public, and public notice of the time, place, and purpose of said meeting was given, all as required by Chapter 551, Texas Government Code.

EXHIBIT A
FORM OF BOND

R-__

PRINCIPAL
AMOUNT
\$ _____

UNITED STATES OF AMERICA
STATE OF TEXAS
DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
GENERAL OBLIGATION BOND
SERIES 2010

<u>MATURITY DATE</u> _____	<u>INTEREST RATE</u> _____ %	<u>ORIGINAL ISSUE DATE</u> _____	<u>CUSIP NO.</u> _____
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REGISTERED OWNER:

PRINCIPAL AMOUNT: _____ DOLLARS

ON THE MATURITY DATE specified above, DALLAS COUNTY COMMUNITY COLLEGE DISTRICT, in Dallas County, Texas (the "Issuer"), being a political subdivision of the State of Texas, hereby promises to pay to the Registered Owner set forth above, or registered assigns (hereinafter called the "registered owner") the principal amount set forth above, and to pay interest thereon from the original issue date of this Bond specified above, on August 15, 2010 and semi-annually on each February 15 and August 15 thereafter to the maturity date specified above, or the date of redemption prior to maturity, at the interest rate per annum specified above; except that if the Paying Agent/Registrar's Authentication Certificate appearing on the face of this Bond is dated later than August 15, 2010, such interest is payable semi-annually on each February 15 and August 15 following such date; provided, however, that if on the date of authentication hereof the interest on the Bond or Bonds, if any, for which this Bond is being exchanged is due but has not been paid, then this Bond shall bear interest from the date to which such interest has been paid in full.

THE PRINCIPAL OF AND INTEREST ON this Bond are payable in lawful money of the United States of America, without exchange or collection charges. The principal of this Bond shall be paid to the registered owner hereof upon presentation and surrender of this Bond at maturity or upon the date fixed for its redemption prior to maturity, at the designated corporate trust office in Dallas, Texas (the "Designated Trust Office") of U.S. Bank National Association, which is the "Paying Agent/Registrar" for this Bond. The payment of interest on this Bond shall be made by the Paying Agent/Registrar to the registered owner hereof as shown by the Registration Books kept by the Paying Agent/Registrar at the close of business on the last business day of the month next preceding such interest payment date (the "Record Date") by check drawn by the Paying Agent/Registrar on, and payable solely from, funds of the Issuer required to be on deposit with the Paying Agent/Registrar for such purpose as hereinafter provided; and such check shall be sent by the

Paying Agent/Registrar by United States mail, first class postage prepaid, on each such interest payment date, to the registered owner hereof at its address as it appears on the Registration Books kept by the Paying Agent/Registrar, as hereinafter described, on the Record Date. The Issuer covenants with the registered owner of this Bond that no later than each principal payment date and interest payment date for this Bond it will make available to the Paying Agent/Registrar the amounts required to provide for the payment, in immediately available funds, of all principal of and interest on the Bonds, when due, all as provided in the order authorizing the issuance of the Bonds (the "Bond Order"). In the event of a non-payment of interest on a scheduled payment date, and for 30 days thereafter, a new record date for such interest payment (a "Special Record Date") will be established by the Paying Agent/Registrar, if and when funds for the payment of such interest have been received from or on behalf of the District. Notice of the Special Record Date and of the scheduled payment date of the past due interest (the "Special Payment Date", which shall be 15 days after the Special Record Date) shall be sent at least five business days prior to the Special Record Date by United States mail, first class postage prepaid, to the address of each registered owner appearing on the books of the Paying Agent/Registrar at the close of business on the last business day next preceding the date of mailing such notice. The foregoing notwithstanding, a registered owner of one million dollars or more of principal amount of the Bonds may receive, at its expense, all payments of principal and interest by wire transfer on each payment date. All Bonds of this series are issuable solely as fully registered bonds, without interest coupons, in the denomination of any integral multiple of \$5,000 in principal amount (an "Authorized Denomination").

IF THE DATE for the payment of the principal of or interest on this Bond shall be a Saturday, Sunday, a legal holiday, or a day on which banking institutions in the city where the Designated Trust Office of the Paying Agent/Registrar is located are authorized by law or executive order to close, then the date for such payment shall be the next succeeding day which is not such a Saturday, Sunday, legal holiday, or day on which banking institutions are authorized to close; and payment on such date shall have the same force and effect as if made on the original date payment was due.

THIS BOND is one of a Series of Bonds dated the Original Issue Date specified above, authorized in accordance with the Constitution and laws of the State of Texas in the principal amount of \$_____, for the purpose of constructing and equipping school buildings in the District, and the purchase of the necessary sites therefor, and paying costs of issuance associated with the sale of the Bonds.

ON FEBRUARY 15, 20__, or on any date thereafter, the Bonds of this Series maturing on and after February 15, 20__ may be redeemed prior to their scheduled maturities, at the option of the Issuer, with funds derived from any available and lawful source, as a whole, or in part, and, if in part, the particular Bonds, or portions thereof, to be redeemed shall be selected and designated by the Issuer (provided that a portion of a Bond may be redeemed only in an Authorized Denomination), at par and accrued interest to the date fixed for redemption; provided, that during any period in which ownership of the Bonds is determined only by a book entry at a securities depository for the Bonds, if fewer than all of the Bonds of the same maturity and bearing the same interest rate are to be redeemed, the particular Bonds of such maturity and bearing such interest rate shall be selected in accordance with the arrangements between the Issuer and the securities depository.

AT LEAST 30 days prior to the date fixed for any redemption of Bonds or portions thereof prior to maturity a written notice of such redemption shall be published once in a financial publication, journal or reporter of general circulation among securities dealers in The City of New York, New York or in the State of Texas. Such notice also shall be sent by the Paying Agent/Registrar by United States mail, first class postage prepaid, at least 30 days prior to the date fixed for any such redemption, to the registered owner of each Bond to be redeemed at its address as it appeared on the Registration Books on the 45th day prior to such redemption date; provided, however, that the failure to send, mail or receive such notice, or any defect therein or in the sending or mailing thereof, shall not affect the validity or effectiveness of the proceedings for the redemption of any Bond, and it is hereby specifically provided that the publication of such notice as required above shall be the only notice actually required in connection with or as a prerequisite to the redemption of any Bonds or portions thereof. By the date fixed for any such redemption due provision shall be made with the Paying Agent/Registrar for the payment of the required redemption price for the Bonds or portions thereof which are to be so redeemed. If such written notice of redemption is published and if due provision for such payment is made, all as provided above, the Bonds or portions thereof which are to be so redeemed thereby automatically shall be treated as redeemed prior to their scheduled maturities, and they shall not bear interest after the date fixed for redemption, and they shall not be regarded as being outstanding except for the right of the registered owner to receive the redemption price from the Paying Agent/Registrar out of the funds provided for such payment. If a portion of any Bond shall be redeemed a substitute Bond or Bonds having the same maturity date, bearing interest at the same rate, in any Authorized Denomination, at the written request of the registered owner, and in aggregate principal amount equal to the unredeemed portion thereof, will be issued to the registered owner upon the surrender thereof for cancellation, at the expense of the Issuer, all as provided in the Bond Order.

AS PROVIDED IN THE BOND ORDER, this Bond may, at the request of the registered owner or the assignee or assignees hereof, be assigned, transferred and exchanged for a like aggregate principal amount of fully registered Bonds, without interest coupons, payable to the appropriate registered owner, assignee or assignees, as the case may be, having the same denomination or denominations in any Authorized Denomination as requested in writing by the appropriate registered owner, assignee or assignees, as the case may be, upon surrender of this Bond to the Paying Agent/Registrar for cancellation, all in accordance with the form and procedures set forth in the Bond Order. Among other requirements for such assignment and transfer, this Bond must be presented and surrendered to the Paying Agent/Registrar at its Designated Trust Office, together with proper instruments of assignment, in form and with guarantee of signatures satisfactory to the Paying Agent/Registrar, evidencing assignment of this Bond or any portion or portions hereof in any Authorized Denomination to the assignee or assignees in whose name or names this Bond or any such portion or portions hereof is or are to be registered. The form of Assignment printed or endorsed on this Bond may be executed by the registered owner to evidence the assignment hereof, but such method is not exclusive, and other instruments of assignment satisfactory to the Paying Agent/Registrar or its agent may be used to evidence the assignment of this Bond or any portion or portions hereof from time to time by the registered owner. Such assignments, transfers, exchanges and registrations shall be without expense or service charge to the owner or owners, provided in any circumstance, any taxes or governmental charges required to be paid with respect thereto shall be paid by the one requesting such assignment, transfer, exchange or registration as a condition

FORM OF REGISTRATION CERTIFICATE OF THE
COMPTROLLER OF PUBLIC ACCOUNTS (INITIAL BONDS ONLY):

COMPTROLLER'S REGISTRATION CERTIFICATE: REGISTER NO.

I hereby certify that this Bond has been examined, certified as to validity, and approved by the Attorney General of the State of Texas, and that this Bond has been registered by the Comptroller of Public Accounts of the State of Texas.

Witness my signature and seal this

Comptroller of Public Accounts
of the State of Texas

(COMPTROLLER'S SEAL)

FORM OF PAYING AGENT/REGISTRAR'S AUTHENTICATION CERTIFICATE

PAYING AGENT/REGISTRAR'S AUTHENTICATION CERTIFICATE

(To be executed if this Bond is not accompanied by an
executed Registration Certificate of the Comptroller
of Public Accounts of the State of Texas)

It is hereby certified that this Bond has been issued under the provisions of the Bond Order described in the text of this Bond; and that this Bond has been issued in conversion or replacement of, or in exchange for, a bond, bonds, or a portion of a bond or bonds of a Series which originally was approved by the Attorney General of the State of Texas and registered by the Comptroller of Public Accounts of the State of Texas.

Dated

U.S. BANK NATIONAL ASSOCIATION,
Paying Agent/Registrar

By _____
Authorized Representative

FORM OF ASSIGNMENT:

ASSIGNMENT

FOR VALUE RECEIVED, the undersigned registered owner of this Bond, or duly authorized representative or attorney thereof, hereby assigns this Bond to

/_____/_____
(Assignee's Social Security
or Taxpayer Identification number)

(print or type Assignee's name
and address, including zip code)

_____ and hereby irrevocably constitutes and appoints

_____ attorney to transfer the registration of this Bond on the Paying Agent/Registrar's Registration Books with full power of substitution in the premises.

Dated _____

Signature Guaranteed:

NOTICE: This signature must be guaranteed by a member of the New York Stock Exchange or a commercial bank or trust company.

NOTICE: This signature must correspond with the name of the Registered Owner appearing on the face of this Bond in every particular without alteration or enlargement or any change whatsoever.

DESCRIPTION OF ANNUAL FINANCIAL INFORMATION

The following information is referred to in Section 15 of this Order.

Annual Financial Statements and Operating Data

The financial information and operating data with respect to the District to be provided annually in accordance with such Section are as specified (and included in the Appendix or under the headings of the Official Statement referred to) below:

1. The information of the general type included in tables 1 through 6, inclusive, tables 8 through 14, inclusive.
2. Appendix B to the Official Statement, "**Excerpts from the Dallas County Community College District Annual Financial Report**".

Accounting Principles

The accounting principles referred to in such Section are the accounting principles described in the notes to the financial statements referred to in paragraph 2 above.

CURRICULUM REPORT NO. 11

Approval of Three Awards in Commercial Building Energy Performance Technology for Cedar Valley College

It is recommended that the Board of Trustees authorize Cedar Valley College to offer a 25 credit hour Level I Certificate in Green Energy in Buildings; a 55 credit hour Level II Certificate in Energy Management, Energy Efficiency and Commissioning; and a 27-28 credit hour Advance Technical Certificate in Continuous Commissioning.

Purpose: In response to President Obama's "New Energy for America" plan and the "Green Jobs Act," Cedar Valley College is proposing to be the first institution in Texas and second in the nation to offer a complete program in Commercial Building Energy Performance Technology. The program has been designed to prepare students to work at the technician; specialist; and manager levels.

Demand: Given the fact that this is a new and emerging career field, projections of labor market demand were not available through local, state and national labor market information systems. Therefore, Cedar Valley College conducted a local-regional survey in December 2008 to assess the level of need. Based on the results, Cedar Valley College found that there were 49 open positions with projections for rapid increases due to enforcement of new building codes and certifications. The Level I Certificate will prepare individuals with the skills to conduct energy audits. The Level II Certificate will provide two specialization tracks: (1) Energy Management, or (2) Energy Efficiency and Commissioning. The Advanced Technical Certificate for Continuous Commissioning has been developed for those persons who hold a Bachelor of Science degree in a related field and who seek to enhance their skill sets.

Resources: Cedar Valley College has planned for the startup of this program through budget planning; allocation of classroom and lab space in the new Industrial and Technology Building; and partnerships with area businesses. For the first year, Cedar Valley College will use the existing full time faculty member for the Residential Building Technology program to coordinate both programs.

BUILDING & GROUNDS REPORT NO. 12

Approval of Amendment to Agreement with Hellmuth, Obata + Kassabaum, L.P.

It is recommended that authorization be given to approve an amendment to the agreement with Hellmuth, Obata + Kassabaum, L.P., in an amount not to exceed \$46,250 for additional services for Cedar Valley College.

Original Agreement	\$1,895,346
Previous Amendment (s)	\$984,261
Amendment	<u>\$46,250</u>
Revised Agreement	\$2,925,857

The board approved the original contract with Hellmuth, Obata + Kassabaum, L.P. July 11, 2006 in the amount of \$1,895,346 for professional architectural and design services for the science, allied health, and veterinary technology building for Cedar Valley College. Amendment 1 was a clarification of agreement wording and did not change the scope of work. Amendment 2 for \$260,891 provided additional fee due to an increase of the project size and scope of work resulting in an increase of \$2,497,682 in the approved construction budget. This amendment also provided additional design services related to the upgrade of the existing central utility plant, additional design services related to the demolition of the existing veterinary technology building, preparation of a facilities master plan to analyze existing space conditions and additional design services related to audio, visual, and technology. Amendment 3 for \$24,900 provided additional design services for structural engineering modifications to the central plant, fiber optic relocation, substation utility relocation, Texas Utilities electrical coordination, and early release package. Amendment 4 for \$146,700 provided additional professional services for campus entry signage, design of a twelve inch water line to complete the loop at the campus, and information technology/outside plant for building (IT/OSP) upgrades. Amendment 5 for \$14,000 provided for additional professional services to perform surveys and prepare documents required to abandon an existing easement and establish a new easement associated with the relocation of the existing electrical lines by Oncor Electric. Amendment 6 for \$80,720 provided for professional design services for installation of an additional 3,000 feet of twelve inch water line from Bonnevieu Road to an existing connection point on the existing water system as required by the City of Dallas to increase water pressure throughout the campus. It also provided for additional professional services for revisions to previously approved construction documents to incorporate changes requested by the campus related to millwork, lecture halls, hardware and the scavenger system for the science, allied health, and veterinary

technology building. Amendment 7 is a clarification of agreement wording regarding the architect's final invoice and does not change the scope of work or include any change in fee. Amendment 8 for \$128,700 provided for professional design services related to the demolition of the existing veterinary technology barn and construction of a new veterinary technology barn. It also included professional design services for a new concrete roadway to replace an existing asphalt roadway connecting the main entry drive to the north entry drive to the gymnasium. Additionally, it provided funds for estimated additional reimbursable expenses including LEED project registration. Amendment 9 for \$328,350 provided for professional design services for the adaptive remodel of building "B" to renovate the spaces vacated by faculty and students moving into the new science, allied health, and veterinary technology building. Given that documentation of existing conditions is very limited, the amendment included field verification and preparation of base drawings of the existing conditions to be used during preparation of the construction documents for the adaptive remodel. Also included were professional services to perform an energy modeling study and prepare documentation for achieving an additional LEED credit, thereby potentially allowing the project to obtain LEED gold certification. Removal and rebuilding of the veterinary barn, construction of the connecting drive, and demolition of the old veterinary technology area were activities not included in the original scope of work and have had a significant impact on the overall project schedule leading to additional construction administration fees for the architect and the consultants which were included with this amendment. The following table contains information about prior amendments to the contract:

Board Approved	VCBA Approved	Change Order No.	Amount	Revised Contract
07/11/2006			\$1,895,346	
	04/02/2007	1	\$0	\$1,895,346
05/01/2007		2	\$260,891	\$2,156,237
	10/12/2007	3	\$24,900	\$2,181,137
01/08/2008		4	\$146,700	\$2,327,837
05/06/2008		5	\$14,000	\$2,341,837
04/07/2009		6	\$80,720	\$2,422,557
	04/15/2009	7	\$0	\$2,422,557
06/02/2009		8	\$128,700	\$2,551,257
09/01/2009		9	\$328,350	\$2,879,607
Pending		10	\$46,250	\$2,925,857

This amendment of \$46,250 provides for professional design services for the analysis, engineering, design, and construction administration of a complete fire sprinkler system for building "B" at Cedar Valley College. This will bring the building into conformance with the current life safety code

standards for fire protection as recommended by the State and the City of Dallas, and which would be required if the building were to be extensively remodeled in the future. The fire protection system would include a new fire pump, standby generator, and, if necessary, an additional water line for water service and enhanced electrical service. A new pump room will be required for the fire pump.

This recommendation increases the contract to \$2,925,857, which is \$1,030,511 (54.37%) over the original amount. This project is financed by General Obligation Bond Series 2004.

Policy Reminders

Board policies pertinent to evaluating a recommendation for contract amendment or change order include:

In the execution of his or her duties, the Chancellor must: ...

p. Ensure careful planning that minimizes need for change orders and amendments to contracts for facilities projects, and provide oversight for those that are deemed essential. BAA (LOCAL), POWERS, DUTIES, RESPONSIBILITIES: PROVIDE DIRECTION

Certain officials of the District are hereby expressly authorized to contract on behalf of the District as follows:

1. Capital improvement change orders. The Chancellor or Vice-Chancellor of Business Affairs may authorize a capital improvement change order if the amount of the change order is less than \$25,000 and is less than 25 percent of the original contract. The Board may delegate its authority to approve a change order of \$25,000 or more to the Chancellor or Vice-Chancellor if the Board authorizes a contingency fund and the change order does not exceed the contingency fund. Otherwise, a change order of \$25,000 or more must be taken to the Board for approval. CF (LOCAL), PURCHASING AND ACQUISITION: DELEGATION OF CONTRACTUAL AUTHORITY

Note: (LEGAL) denotes the subject is regulated by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

BUILDING AND GROUNDS REPORT NO. 13

Approval of Change Order with Accord Construction, Inc.

It is recommended that authorization be given to approve change orders No. 5 and 6 with Accord Construction, Inc. in an amount not to exceed \$22,009.20 to provide services for patching potholes, omitting hydro-mulch, remove and replace circular drive, sidewalk by pool, delete two barrier free ramps, add three ramps and extend drive to pool storage area at LaPrada Drive at Eastfield College.

Original agreement	\$1,946,000.00
Previous change order(s)	316,528.50
Change order No.5 & 6	22,009.20
Revised agreement	<u>\$2,284,537.70</u>

This is project #4, *Progress Report on Construction Projects* in the Informative Reports section of the agenda. The project is for the LaPrada Entrance at the Eastfield College. Construction was 85% complete as of September 25, 2009.

The Board approved the recommendation for award for Bid No.11505 for the replacement of approximately 60%, or some 4,400 linear feet, of the existing loop road encircling the campus. Also included is the construction of a new driveway connecting LaPrada Drive with the new Learning Center Building to create a “front entrance” on the west side of the campus. Replacement of the remaining portion of the roadway will be bid upon completion of the various construction projects currently underway on the campus. Original contract amount was \$1,946,000, plus 10% of contingency for a total of \$2,140,600. The executive vice chancellor of business affairs was authorized to approve change orders in an amount not to exceed the contingency fund.

The project is to be completed on January 15, 2010. Change order No. 5 and 6 adds 0 days to the project. Provided by Board Policy CF (Local), change orders Nos. 1-6 were approved as follows:

<u>Board Approved</u>	<u>VCBA Approved</u>	<u>Change Order No.</u>	<u>Amount</u>	<u>Revised Contract</u>	<u>Contingency</u>
	8/24/09	1	\$ 11,533	\$1,957,533	\$183,067
	8/24/09	2	\$ 64,240	\$2,021,773	\$118,827
	8/24/09	3	\$ 10,636	\$2,032,409	\$108,191
9/1/09		4	\$230,120	\$2,262,529	(\$121,929)

Pending		5	\$ 5,480	\$2,268,009	(\$127,409)
Pending		6	\$ 16,529	\$2,284,538	(\$143,938)

Change order No. 5 and 6 provides for patching potholes, omit hydro-mulch, remove and replace circular drive, sidewalk by pool, delete two barrier free ramps, add three ramps and drive expansion at LaPrada Drive at Eastfield College.

This recommendation increases the project cost to \$2,284,538, which is \$338,537 (17%) over the original amount.

Policy Reminders

Board policies pertinent to evaluating a recommendation for contract amendment or change order include:

In the execution of his or her duties, the Chancellor must: ...

p. Ensure careful planning that minimizes need for change orders and amendments to contracts for facilities projects, and provide oversight for those that are deemed essential. BAA (LOCAL), POWERS, DUTIES, RESPONSIBILITIES: PROVIDE DIRECTION

Certain officials of the District are hereby expressly authorized to contract on behalf of the District as follows:

1. Capital improvement change orders. The Chancellor or Vice-Chancellor of Business Affairs may authorize a capital improvement change order if the amount of the change order is less than \$25,000 and is less than 25 percent of the original contract. The Board may delegate its authority to approve a change order of \$25,000 or more to the Chancellor or Vice-Chancellor if the Board authorizes a contingency fund and the change order does not exceed the contingency fund. Otherwise, a change order of \$25,000 or more must be taken to the Board for approval. CF (LOCAL), PURCHASING AND ACQUISITION: DELEGATION OF CONTRACTUAL AUTHORITY

Note: (LEGAL) denotes the subject is regulated by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

BUILDING AND GROUNDS REPORT NO. 14

Approval of Agreement with Neel-Schaffer.

It is recommended that authorization be given to approve an agreement with Neel-Schaffer in an amount not to exceed \$36,380 to provide Civil Engineering services for Richland College.

This RLC project not yet listed in the *Progress Report on Construction Projects* (Informative Reports section of this agenda). The project is to provide a Traffic Study Report for west access at Abrams, northeast access at Walnut and northwest access at Walnut for Richland College.

The facilities management staff pre-qualifies architectural and engineering firms and selected Neel-Schaffer from its pool of pre-qualified firms. The agreement will be made on January 5, 2010. Compensation is to be a fee not to exceed \$34,000 plus reimbursable expenses not to exceed \$2,380.

FINANCIAL REPORT NO. 15

Approval of Expenditures for November 2009

The chancellor recommends approval of expenditures in the amount of \$36,099,323 in the month of November 2010.

Policy Reminders

Board policies pertinent to evaluating a recommendation for approval of expenditures include:

Act as a fiduciary in the management of funds under the control of institutions subject to the Board's control and management. BAA (LEGAL), MANAGEMENT OF COLLEGE DISTRICT FUNDS, Education Code 51.352(e)

The College District shall not lend its credit or gratuitously grant public money or things of value in aid of any individual, association, or corporation. CC (LEGAL), AUTHORIZED EXPENDITURES, Tx. Const. Art. III, Sec 52; Brazoria County v. Perry, 537 S.W.2d 89 (Civ. App. 1976)

The College District shall not grant any extra compensation, fee, or allowance to a public officer, agent, servant, or contractor after service has been rendered or a contract entered into and performed in whole or in part. Nor shall the College district pay or authorize the payment of any claim against the College District under agreement or contract made without authority of law. CC (LEGAL), AUTHORIZED EXPENDITURES, Tx. Const. Art III, Sec 53; Harlingen ISD v. C.H. Page and Bro., 48 S.W.2d 983 (Comm. App. 1932)

Board responsibilities shall be to...provide ways and means of financial support; approve the annual budget; review and approve expenditures. BAA (LOCAL), BOARD LEGAL STATUS – POWERS, DUTIES, RESPONSIBILITIES

The adopted budget provides authority to expend funds for the purposes indicated and in accordance with state law, board policy, and the College District's approved purchasing procedures. The expenditure of funds shall be under the direction of the Chancellor or designee who shall ensure that funds are expended in accordance with the adopted budget. CC (LOCAL), BUDGET ADOPTION

Note: (LEGAL) denotes the subject is regulated by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

FINANCIAL REPORT NO. 16

Approval of Resolution for Agreement Related to the Texas Investment Provider Selection Committee (TIPSC)

It is recommended that the Board authorize the Chancellor to sign an agreement authorizing the DCCCD's participation in a consortium of Texas community colleges for the purpose of developing parameters and issuing an RFP to investment providers. This group will evaluate responses, rank, negotiate with, and select vendors to administer the DCCCD's 403(b) retirement programs. The District has previously participated in this initiative for a period of one-year. This resolution is to authorize the District's ongoing participation by becoming a member of the Texas Investment Provider Selection Committee (TIPSC).

In 2008, the DCCCD Board of Trustees authorized the Chancellor to enter into an agreement with a third-party administrator to manage the DCCCD's 403(b) retirement programs. The vendor, TSA Consulting, was selected through the recommendation of a consortium dedicated towards the review and appointment of appropriate vendors for these types of services. This resolution is made to approve the DCCCD's participation again in this selection process by becoming a member of the Texas Investment Provider Selection Committee (TIPSC).

RESOLUTION APPROVING INTERLOCAL AGREEMENT FOR THE CREATION
OF THE TEXAS INVESTMENT PROVIDER SELECTION COMMITTEE (TIPSC)

WHEREAS, Dallas County Community College District (DCCCD) is authorized under Chapter 791 of the Texas Government to contract with other local governments and a state agency to provide governmental functions or services; and

WHEREAS, each College Authority that shall become a party to the Interlocal Agreement for the for the Texas Investment Provider Selection Committee (“TIPSC Interlocal Agreement”) shall be a Junior College District created under the Texas Education Code Chapter 130 and a local government as defined in the Texas Government Code Sec. 791.004(A); and

WHEREAS, the DCCCD is of the opinion that achieving the objectives of determining the best and most cost effective 403(b) investment providers can be facilitated by establishing a state-wide cooperative approach through the creation of an administrative agency under Texas Government Code Sec. 791.013 to be known as the Texas Investment Providers Selection Committee (“TIPSC”); and

WHEREAS, the DCCCD wishes to become a party to the TIPSC Interlocal Agreement to establish the organizational structure and allocation of responsibilities for the creation, funding and operation of the TIPSC within the State of Texas to engage in a collaborative Request for Proposals (“RFPs”) selection process for 403(b) investment providers;

NOW, THEREFORE, be it resolved that:

The Board authorizes the Chancellor to sign the Participation Agreement to become a party to the Texas Investment Provider Selection Committee Interlocal Agreement, a consortium of Texas community colleges, for the purpose of developing parameters for and issuing an RFP to investment providers, and to subsequently evaluate responses, negotiate with, rank and select vendors.

PASSED AND APPROVED this 5th day of January, 2010.

Jerry Prater, Chair
Board of Trustees
Dallas County Community College District

ATTEST:

Wright L. Lassiter, Jr., Secretary
Board of Trustees

FINANCIAL REPORT NO. 17

Approval of Membership in North Central Texas Regional Certification Agency

It is recommended that authorization be given to renew membership in the North Central Texas Regional Certification Agency in an amount not to exceed \$36,180 for the period September 1, 2009 through August 31, 2010.

In 1985, The Board of Trustees adopted a simple policy declaration on the subject of outreach to minority, disadvantaged, and woman-owned business enterprises. The statement recognized certification of eligible firms through the Dallas Regional Minority Purchasing Council. This organization became the Dallas/Fort Worth Minority Business Development Council. In 1991, this agency and also the City of Dallas, which had been the principal certifying agency for the public sector in Dallas County, discontinued certification programs.

In November 1991, the Board of Trustees approved an interlocal agreement for establishing a North Central Texas Regional Certification Agency with responsibility for performing certification services for participating public entities. DCCCD has been a member each subsequent year.

The annual membership fee for 2009/2010 is \$36,180 for the period September 1, 2009 through August 31, 2010.

FINANCIAL REPORT NO. 18

Approval of Interlocal Contract for Services Provided by DCCCD to Dallas County Hospital District

The chancellor recommends approval of the following interlocal contract for services provided by DCCCD:

- Dallas County Hospital District in an amount not to exceed \$79,871 for the period January 6, 2009 through December 31, 2010 for non credit, State reportable instruction provided by El Centro College.

Policy Reminders

Board policies pertinent to evaluating a recommendation for approval of an interlocal contract for services provided by DCCCD include:

In order to increase the efficiency and effectiveness of College District operations and government, the College District may contract, to the extent it deems feasible, with other junior colleges, College Districts, local governments, and agencies of the state to study the feasibility of the performance of a governmental function or service by interlocal contract or to provide a governmental function or service that each party to the contract is authorized to perform individually.

An interlocal contract must be authorized by the Board and the governing body of each contracting party; must state the purpose, terms, rights, and duties of the contracting parties; and must specify that each party paying for the performance of governmental functions or services shall make those payments from current revenues available to the paying party.

An interlocal contractual payment must be in an amount that fairly compensates the performing party for the services or functions performed under the contract.
**GG (LEGAL), RELATIONS WITH GOVERNMENTAL AGENCIES AND
AUTHORITIES, INTERLOCAL COOPERATION CONTRACTS, Gov't Code
791.001, 791.003(4), 791.011(c)-(f)**

Note: (LEGAL) denotes the subject is regulated by federal or state authority. DCCCD Legal Counsel interprets Gov't Code 791.011 to mean action is required by DCCCD Board of Trustees for every interlocal contract, irrespective of financial thresholds that apply to other contracts.

FINANCIAL REPORT NO. 19

Approval of Amendment to Agreement with The University of Texas at Arlington

It is recommended that authorization be given to approve an amendment to the agreement with The University of Texas at Arlington to amend the contracted amount by \$161,341.40. This contract is to provide opportunities toward improving transfer rates and strengthening transfer success for students in Teaching and Nursing programs at Mountain View College.

The initial agreement between The University of Texas at Arlington and Mountain View College was approved by the board on September 4, 2007. This amendment seeks only to increase the total amount of reimbursement by Mountain View College by \$161,341.40. The current agreement is for expenses not to exceed \$716,805. The additional amount would change this total to \$878,146.40. The start and end dates will remain the same. The requested increased amount to the contract between Mountain View College and The University of Texas at Arlington will increase the capacity to strengthen the transfer rates and the support of students in Nursing and Teacher Education by sustaining the successful programs the Title V Cooperative Grant has created.

FINANCIAL REPORT NO. 20

Approval of Agreement with Spanish Language Institute (“ASLI”),
registered by Mexico’s Secretary of Public Education as the *Instituto de
Lengua y Estudios Latinoamericanos*

It is recommended that authorization be given to approve an agreement with Spanish Language Institute (“ASLI”), registered by Mexico’s Secretary of Public Education as the *Instituto de Lengua y Estudios Latinoamericanos* in an amount not to exceed \$38,300 for the period July 9, 2010 through August 7, 2010, to provide studies in the Spanish language and Mexican culture for North Lake College.

This program will provide DCCCD students an educational and cultural experience (“Program”) throughout the Cuernavaca, Mexico region. The program includes both classroom and excursion experiences. Up to 20 students will participate in the program along with one faculty member and two program coordinators. As part of the fee being paid to ASLI, home stay experiences and two meals per day will be provided for students and staff members.

FINANCIAL REPORT NO. 21

Approval of Agreement with Grand Prairie AirHogs Professional Baseball Team

It is recommended that authorization be given to approve an agreement with Grand Prairie AirHogs Professional Baseball Team in an amount not to exceed \$30,000 for the period February 1, 2010 through December 31, 2012, to provide advertising and promotional activities for North Lake College and Mountain View College.

The colleges have agreed to a joint, two-year advertising contract with AirHogs, a professional baseball organization. The contract includes an 8 foot by 32 foot billboard for the colleges at the AirHogs stadium, and a High School Seniors Night promotional activity for each contract year.

FINANCIAL REPORT NO. 22

Approval of Interagency Agreement with The University of Texas at
Arlington, Texas Manufacturing Assistance Center

It is recommended that authorization be given to approve an interagency agreement with The University of Texas at Arlington, Texas Manufacturing Assistance Center in an amount not to exceed \$500,000 for the period January 1, 2010 through December 31, 2012, to provide training for 92 employees of Zale Corporation for a total of 9255 cumulative hours from North Lake College.

This interagency agreement represents a skill development fund grant for training delivered by The University of Texas at Arlington, Texas Manufacturing Assistance Center under a Skills Development Fund Grant for the Zale Delaware, Inc. North Lake College will provide training for 92 employees of Zale Corporation for a total of 9255 cumulative hours of training in Lean Manufacturing and Communication and Management courses.

Mary A. Jacquez
Faculty/Counselor
Length of Service: 21 years

Effective Date: December 10, 2009
Campus: Eastfield College

Ronald Van Gordan
Manager, Business Incubation Center
Length of Service: 14 years

Effective Date: January 31, 2010
Campus: El Centro College (BJP
Campus)

PHASED FACULTY RETIREMENT – 1

Paul Lindsey
Instructor, Heating and Air Conditioning
Length of Service: 33 years

Effective Date: Academic Year 2010-
2011
Campus: Eastfield College

PERSONNEL REPORT NO. 24

Employment of Contractual Personnel

The Chancellor recommends that the Board of Trustees authorizes execution of written contracts of employment with the following persons on the terms and at the compensations stated.

REGULAR APPOINTMENT ADMINISTRATORS – 3

Courtney Carter
Annual Salary: \$54,753/Band II
Campus: Eastfield View College
Effective Dates: January 6, 2010 through August 31, 2010
Monthly Business and Travel Allowance: \$125
Associate Instructional Dean, Arts Language and Literature
Biographical Sketch: B.A., and M.A., University of North Texas, Denton, TX
Experience: Adjunct Faculty, El Centro, Eastfield and Mountain View Colleges; Visiting Scholar-Faculty, Brookhaven College; Full-time Faculty, Eastfield College

Patricia Rayford
Annual Salary: \$70,000/Band IV
Campus: Eastfield College
Effective Dates: January 11, 2010 through August 31, 2010
Monthly Business and Travel Allowance: \$180
Executive Director, Human Resources
Biographical Sketch: B.B.A., LeTourneau University, Longview, TX
Experience: Superintendent Secretary/Personnel Manager, Troup Independent School District, Troup, TX; Director, Human Resources, Texas College, Tyler, TX; Business Unit Human Resources Manager, Trinity Industries, Dallas, TX

Pamela Shuttlesworth
Annual Salary: \$50,719/Band II
Campus: Mountain View College
Effective Dates: January 6, 2010 through August 31, 2010
Monthly Business and Travel Allowance: \$125
Director of Financial Aid
Biographical Sketch: B.S., Dallas Christian College, Dallas, TX
Experience: Vice President/Account Executive, Axiom Management, Cedar Park, TX; Financial Aid Administrator, Texas Woman's University, Denton, TX

GRANT-FUNDED ADMINISTRATORS– 2

Princess M. Cullum
Annual Salary: \$42,023/Band I
Campus: LeCroy Center
Effective Dates: January 19, 2010 through August 31, 2010

Annual Salary: \$50,719/Band II Effective Dates: January 6, 2010
through August 31, 2010
Monthly Business and Travel Allowance: \$125
Assistant Dean, Continuing Education and Contract Training
Biographical Sketch: M.S., Amberton University, Garland, TX; B.A., Bishop
College, Dallas, TX
Experience: Project Director, AT&T, Dallas, TX; Adjunct Faculty, Brookhaven
and Cedar Valley Colleges

Mary A. Johnson Campus: El Centro College
Annual Salary: \$44,024/Band I Effective Dates: January 6, 2010
through August 31, 2010
Monthly Business and Travel Allowance: \$95
Program Administrator I
Biographical Sketch: B.S., Abilene Christian University, Garland, TX
Experience: Police Academy Program Coordinator, Alarm Unit Supervisor and
Program Coordinator III, City of Dallas-Police Department, Dallas, TX

Bryan Reece Campus: El Centro College
Annual Salary: \$54,753/Band II Effective Dates: January 6, 2010
through August 31, 2010
Monthly Business and Travel Allowance: \$125
Program Administrator II
Biographical Sketch: Ph.D., Texas Tech University, Lubbock, TX; M.S., East
Tennessee State University, Johnson City, TN; B.S., Missouri Western State
University, Lubbock, TX
Experience: Environmental Toxicologist, Environmental Sampling Technologies,
St. Joseph, MO; Teaching Assistant and Post-Doctoral Research Assistant, Texas
Texas Tech University, Lubbock, TX

REGULAR APPOINTMENT FACULTY – 1

Mehrdad Panahi Campus: El Centro College
Annual Salary (Range): \$43,400/F01 Effective Dates: Spring Semester 2010
Instructor, Mathematics
Biographical Sketch: M.S., Texas A&M University-Commerce, Commerce, TX;
B.S., University of Texas at Dallas, Richardson, TX
Experience: Math Lab Coordinator, University of Texas at Dallas,
Richardson, TX; Adjunct Faculty, Richland College; Visiting Scholar-Faculty, El
Centro College

TEMPORARY APPOINTMENT FACULTY – 1

Mary Jo Henry
Annual Salary (Range): \$40,000/F01
Campus: Brookhaven College
Effective Dates: Spring Semester 2010
Only

Instructor, History

Biographical Sketch: M.L.A. and B.A., Southern Methodist University, Dallas, TX

Experience: Teacher Assistant, Highland Park Methodist Church, Dallas, TX;
Adjunct Faculty and Learning Associate, Brookhaven College

VISITING SCHOLAR APPOINTMENT FACULTY – 5

Linda Haynes
Annual Salary (Range): \$50,600/F04
Campus: Brookhaven College
Effective Dates: Spring Semester 2010

Instructor, Health and Human Services

Biographical Sketch: Ph.D., University of North Texas, Denton, TX; MN., Emory University, Atlanta, GA; B.S.N., Northwestern State University, Natchitoches, LA;

Experience: Associate Professor, University of North Colorado, Greeley, CO;
Assistant Clinical Instructor, University of Texas at Arlington, Arlington, TX;
Trauma Nurse and Clinical Research Coordinator, Texas Health-Harris Methodist Hospital, Fort Worth, TX

Alvin Samples, Sr.
Annual Salary (Range): \$40,000/F01
Campus: El Centro College
Effective Dates: Spring Semester 2010

Instructor, Fire Science Program

Biographical Sketch: A.S., Mountain View College

Experience: Lieutenant, Dallas Fire Department, Dallas, TX

Percy Amamasi
Annual Salary (Range): \$46,200/F02
Campus: North Lake College
Effective Dates: Spring Semester 2010

Instructor, Government

Biographical Sketch: M.A. and B.A., University of Houston, Houston, TX

Experience: Adjunct Faculty, North Lake and Richland Colleges; Advanced Placement Reader, College Board/AP Central, Educational Testing, Princeton, NJ

Yoo Nan Heo
Annual Salary (Range): \$42,200/F01
Campus: Richland College
Effective Dates: Spring Semester 2010

Instructor, Developmental Math

Biographical Sketch: M.A., University of Texas at Austin, Austin, TX; B.A., University of Texas at Dallas, Dallas, TX

Experience: Adjunct Faculty, Tutor and Coordinator, Instructional Learning Lab, Brookhaven College

Gina Sawyer
Annual Salary (Range): \$46,200/F02
Instructor, Dance
Campus: Richland College
Effective Dates: Spring Semester 2010
Biographical Sketch: M.L.A., Southern Methodist University, Dallas, TX; M.A. and B.A., Texas Woman's University, Denton, TX
Experience: Teacher/Director, Freshmen Center-Rockwall Independent School District, Rockwall, TX; Teacher/Director, Clark High School-Plano Independent School District, Plano, TX; Adjunct Faculty, Richland College

INTERIM APPOINTMENT ADMINISTRATOR – 1

Kathryn Eggleston
Annual Salary: \$158,605
Campus: Richland College
Effective Dates: January 5, 2010 through August 31, 2010 or the hiring of a new college president, whichever occurs first

Monthly Business and Travel Allowance: \$510

Interim College President

Note: This is a temporary appointment as interim college president for the period noted. As Dr. Eggleston was already in place at Richland College in an "acting role" as of October 6, 2009, it is recommended that the above salary be made retroactive to October 6, 2009 and the title change be effective with Dr. Mittelstet's retirement.

PERSONNEL REPORT NO. 25

Approval of Long-term Sabbatical Leaves for 2010-2011

It is recommended that long-term sabbatical leaves be authorized for the following Administrators and Faculty listed below:

Administrator Long-Term Sabbatical

Dennehy, Michael -- Social Science, World Languages and Distance Learning – Brookhaven College

Period of Leave: Fall 2010

Synopsis: (*Title-A Comprehensive Study of Mexican History, Art and Culture*)

The purpose of this sabbatical is to provide the opportunity to study and learn about the historical, social, religious, artistic and linguistic traditions of Mexico. In turn, this knowledge will be applied in the Social Science, World Language and Distance Learning division through a new course to be developed through this experience.

Cotton, Jerry -- Instructional Support and Distance Education -- Cedar Valley College

Period of Leave: Spring 2011

Synopsis: (*Title-Development and Implementation of a Theatre and Dance Program*)

The purpose of this sabbatical is to participate in activities designed to lead an initiative at Cedar Valley College towards the creation of a Department of Theatre and Dance. Activities include: Visiting facilities that serve similar purposes, networking with other colleges and universities, researching relative rules and regulations from SACS and the Texas Higher Education Coordinating Board, and also, seeking grant funding opportunities and community support.

Faculty Long-Term Sabbatical

Anderson, Loretta G. – Engineering, Business and Technology – Richland College

Period of Leave: Fall 2010

Synopsis: (*Title- Proposal for the Student Transfer Advisory Team*) The purpose of this sabbatical is to create a Student Transfer Advisory Team (STAT) which will help students identify and prepare to transfer to the universities and institutions that best suit their career goals. The STAT members would be composed of volunteers from Richland faculty, advisors and staff. The Student Transfer Advisory Team (STAT) would formally establish a permanent system to guide students through the transfer process and make seamless transitions for lifelong learning.

Carter, Henry Dwayne – Engineering, Business, & Technology – Richland College

Period of Leave: Spring 2011

Synopsis: (*Title- Digital Art and New Media Sabbatical Request*) The purpose of this sabbatical is to attain personal and professional growth and skill development with new media tools. Activities include: studying current technologies and developing a creative project in order to hone skills and abilities to benefit student learning.

Xeriland, Andrea – Math, Science, Allied Health – Cedar Valley College

Period of Leave: Spring 2011

Synopsis: (*Title- Math Learning Modules*) The purpose of this sabbatical is to allow time to plan, write, record, and edit learning modules for math tutorials in order to supplement instruction. Many students have gaps in their mathematical knowledge and skill and this project will provide a supply of ready-made learning modules covering primary concepts that can be shared with them.

Slonecker, William G. – Engineering, Business, & Technology – Richland College

Period of Leave: Fall 2010

Synopsis: (*Title- An Effort to Become More Aware of Other People*) To travel to areas and cities of the Mediterranean Sea so as to broaden my depth of knowledge of people of those different countries and cultures. By becoming more aware of the history, culture, and people I will have a better understanding of my students, fellow workers, and the community we serve.

Cardona, Sara Ellis – Humanities – Richland College

Period of Leave: Fall 2010

Synopsis: (*Title- Creation of HUMA 1305 and HUMA 1311 Textbook*) To create an affordable textbook that will address the needs of two courses: HUMA 1311 (Mexican-American Fine Arts) and HUMA 1305 (Introduction to Mexican-American Culture). Both of these are new courses adopted as part of the 2010 Core Curriculum. There is no textbook currently offered by a publisher to address the topics covered in these courses. The proposed textbook would support the student learning objectives and outcomes for these classes across the District, strengthen the MAS Field of Studies (Mexican-American) and enable students, including those from under-represented communities to succeed in these courses.

Rodriguez, Joan – Communications, Math, Developmental Studies and Teacher Prep – El Centro College

Period of Leave: Fall 2010

Synopsis: (*Title- Closing the Reading Exit Gap*) The purpose of this sabbatical is to identify a means of reducing the number of impediments to the ECC reading student's progress. Research indicates that there is no college (which has a similar target population to that of ECC) that can demonstrate practical success in this area—60% or higher exit rate using a nationally normed test. This sabbatical

experience will investigate many different programs to gauge what parts can be combined for the purpose of ultimately improving ECC's students' chances of beginning their college transfer courses in a more timely manner.

Hunter, Paul – Liberal Arts Learning Center – North Lake College

Period of Leave: Spring 2010-2011

Synopsis: (*Title- The Westward Movement of Emersonian Ideals in Literature and Art*) The purpose of this sabbatical is to conduct independent academic research into American literature and culture and to produce new media: a website accessible to students and faculty and NLC and elsewhere designed in collaboration with the instructor's original research tracing the ideas and values of Ralph Waldo Emerson into the American West.

Contractual employees who have satisfactorily completed a minimum sequence of seven full years of service may be granted sabbatical leave for purpose of study or travel that will benefit the institution, the students and the employees. A faculty member may apply for either a one-year sabbatical leave in which compensation will equal half salary or a one-semester sabbatical leave in which full salary will be paid. An administrator may be granted a sabbatical for the period of one semester only, to be compensated at full pay. An approved sabbatical leave carries with it an obligation of at least two (2) years of continued service immediately after completion of the leave, if the individual is tendered contracts for such periods.

ADMINISTRATORS

This procedure calls for consideration and support for the written proposal by the senior location administrator before forwarding to District Human Resources for verification. There are two administrators being recommended for approval for the coming year. Last year, one administrator was recommended and approved for long-term sabbaticals.

FACULTY

Proposals for faculty sabbaticals are made in writing to the location president. Following college recommendation, the proposals are reviewed and recommended by the District Faculty Cabinet and sent to the Executive Vice Chancellor of Educational Affairs (EVCEA). The EVCEA reviews the recommendations and forwards them to the Chancellor for presentation to the Board. Last year, four faculty members were approved for long-term sabbatical leaves. There are seven faculty members being recommended for approval for the coming year.

INFORMATIVE REPORT NO. 26

Presentation of Current Funds Operating Budget Report for November 2010

The chancellor presents the report of the current funds operating budget for November 2010 for review.

Policy Reminders

Board policies pertinent to evaluating a current funds operating budget report include:

Act as a fiduciary in the management of funds under the control of institutions subject to the Board's control and management. BAA (LEGAL), MANAGEMENT OF COLLEGE DISTRICT FUNDS, Education Code 51.352(e)

In the execution of his or her duties, the Chancellor must: ...Operate the College District with a budget balanced by current funds revenue except in instances when the Board approves use of fund balance for specific purposes. BAA (LOCAL), PROVIDE DIRECTION

In the execution of his or her duties, the Chancellor must: ...Promote fiscal integrity by avoiding material deviations of actual expenditures from the budget. BAA (LOCAL), PROVIDE DIRECTION

The College District should operate on a budget balanced with current funds except as the Board may give specific approval to use fund balance for nonrecurring expenses. BAA (LOCAL), ANNUAL BUDGET

Budget planning shall be an integral part of overall program planning so that the budget effectively reflects the College District's programs and activities and provides the resources to implement them. In the planning process, general educational goals, specific program goals, and alternatives for achieving program goals shall be considered. Budget planning and evaluation are continuous processes and should be part of each month's activities. CC (LOCAL), BUDGET PLANNING

Periodic financial reports shall be submitted to the Board outlining the progress of the budget to that date and reporting on the status of all District funds and District accounts. These financial and budget progress reports shall indicate all receipts and their sources for the period, expenditures and their classification for the period, and the various fund balances at the beginning and the end of the period. CDA (LOCAL)

Note: (LEGAL) denotes the subject is regulated by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
2009-10 CURRENT FUNDS OPERATING BUDGET

REVENUES & ADDITIONS

Year-to-Date November 30, 2009
25.0% of Fiscal Year Elapsed

	Approved Budget	Year-to-Date Actuals	Remaining Balance	Percent Budget	Control Limits	Notes
UNRESTRICTED FUND						
State Appropriations	\$ 97,994,088	\$ 32,355,458	\$ 65,638,630	33.0%	31.3-37.8%	
Tuition	74,356,363	42,615,979	31,740,384	57.3%	48.1-55.7%	(1)
Taxes for Current Operations	126,151,795	8,108,301	118,043,494	6.4%	3.4-5.4%	(2)
Federal Grants & Contracts	887,169	332,304	554,865	37.5%	12.6-46.3%	
State Grants & Contracts	148,520	-	148,520	0.0%	n/a	
General Sources:						
Investment Income	5,400,000	1,001,466	4,398,534	18.5%	21.4-29.8%	(3)
General Revenue	2,627,346	776,709	1,850,637	29.6%	n/a	
Subtotal General Sources	8,027,346	1,778,175	6,249,171	22.2%	22.5-30.8%	(4)
SUBTOTAL UNRESTRICTED	307,565,281	85,190,217	222,375,064	27.7%	n/a	
Use of Fund Balance & Transfers-in	15,162,443	-	15,162,443	0.0%	n/a	
TOTAL UNRESTRICTED	322,727,724	85,190,217	237,537,507	26.4%	21.9-26.6%	
AUXILIARY FUND						
Sales & Services	5,920,664	1,016,975	4,903,689	17.2%	14.0-23.9%	
Investment Income	250,703	53,397	197,306	21.3%	15.2-32.8%	
Transfers-in	4,950,797	4,950,797	-	100.0%	n/a	(5)
Use of Fund Balance	-	-	-	0.0%	n/a	
TOTAL AUXILIARY	11,122,164	6,021,169	5,100,995	54.1%	26.2-57.2%	
RESTRICTED FUND						
State Appropriations:						
Insurance & Retirement Match	24,581,593	6,330,215	18,251,378	25.8%	n/a	
SBDC State Match	2,151,302	692,903	1,458,399	32.2%	n/a	
Subtotal State Appropriations	26,732,895	7,023,118	19,709,777	26.3%	n/a	
Grants, Contracts & Scholarships:						
Federal	68,532,970	12,979,473	55,553,497	18.9%	n/a	
State	9,836,237	1,726,818	8,109,419	17.6%	n/a	
Local	7,094,530	1,754,956	5,339,574	24.7%	n/a	
Transfers-in	597,000	5,271	591,729	0.9%	n/a	
Subtotal Grants, Contracts & Scholarships	86,060,737	16,466,518	69,594,219	19.1%	n/a	
Richland Collegiate High School	-	-	-	n/a	n/a	
TOTAL RESTRICTED	112,793,632	23,489,636	89,303,996	20.8%	n/a	
RICHLAND COLLEGIATE HIGH SCHOOL						
State Funding	3,199,892	458,553	2,741,339	14.3%	n/a	
Investment Income	18,000	2,736	15,264	15.2%	n/a	
TOTAL COLLEGIATE HIGH SCHOOL	3,217,892	461,289	2,756,603	14.3%	n/a	
TOTAL REVENUES & ADDITIONS	\$ 449,861,412	\$ 115,162,311	\$ 334,699,101	25.6%	n/a	

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
2009-10 CURRENT FUNDS OPERATING BUDGET
EXPENDITURES & USES BY FUNCTION

Year-to-Date November 30, 2009
25.0% of Fiscal Year Elapsed

	Approved Budget	Year-to-Date Actuals	Remaining Balance	Percent Budget	Control Limits	Notes
UNRESTRICTED FUND						
Instruction	\$ 128,959,082	\$ 39,250,193	\$ 89,708,889	30.4%	26.7-29.0%	(6)
Public Service	6,530,101	2,182,334	4,347,767	33.4%	21.4-27.9%	(7)
Academic Support	18,592,432	4,906,811	13,685,621	26.4%	23.8-31.2%	
Student Services	27,725,459	7,257,720	20,467,739	26.2%	24.1-25.6%	(8)
Institutional Support	58,618,293	20,108,944	38,509,349	34.3%	23.3-30.9%	(9)
Staff Benefits	10,394,722	3,113,677	7,281,045	30.0%	0.0-55.7%	
Operations & Maintenance of Plant	30,413,953	10,022,442	20,391,511	33.0%	28.5-43.8%	
Repairs & Rehabilitation	19,750,474	4,729,544	15,020,930	23.9%	1.2-37.9%	
Special Items:						
Reserve - Campus	4,140,998	-	4,140,998	n/a	n/a	
Reserve - Compensation	250,000	-	250,000	n/a	n/a	
Reserve - Retention	1,000,000	-	1,000,000	n/a	n/a	
Reserve - State Funding Reduction	-	-	-	n/a	n/a	
Reserve - Operating	2,839,250	-	2,839,250	n/a	n/a	
Reserve - Enrollment Growth	1,000,000	-	1,000,000	n/a	n/a	
Reserve - New Campuses	-	-	-	n/a	n/a	
Reserve - New Buildings	-	-	-	n/a	n/a	
Reserve - Non-operating	2,246,316	-	2,246,316	n/a	n/a	
TOTAL UNRESTRICTED	312,461,080	91,571,665	220,889,415	29.3%	25.0-29.1%	(10)
AUXILIARY FUND						
Student Activities	6,737,073	2,221,984	4,515,089	33.0%	22.5-31.6%	(11)
Sales & Services	3,346,093	949,994	2,396,099	28.4%	25.6-35.9%	
Reserve - Campus	729,457	-	729,457	n/a	n/a	
Reserve - District	193,554	-	193,554	n/a	n/a	
Transfers-out	115,987	49,157	66,830	42.4%	0.0-98.7%	
TOTAL AUXILIARY	11,122,164	3,221,135	7,901,029	29.0%	14.5-43.6%	
RESTRICTED FUND						
State Appropriations	24,581,593	6,330,215	18,251,378	25.8%	4.1-41.9%	
Grants & Contracts	39,740,639	8,465,508	31,275,131	21.3%	n/a	
Scholarships	48,471,400	8,693,913	39,777,487	17.9%	n/a	
Subtotal Grants, Contracts & Scholarships	112,793,632	23,489,636	89,303,996	20.8%	n/a	
Richland Collegiate High School	-	-	-	n/a	n/a	
TOTAL RESTRICTED	112,793,632	23,489,636	89,303,996	20.8%	n/a	
RICHLAND COLLEGIATE H.S.						
Expenditures	3,217,892	523,936	2,693,956	16.3%	n/a	
TOTAL COLLEGIATE HIGH SCHOOL	3,217,892	523,936	2,693,956	16.3%	n/a	
SUBTOTAL EXPENDITURES & USES	439,594,768	118,806,372	320,788,396	27.0%	n/a	
TRANSFERS & DEDUCTIONS:						
Mandatory Transfers:						
Tuition to Debt Service Fund	2,322,986	1,053,375	1,269,611	45.3%	36.4-49.4%	
LoanStar Loan to Debt Service Fund	-	-	-	0.0%	n/a	
Institutional Matching-Contracts/Grants	32,243	-	32,243	0.0%	3.9-82.0%	
Non-Mandatory Transfers & Dedicutions:						
Auxiliary Fund	4,950,797	4,950,797	-	100.0%	n/a	(12)
Unexpended Plant Fund	-	-	-	0.0%	n/a	
Debt Service Fund	2,960,618	740,155	2,220,463	25.0%	n/a	
TOTAL TRANSFERS & DEDUCTIONS	10,266,644	6,744,327	3,522,317	65.7%	n/a	
TOTAL EXPENDITURES & USES	\$ 449,861,412	\$ 125,550,699	\$ 324,310,713	27.9%	n/a	

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
2009-10 CURRENT FUNDS OPERATING BUDGET

EXPENDITURES & USES BY ACCOUNT CLASSIFICATION

Year-to-Date November 30, 2009
25.0% of Fiscal Year Elapsed

	Approved Budget	Year-to-Date Actuals	Remaining Balance	Percent Budget
UNRESTRICTED FUND				
Salaries & Wages	\$ 205,128,899	\$ 56,261,253	\$ 148,867,646	27.4%
Staff Benefits	10,394,722	3,113,677	7,281,045	30.0%
Purchased Services	14,272,598	7,102,366	7,170,232	49.8%
Operating Expenses	78,272,935	17,960,195	60,312,740	22.9%
Supplies & Materials	7,392,454	6,554,429	838,025	88.7%
Minor Equipment	1,387,748	1,721,348	(333,600)	124.0%
Capital Outlay	3,039,902	2,803,502	236,400	92.2%
Charges	(18,904,742)	(3,945,105)	(14,959,637)	20.9%
SUBTOTAL UNRESTRICTED	300,984,516	91,571,665	209,412,851	30.4%
Reserve - Campus	4,140,998	-	4,140,998	n/a
Reserve - Compensation	250,000	-	250,000	n/a
Reserve - Retention	1,000,000	-	1,000,000	n/a
Reserve - State Funding Reduction	-	-	-	n/a
Reserve - Operating	2,839,250	-	2,839,250	n/a
Reserve - Enrollment Growth	1,000,000	-	1,000,000	n/a
Reserve - New Campuses	-	-	-	n/a
Reserve - New Buildings	-	-	-	n/a
Reserve - Non-operating	2,246,316	-	2,246,316	n/a
Transfers & Deductions:				
Mandatory Transfers:				
Tuition to Debt Service Fund	2,322,986	1,053,375	1,269,611	45.3%
LoanStar Loan to Debt Service Fund	-	-	-	0.0%
Institutional Matching - Contracts/Grants	32,243	-	32,243	0.0%
Non-Mandatory Transfers & Deductions:				
Auxiliary Fund	4,950,797	4,950,797	-	100.0%
Unexpended Plant Fund	-	-	-	0.0%
Debt Service Fund	2,960,618	740,155	2,220,463	25.0%
TOTAL UNRESTRICTED	322,727,724	98,315,992	224,411,732	30.5%
AUXILIARY FUND	11,122,164	3,221,135	7,901,029	29.0%
RESTRICTED FUND	112,793,632	23,489,636	89,303,996	20.8%
RICHLAND COLLEGIATE HIGH SCHOOL	3,217,892	523,936	2,693,956	16.3%
TOTAL EXPENDITURES & USES	\$ 449,861,412	\$ 125,550,699	\$ 324,310,713	27.9%

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
2009-10 CURRENT FUNDS OPERATING BUDGET

REVENUES & ADDITIONS

Year-to-Date - 25.0% of Fiscal Year Elapsed

	November 30, 2009			November 30, 2008		
	Approved Budget	Year-to-Date Actuals	Percent Budget	Approved Budget	Year-to-Date Actuals	Percent Budget
UNRESTRICTED FUND						
State Appropriations	\$ 97,994,088	\$ 32,355,458	33.0%	\$ 89,473,204	\$ 34,037,882	38.0%
Tuition	74,356,363	42,615,979	57.3%	67,337,461	36,171,307	53.7%
Taxes for Current Operations	126,151,795	8,108,301	6.4%	126,851,795	4,418,494	3.5%
Federal Grants & Contracts	887,169	332,304	37.5%	844,062	261,457	31.0%
State Grants & Contracts	148,520	-	0.0%	148,520	-	0.0%
General Sources:						
Investment Income	5,400,000	1,001,466	18.5%	6,625,000	1,397,373	21.1%
General Revenue	2,627,346	776,709	29.6%	2,291,414	771,409	33.7%
Subtotal General Sources	8,027,346	1,778,175	22.2%	8,916,414	2,168,782	24.3%
SUBTOTAL UNRESTRICTED	307,565,281	85,190,217	27.7%	293,571,456	77,057,922	26.2%
Use of Fund Balance & Transfers-in	15,162,443	-	0.0%	24,107,909	-	0.0%
TOTAL UNRESTRICTED	322,727,724	85,190,217	26.4%	317,679,365	77,057,922	24.3%
AUXILIARY FUND						
Sales & Services	5,920,664	1,016,975	17.2%	6,694,212	1,142,528	17.1%
Investment Income	250,703	53,397	21.3%	294,664	64,551	21.9%
Transfers-in	4,950,797	4,950,797	100.0%	5,048,797	5,048,797	100.0%
Use of Fund Balance	-	-	0.0%	-	-	0.0%
TOTAL AUXILIARY	11,122,164	6,021,169	54.1%	12,037,673	6,255,876	52.0%
RESTRICTED FUND						
State Appropriations:						
Insurance & Retirement Match	24,581,593	6,330,215	25.8%	23,758,341	2,384,724	10.0%
SBDC State Match	2,151,302	692,903	32.2%	1,551,288	404,057	26.0%
Subtotal State Appropriations	26,732,895	7,023,118	26.3%	25,309,629	2,788,781	11.0%
Grants, Contracts & Scholarships:						
Federal	68,532,970	12,979,473	18.9%	52,219,278	8,520,099	16.3%
State	9,836,237	1,726,818	17.6%	4,425,594	1,128,235	25.5%
Local	7,094,530	1,754,956	24.7%	5,911,446	59,412	1.0%
Transfers-in	597,000	5,271	0.9%	700,335	-	0.0%
Subtotal Grants, Contracts & Scholarships	86,060,737	16,466,518	19.1%	63,256,653	9,707,746	15.3%
Richland Collegiate High School	-	-	n/a	-	-	n/a
TOTAL RESTRICTED	112,793,632	23,489,636	20.8%	88,566,282	12,496,527	14.1%
RICHLAND COLLEGIATE HIGH SCHOOL						
State Funding	3,199,892	458,553	14.3%	2,079,322	366,734	17.6%
Investment Income	18,000	2,736	15.2%	19,530	5,370	27.5%
TOTAL COLLEGIATE HIGH SCHOOL	3,217,892	461,289	14.3%	2,098,852	372,104	17.7%
TOTAL REVENUES & ADDITIONS	\$ 449,861,412	\$ 115,162,311	25.6%	\$ 420,382,172	\$ 96,182,429	22.9%

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
2009-10 CURRENT FUNDS OPERATING BUDGET

EXPENDITURES & USES BY FUNCTION

Year-to-Date - 25.0% of Fiscal Year Elapsed

	November 30, 2009			November 30, 2008		
	Approved Budget	Year-to-Date Actuals	Percent Budget	Approved Budget	Year-to-Date Actuals	Percent Budget
UNRESTRICTED FUND						
Instruction	\$ 128,959,082	\$ 39,250,193	30.4%	\$ 119,685,646	\$ 36,058,627	30.1%
Public Service	6,530,101	2,182,334	33.4%	5,797,545	1,668,365	28.8%
Academic Support	18,592,432	4,906,811	26.4%	16,781,506	5,020,862	29.9%
Student Services	27,725,459	7,257,720	26.2%	26,299,182	6,711,797	25.5%
Institutional Support	58,618,293	20,108,944	34.3%	54,943,269	17,577,096	32.0%
Staff Benefits	10,394,722	3,113,677	30.0%	10,310,363	6,313,282	61.2%
Operations & Maintenance of Plant	30,413,953	10,022,442	33.0%	28,931,326	11,770,601	40.7%
Repairs & Rehabilitation	19,750,474	4,729,544	23.9%	24,234,688	2,424,249	10.0%
Special Items:						
Reserve - Campus	4,140,998	n/a	n/a	2,518,022	n/a	n/a
Reserve - Compensation	250,000	n/a	n/a	9,235,525	n/a	n/a
Reserve - Retention	1,000,000	n/a	n/a	-	n/a	n/a
Reserve - State Funding Reduction	-	n/a	n/a	-	n/a	n/a
Reserve - Operating	2,839,250	n/a	n/a	6,186,883	n/a	n/a
Reserve - Enrollment Growth	1,000,000	n/a	n/a	-	n/a	n/a
Reserve - New Campuses	-	n/a	n/a	-	n/a	n/a
Reserve - New Buildings	-	n/a	n/a	500,000	n/a	n/a
Reserve - Non-operating	2,246,316	n/a	n/a	1,960,223	n/a	n/a
TOTAL UNRESTRICTED	312,461,080	91,571,665	29.3%	307,384,178	87,544,879	28.5%
AUXILIARY FUND						
Student Activities	6,737,073	2,221,984	33.0%	6,538,578	1,834,732	28.1%
Sales & Services	3,346,093	949,994	28.4%	4,458,205	1,191,333	26.7%
Reserve - Campus	729,457	n/a	n/a	744,868	n/a	n/a
Reserve - District	193,554	n/a	n/a	206,009	n/a	n/a
Transfers-out	115,987	49,157	42.4%	90,013	52,311	58.1%
TOTAL AUXILIARY	11,122,164	3,221,135	29.0%	12,037,673	3,078,376	25.6%
RESTRICTED FUND						
State Appropriations	24,581,593	6,330,215	25.8%	23,758,341	2,384,724	10.0%
Grants & Contracts	39,740,639	8,465,508	21.3%	25,489,134	5,588,902	21.9%
Scholarships	48,471,400	8,693,913	17.9%	39,318,807	4,522,901	11.5%
Subtotal Grants, Contracts & Scholarships	112,793,632	23,489,636	20.8%	88,566,282	12,496,527	14.1%
Richland Collegiate High School	-	-	n/a	-	-	n/a
TOTAL RESTRICTED	112,793,632	23,489,636	20.8%	88,566,282	12,496,527	14.1%
RICHLAND COLLEGIATE H.S.						
Expenditures	3,217,892	523,936	16.3%	2,098,852	287,206	13.7%
TOTAL COLLEGIATE HIGH SCHOOL	3,217,892	523,936	16.3%	2,098,852	287,206	13.7%
SUBTOTAL EXPENDITURES & USES	439,594,768	118,806,372	27.0%	410,086,985	103,406,988	25.2%
TRANSFERS & DEDUCTIONS:						
Mandatory Transfers:						
Tuition to Debt Service Fund	2,322,986	1,053,375	45.3%	2,141,649	943,875	44.1%
LoanStar Loan to Debt Service Fund	-	-	0.0%	52,071	52,071	100.0%
Institutional Matching-Contracts/Grants	32,243	-	0.0%	28,000	73,027	260.8%
Non-Mandatory Transfers & Deductions:						
Auxiliary Fund	4,950,797	4,950,797	100.0%	5,048,797	5,048,797	100.0%
Unexpended Plant Fund	-	-	0.0%	-	-	n/a
Debt Service Fund	2,960,618	740,155	25.0%	3,024,670	756,167	25.0%
TOTAL TRANSFERS & DEDUCTIONS	10,266,644	6,744,327	65.7%	10,295,187	6,873,937	66.8%
TOTAL EXPENDITURES & USES	\$ 449,861,412	\$ 125,550,699	27.9%	\$ 420,382,172	\$ 110,280,925	26.2%

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
2009-10 CURRENT FUNDS OPERATING BUDGET

EXPENDITURES & USES BY ACCOUNT CLASSIFICATION

Year-to-Date - 25.0% of Fiscal Year Elapsed

	November 30, 2009			November 30, 2008		
	Approved Budget	Year-to-Date Actuals	Percent Budget	Approved Budget	Year-to-Date Actuals	Percent Budget
UNRESTRICTED FUND						
Salaries & Wages	\$ 205,128,899	\$ 56,261,253	27.4%	\$ 192,635,672	\$ 53,204,655	27.6%
Staff Benefits	10,394,722	3,113,677	30.0%	10,310,363	6,313,282	61.2%
Purchased Services	14,272,598	7,102,366	49.8%	12,178,288	4,515,098	37.1%
Operating Expenses	78,272,935	17,960,195	22.9%	76,588,362	19,615,433	25.6%
Supplies & Materials	7,392,454	6,554,429	88.7%	7,043,156	4,531,019	64.3%
Minor Equipment	1,387,748	1,721,348	124.0%	906,764	1,331,847	146.9%
Capital Outlay	3,039,902	2,803,502	92.2%	4,756,013	1,808,730	38.0%
Charges	(18,904,742)	(3,945,105)	20.9%	(17,435,093)	(3,775,185)	21.7%
SUBTOTAL UNRESTRICTED	300,984,516	91,571,665	30.4%	286,983,525	87,544,879	30.5%
Reserve - Campus	4,140,998	n/a	n/a	2,518,022	n/a	n/a
Reserve - Compensation	250,000	n/a	n/a	9,235,525	n/a	n/a
Reserve - Retention	1,000,000	n/a	n/a	-	n/a	n/a
Reserve - State Funding Reduction	-	n/a	n/a	-	n/a	n/a
Reserve - Operating	2,839,250	n/a	n/a	6,186,883	n/a	n/a
Reserve - Enrollment Growth	1,000,000	n/a	n/a	-	n/a	n/a
Reserve - New Campuses	-	n/a	n/a	-	n/a	n/a
Reserve - New Buildings	-	n/a	n/a	500,000	n/a	n/a
Reserve - Non-operating	2,246,316	n/a	n/a	1,960,223	n/a	n/a
Transfers & Deductions:						
Mandatory Transfers:						
Tuition to Debt Service Fund	2,322,986	1,053,375	45.3%	2,141,649	943,875	44.1%
LoanStar Loan to Debt Service Fund	-	-	0.0%	52,071	52,071	100.0%
Institutional Matching - Contracts/Grants	32,243	-	0.0%	28,000	73,027	260.8%
Non-Mandatory Transfers & Deductions:						
Auxiliary Fund	4,950,797	4,950,797	100.0%	5,048,797	5,048,797	100.0%
Unexpended Plant Fund	-	-	0.0%	-	-	n/a
Debt Service Fund	2,960,618	740,155	25.0%	3,024,670	756,167	25.0%
TOTAL UNRESTRICTED	322,727,724	98,315,992	30.5%	317,679,365	94,418,816	29.7%
AUXILIARY FUND	11,122,164	3,221,135	29.0%	12,037,673	3,078,376	25.6%
RESTRICTED FUND	112,793,632	23,489,636	20.8%	88,566,282	12,496,527	14.1%
RICHLAND COLLEGIATE HIGH SCHOOL	3,217,892	523,936	16.3%	2,098,852	287,206	13.7%
TOTAL EXPENDITURES & USES	\$ 449,861,412	\$ 125,550,699	27.9%	\$ 420,382,172	\$ 110,280,925	26.2%

NOTES

A column titled “Control Limits” appears in the two spreadsheets, *Revenues & Additions* and *Expenditures & Uses by Function*, to illustrate the method of analysis. This column contains plus and minus two standard deviations of the mean for each line item. If the entry is “n/a”, this is a line item that aggregates differently in the new format for the budget report and/or there is no historical data yet available.

- (1) Actual *Tuition* reflects a slightly higher than normal percent of budget due to a higher than expected growth in enrollment for the fall semester. Tuition projections were re-evaluated for Fall Revision.
- (2) Actual *Taxes for Current Operations* reflects a higher than normal percent of budget due to collections being received earlier than usual, per the County Tax Office.
- (3) Actual *Interest Income* reflects a slightly lower than normal percent of budget due to changes in current market conditions resulting from the worldwide financial crisis. Interest income projections were re-evaluated for Fall Revision.
- (4) Actual *Subtotal Unrestricted* reflects a slightly lower than normal percent of budget due primarily to note 3 above.
- (5) Actual *Transfers-in* reflects a transfer of unrestricted funds to support Student Activities.
- (6 & 9) Actual *Instruction and Institutional Support* reflects a slightly higher than normal percent of budget due primarily to the carry forward of encumbrance and requisition obligations funded in prior year along with other requests to use fund balance. Colleges requested use of fund balance to cover these initiatives in Fall Revision. Additionally, the opening of the community campuses has increased expenditures.
- (7) Actual *Public Service* reflects a slightly higher than normal percent of budget due to increased spending for workforce contract training programs.
- (8) Actual *Student Services* reflects a slightly higher than normal percent of budget due primarily to obligations to purchase furniture for the Testing Center at one location and the opening of the new community campuses.
- (10) Actual *Total Unrestricted* reflects a slightly higher than normal percent of budget due primarily to notes 6-9 above.
- (11) Actual *Student Activity* reflects a slightly higher than normal percent of budget due primarily to scheduled facility repairs at one location.

- (12) *Actual Non-Mandatory Transfers* to the Auxiliary Fund reflects a transfer in support of Student Activity. Additional transfers will not be required this fiscal year

INFORMATIVE REPORT NO. 27

Presentation of Revised 4th Quarter Investment Transactions

The 4th quarter investment transactions are presented as provided by Board Policy CAK (Legal), which states: *Not less than quarterly, the investment officer shall prepare and submit to the Board a written report of investment transactions for all funds covered by the Public Funds Investment Act.*

This revised investment report as of 8/31/2009 is being changed and republished to reflect the corrected market value of the portfolio as incorrectly reported in the previous report and by the District's depository bank safekeeping function. When the error was detected it represented a positive change in market value in the amount of \$605,889. The District has installed new procedures to determine portfolio market value on the last working day of each month. The bank is looking into changes in its procedures to ensure that correct market values are reported to its customers.

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT



Investment Portfolio

As of August 31, 2009

**Dallas County Community College District
4th Quarter Report of Investment Transactions
Executive Summary**

The investment portfolio of the District is summarized in the table *Investment Portfolio Summary Report*. The purchase date, maturity date, yield to maturity, book value, and market value are shown for each of the investment securities of the District as of August 31, 2009. The configuration of the portfolio is shown for both the quarters ended August 31, 2009 and May 31, 2009, see Figures 1 and 2. The portfolio is invested 90.09% in U.S. Agency securities. The remaining 9.91% is invested in TexPool and TexSTAR. The proportion of the portfolio invested in Agency securities exceeds policy because of heavier than expected building fund and operating pool drawdowns during August.

Within the portfolio¹ 12.91% of the holdings will mature in one year or less. No security has a term of more than six years from August 31, 2009. 81.51% of the securities held are callable with call dates occurring within the next 12 months. An analysis of the portfolio maturity is shown in Figure 3.

The portfolio has coupons that range in rate from 1.00% to 5.125%. In the past quarter, the District has earned a 0.3453% average return on the short-term investment pools. As of August 31, 2009, the portfolio yield is outperforming the Treasury yield curve; from a minimum of 49 basis points for securities that are five years or less to a maximum of 329 basis points for securities that are 1 year or less. An analysis of the District's portfolio yield compared with the Treasury yield at August 31, 2009 and May 31, 2009 is shown in Figure 4.

Note: There is a *Glossary of Investment Terms* at the end of this report.

¹Includes Pools

*Dallas County Community College District
Investment Portfolio Summary Report
Activity for the 4th Quarter Ended August 31, 2009*

INVESTMENTS:			MARKET		ACCRUED INTEREST	WEIGHTED AVERAGE MATURITY	YIELD TO MATURITY
Beginning of Period	May 31, 2009	\$	237,670,704	\$	1,205,607	1225	2.53%
Purchases		\$	409,438,773				
Maturities / Sold		\$	(369,390,242)				
Market Value Change		\$	60,172				
End of Period	August 31, 2009	\$	277,779,406	\$	1,718,153	1520	2.81%

This report is prepared in compliance with generally accepted accounting principles, the investment strategy expressed in the Investment Policy of the DCCCD Board of Trustees, and the Public Funds Investment Act, as amended.

/s/ Edward M. DesPlas

Edward M. DesPlas, Vice Chancellor of Business Affairs

/s/ Kim Green

Kim Green, Associate Vice Chancellor of Business Affairs

/s/ Robb Dean

Robb Dean, Director of Finance and Treasury

*Dallas County Community College District
Investment Portfolio Transaction Summary Report
Activity for the 4th Quarter Ended August 31, 2009
By Type of Investment*

	Face Amount 8/31/2009	Market Transactions				Market Value Change	Market Value 8/31/2009
		Market Value 5/31/2009	Securities Purchased	Securities Matured /Sold			
SUMMARY:							
MONEY MARKET FUNDS / INVESTMENT POOLS	\$ 27,530,277	\$ 43,186,748	\$ 273,233,773	\$ (288,890,242)	\$ -	\$ 27,530,277	
REPURCHASE AGREEMENTS	-	-	-	-	-	-	
TREASURY SECURITIES	-	-	-	-	-	-	
AGENCY SECURITIES	330,290,000	194,483,956	136,205,000	(80,500,000)	60,172	250,249,129	
PORTFOLIO TOTAL	\$ 357,820,277	\$ 237,670,704	\$ 409,438,773	\$ (369,390,242)	\$ 60,172	\$ 277,779,406	

	Face Amount 8/31/2009	Book Transaction Excludes Unrealized Gain and Loss				Book Value 8/31/2009
		Book Value 5/31/2009	Securities Purchased	Securities Matured / Sold	Securities (Disc.)/Prem.	
SUMMARY:						
MONEY MARKET FUNDS / INVESTMENT POOLS	\$ 27,530,277	\$ 43,186,748	\$ 273,233,773	\$ (288,890,242)	\$ -	\$ 27,530,277
REPURCHASE AGREEMENTS	-	-	-	-	-	-
TREASURY SECURITIES	-	-	-	-	-	-
AGENCY SECURITIES	330,290,000	194,085,000	136,205,000	(80,500,000)	(139,342)	249,650,658
PORTFOLIO TOTAL	\$ 357,820,277	\$ 237,271,748	\$ 409,438,773	\$ (369,390,242)	\$ (139,342)	\$ 277,180,935

Dallas County Community College District

Investment Portfolio Transaction Report

Activity for the 4th Quarter Ended August 31, 2009

Invest. ID	Cusip Number	Description	Purchase Date	Call Date	Maturity Date	Face Amount	Yield
MONEY MARKET FUNDS / INVESTMENT POOLS							
73190		TEXPOOL	30-Jul-09		N/A	\$ 15,374,332	(1)
1111		TEXSTAR	23-Jun-03		N/A	17	(2)
2003		TEXSTAR (TAX NOTE)	06-Aug-03		N/A	1,324,510	(2)
2004		TEXSTAR (TAX NOTE)	06-Apr-04		N/A	8,147,592	(2)
40-0		TEXSTAR (GO)	14-Sep-04		N/A	2,200,019	(2)
40-1		TEXSTAR (CP)	09-Oct-07		N/A	-	(2)
40-2		TEXSTAR (GO 2009)	05-Jun-09		N/A	483,806	(2)
TREASURY SECURITIES							
AGENCY SECURITIES							
16257	3128X7WR1	FHLMC	9-Jun-08	9-Jun-09	9-Jun-11	15,000,000	3.625%
16258	3136F9SL8	FHLMC	27-Jun-08	28-Jul-09	28-Jul-11	15,000,000	3.867%
16259	3133XRQT0	FHLB	17-Jul-08	17-Jul-09	17-Jan-12	15,000,000	4.400%
16260	3128X7U36	FHLMC	30-Jul-08	30-Jan-09	30-Jul-10	5,000,000	3.750%
16261	31331Y5N68	FFCB	20-Aug-08	20-Aug-09	20-Aug-12	5,000,000	4.250%
16262	3128X7Z23	FHLMC	29-Sep-08	29-Sep-09	29-Sep-11	15,000,000	3.550%
16268	3128X8FZ0	FHLMC	4-Feb-09	4-Feb-11	4-Feb-13	10,000,000	2.250%
16269	3133XSY85	FHLB	30-Jan-09	30-Jul-09	30-Jan-12	17,000,000	2.350%
16272	3128X8QP0	FHLMC (NO CALLS)	18-Mar-09	18-Mar-11	18-Mar-14	5,000,000	3.250%
16273	3133XTEP7	FHLB CALLABLE	7-Apr-09	7-Oct-09	7-Apr-14	15,000,000	3.000%
16274	3128X8UR1	FHLMC Step-up	15-Apr-09	15-Jul-09	15-Apr-14	10,000,000	2.000%
16275	3128X8VH2	FHLMC CALL	21-Apr-09	21-Oct-09	21-Apr-14	4,690,000	2.125%
16276	3128X8WM0	FHLMC CALL	21-Apr-09	21-Oct-09	21-Apr-14	5,000,000	2.125%
16277	3128X8XD9	FHLMC CALL	29-Apr-09	29-Oct-09	29-Oct-12	15,000,000	2.400%
16278	3136FHMB8	FNMA CALLABLE	12-May-09	12-Nov-09	12-May-14	8,910,000	2.125%
16279	3128X8ZF2	FHLMC Step-up	4-May-09	4-May-11	4-Nov-14	8,085,000	3.000%
16280	3128X8A85	FHLMC CALL	13-May-09	13-Aug-09	13-Nov-12	7,000,000	2.500%
16281	3136FHG41	FNMA CALLABLE	2-Jul-09	2-Oct-09	2-Jan-13	10,000,000	3.050%
16282	3133XTPP5	FHLB Step-up	22-Jun-09	8-Jun-10	8-Jun-12	8,705,000	1.000%
16283	31331GZR3	FFCB CALLABLE	2-Jul-09	6-Oct-09	2-Jul-14	10,000,000	3.550%
16284	3136FHX75	FNMA CALLABLE	29-Jul-09	29-Jan-10	29-Jul-14	5,000,000	3.100%
16285	3128X84M1	FHLMC CALL	28-Jul-09	28-Jan-10	28-Jul-14	5,000,000	3.125%
16286	3133XUCA9	FHLB CALLABLE	4-Aug-09	4-Nov-09	4-Aug-14	10,000,000	3.500%
16287	31331GD30	FFCB CALLABLE	28-Jul-09	28-Jul-10	28-Jul-15	10,000,000	3.625%
16288	3128X85Y4	FNMA CALLABLE	11-Aug-09	11-Aug-10	11-Aug-15	10,000,000	3.750%
16289	3136FH3F0	FNMA CALLABLE	18-Aug-09	8-Feb-10	18-Aug-14	5,000,000	3.500%
16290	3128X87G1	FHLMC CALL	26-Aug-09	26-Nov-09	26-Aug-15	5,000,000	4.000%
40-26	3136F94W0	FNMA	30-Jan-09	30-Jul-09	30-Jul-12	13,000,000	2.200%
40-28	31331GXC8	FFCB CALLABLE	10-Jun-09	15-Sep-09	28-May-15	10,000,000	3.450%
40-29	3136FHK87	FNMA CALLABLE	6-Jul-09	6-Oct-09	6-Jan-15	10,000,000	4.350%
40-30	31331GYG8	FFCB CALLABLE	23-Jun-09	23-Sep-09	23-Dec-13	7,000,000	3.000%
40-31	3128X82F8	FHMLC	10-Aug-09	30-Sep-09	30-Dec-10	13,500,000	1.250%
40-32	31398AJD5	FNMA CALLABLE	2-Jul-09	2-Oct-09	2-Nov-12	10,000,000	5.125%
40-33	3133XUCA9	FHLB CALLABLE	4-Aug-09	4-Nov-09	4-Aug-14	7,000,000	3.500%
45-1	3128X3WY5	FHLMC Notes	1-Feb-06	22-Sep-06	22-Sep-09	1,300,000	4.000%
45-2	31359MWP4	FNMA Notes	1-Feb-06	N/A	21-Oct-09	1,800,000	4.010%
46-3	3128X8PB2	FHMLC	18-Mar-09	18-Sep-09	18-Mar-14	2,300,000	3.550%
PORTFOLIO TOTAL						\$ 357,820,277	

(1) TexPool yields vary daily. The Average Monthly Rate as of May 31, 2009 was 0.4326%. The Average Monthly Rate as of August 31, 2009 was 0.3380%.
 (2) TexSTAR yields vary daily. The Average Monthly Rate as of May 31, 2009 was 0.4462%. The Average Monthly Rate as of August 31, 2009 was 0.3089%.

Market Transactions

Invest. ID	Market Value 5/31/2009	Securities Purchased	Securities Matured / Sold	Market Value Change	Market Value 8/31/2009
MONEY MARKET FUNDS / INVESTMENT POOLS					
73190	\$ 8,422,684	\$ 82,854,166	\$ (75,902,517)		\$ 15,374,332
1111	12,215,299	\$ 58,078,989	\$ (70,294,271)		17
2003	1,323,397	\$ 1,113	\$ -		1,324,510
2004	6,099,737	\$ 6,116,725	\$ (4,068,869)		8,147,593
40-0	15,125,631	\$ 2,200,649	\$ (15,126,261)		2,200,019
40-1	0	\$ -	\$ -		-
40-2	0	\$ 123,982,130	\$ (123,498,324)		483,806
TREASURY SECURITIES					
13081	0				-
13082	0				-
AGENCY SECURITIES					
16257	15,010,200		(15,000,000)	(10,200)	-
16258	15,079,650		(15,000,000)	(79,650)	-
16259	15,070,350		(15,000,000)	(70,350)	-
16260	5,169,250			(25,850)	5,143,400
16261	5,040,650		(5,000,000)	(40,650)	-
16262	15,144,900			(107,250)	15,037,650
16268	9,967,100			58,300	10,025,400
16269	17,031,960		(17,000,000)	(31,960)	-
16272	5,015,900	-		18,350	5,034,250
16273	14,939,100	-		89,100	15,028,200
16274	9,968,900	-		46,100	10,015,000
16275	4,689,812	-		11,679	4,701,491
16276	4,998,300	-		13,950	5,012,250
16277	15,023,400	-		3,300	15,026,700
16278	8,899,308	-		23,879	8,923,187
16279	7,991,537	-		27,813	8,019,350
16280	6,977,460	-		48,860	7,026,320
16281		10,000,000		18,800	10,018,800
16282		8,705,000		8,183	8,713,183
16283		10,000,000		0	10,000,000
16284		5,000,000		(14,050)	4,985,950
16285		5,000,000		8,750	5,008,750
16286		10,000,000		15,600	10,015,600
16287		10,000,000		(15,600)	9,984,400
16288		10,000,000		78,000	10,078,000
16289		5,000,000		37,500	5,037,500
16290		5,000,000		26,750	5,026,750
40-26	13,012,220			4,030	13,016,250
40-28		10,000,000		(68,700)	9,931,300
40-29		10,000,000		(62,500)	9,937,500
40-30		7,000,000		(2,170)	6,997,830
40-31		13,500,000	(13,500,000)	0	-
40-32		10,000,000		78,100	10,078,100
40-33		7,000,000		10,920	7,010,920
45-1	1,314,482			(11,765)	1,302,717
45-2	1,825,884			(16,884)	1,809,000
46-3	2,313,593	-		(10,212)	2,303,381
PORTFOLIO TOTAL	\$ 237,670,704	\$ 409,438,773	\$ (369,390,242)	\$ 60,172	\$ 277,779,406

(1) TexPool yields vary daily. The Average Monthly Rate as of May 31, 2009 was 0.4326%. The Average Monthly Rate as of August 31, 2009 was 0.3380%.
(2) TexSTAR yields vary daily. The Average Monthly Rate as of May 31, 2009 was 0.4462%. The Average Monthly Rate as of August 31, 2009 was 0.3089%.

Book Transaction Excludes Unrealized Gain and Loss

Invest. ID	Book Value 5/31/2009	Securities Purchased	Securities Matured / Sold	Securities (Disc.)/Prem.	Book Value 8/31/2009
MONEY MARKET FUNDS / INVESTMENT POOLS					
73190	\$ 8,422,684	\$ 82,854,166	\$ (75,902,517)		\$ 15,374,332
1111	12,215,299	58,078,989	(70,294,271)		17
2003	1,323,397	1,113	-		1,324,510
2004	6,099,737	6,116,725	(4,068,869)		8,147,593
40-0	15,125,631	2,200,649	(15,126,261)		2,200,019
40-1	-	-	-		-
40-2	-	123,982,130	(123,498,324)		483,806
TREASURY SECURITIES					
13081	-	-	-	-	-
13082	-	-	-	-	-
AGENCY SECURITIES					
16257	15,000,000		(15,000,000)		-
16258	15,000,000		(15,000,000)		-
16259	15,000,000		(15,000,000)		-
16260	5,000,000				5,000,000
16261	5,000,000		(5,000,000)		-
16262	15,000,000				15,000,000
16268	10,000,000				10,000,000
16269	17,000,000		(17,000,000)		-
16272	5,000,000				5,000,000
16273	15,000,000				15,000,000
16274	10,000,000				10,000,000
16275	4,690,000				4,690,000
16276	5,000,000				5,000,000
16277	15,000,000				15,000,000
16278	8,910,000			(10,042)	8,899,958
16279	8,085,000				8,085,000
16280	7,000,000				7,000,000
16281		10,000,000			10,000,000
16282		8,705,000		(29,260)	8,675,740
16283		10,000,000			10,000,000
16284		5,000,000			5,000,000
16285		5,000,000			5,000,000
16286		10,000,000			10,000,000
16287		10,000,000		(18,459)	9,981,541
16288		10,000,000			10,000,000
16289		5,000,000			5,000,000
16290		5,000,000			5,000,000
40-26	13,000,000				13,000,000
40-28		10,000,000		(182,847)	9,817,153
40-29		10,000,000			10,000,000
40-30		7,000,000		(46,105)	6,953,895
40-31		13,500,000	(13,500,000)		-
40-32		10,000,000		147,371	10,147,371
40-33		7,000,000			7,000,000
45-1	1,300,000				1,300,000
45-2	1,800,000				1,800,000
46-3	2,300,000				2,300,000
PORTFOLIO TOTAL	\$ 237,271,748	\$ 409,438,773	\$ (369,390,242)	\$ (139,342)	\$ 277,180,935

(1) TexPool yields vary daily. The Average Monthly Rate as of May 31, 2009 was 0.4326%. The Average Monthly Rate as of August 31, 2009 was 0.3380%.
(2) TexSTAR yields vary daily. The Average Monthly Rate as of May 31, 2009 was 0.4462%. The Average Monthly Rate as of August 31, 2009 was 0.3089%.

*Dallas County Community College District
Investment Portfolio Percentage Report
Activity for the 4th Quarter Ended August 31, 2009*

Type of Security	Portfolio Pct 5/31/2009	Market Value 5/31/2009	Portfolio Pct 8/31/2009	Market Value 8/31/2009
MONEY MKT FUNDS & INVESTMENT POOLS	18.17%	\$ 43,186,748	9.91%	\$ 27,530,277
TREASURY SECURITIES	0.00%	-	0.00%	-
AGENCY SECURITIES	81.83%	194,483,956	90.09%	250,249,129
PORTFOLIO TOTAL	100.00%	\$ 237,670,704	100.00%	\$ 277,779,406

Portfolio Percent by Investment Type

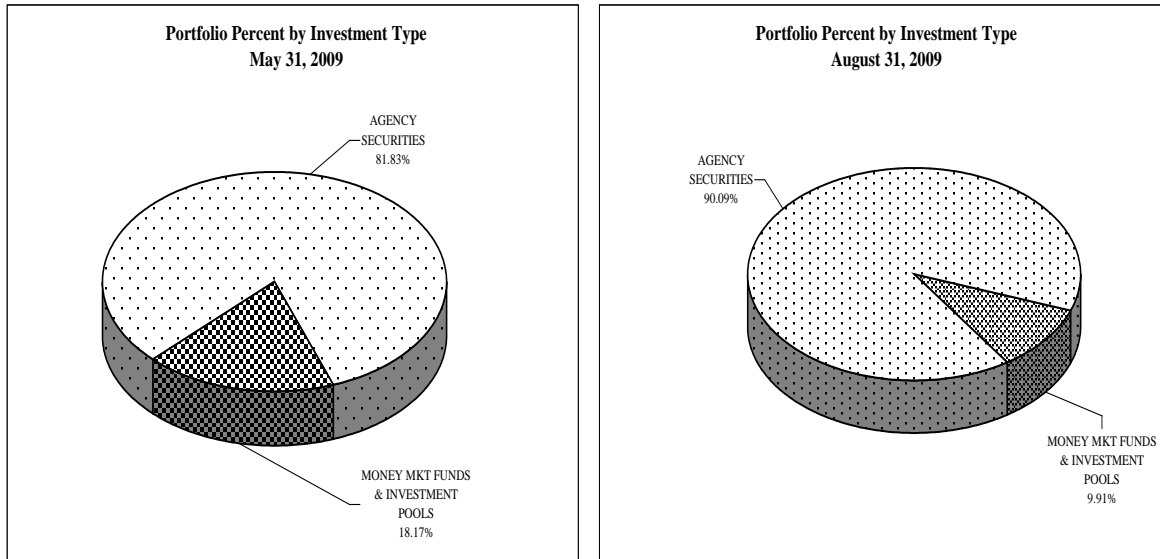


Figure 1 - Investment Portfolio Percentage Report

Dallas County Community College District
Investment Pool Report by Fund Type
Activity for the 4th Quarter Ended August 31, 2009

Fund Description	Portfolio Pct 5/31/2009	Market Value 5/31/2009	Portfolio Pct 8/31/2009	Market Value 8/31/2009
Unrestricted Fund	73.24%	\$ 174,077,510	64.97%	\$ 180,488,760
Auxiliary Fund	6.22%	14,787,486	5.46%	15,162,501
Unexpended Plant Fund	18.47%	43,902,162	27.76%	77,111,559
Quasi - Endowment Fund	2.07%	4,903,546	1.81%	5,016,587
PORTFOLIO TOTAL	100.00%	\$ 237,670,704	100.00%	\$ 277,779,406

Portfolio Pool Allocation Percent by Fund Type

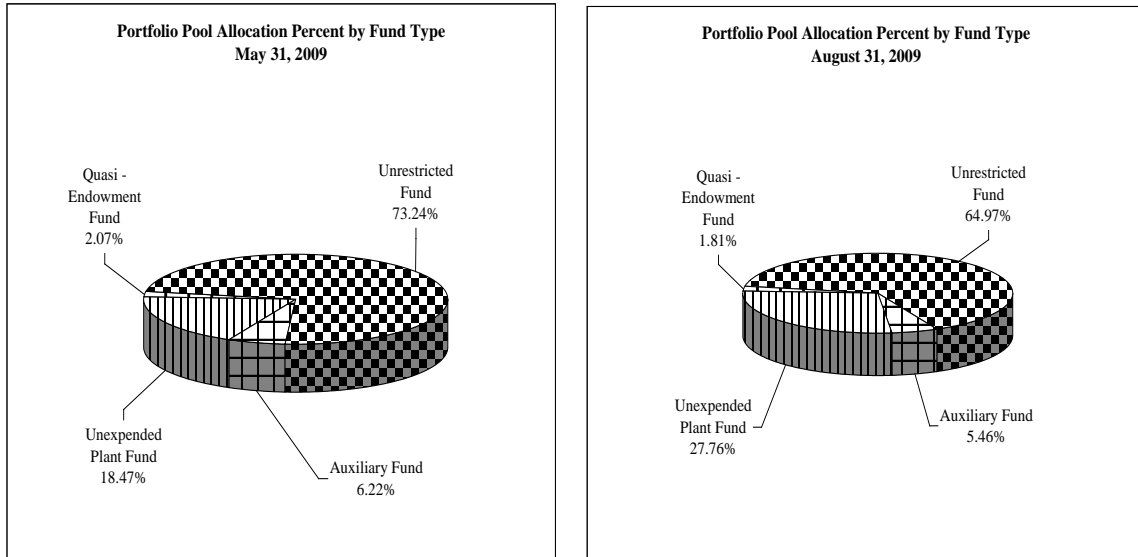
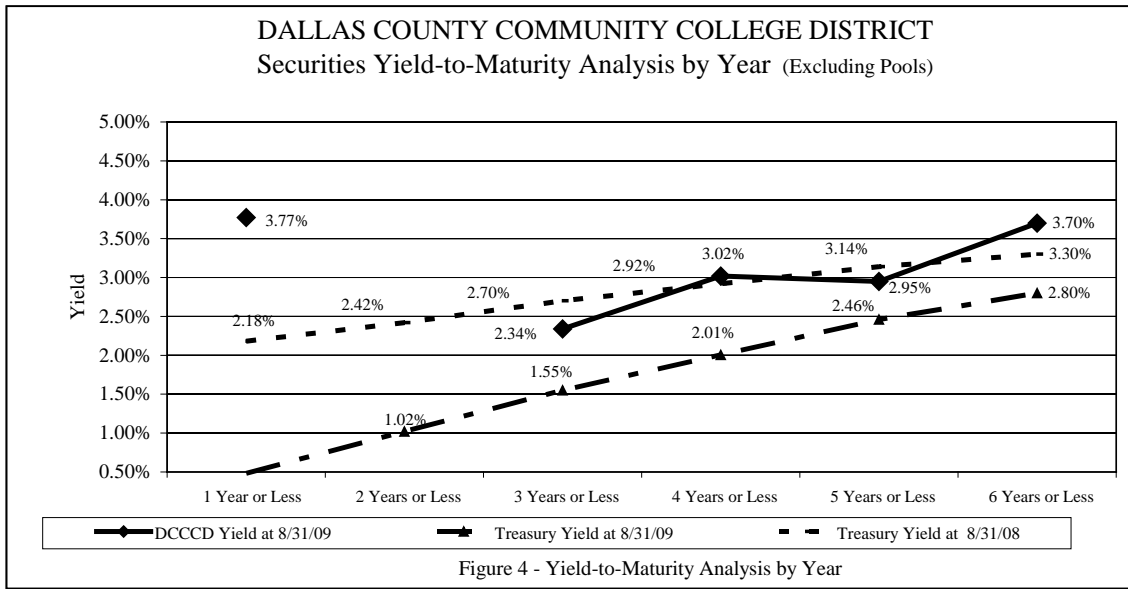
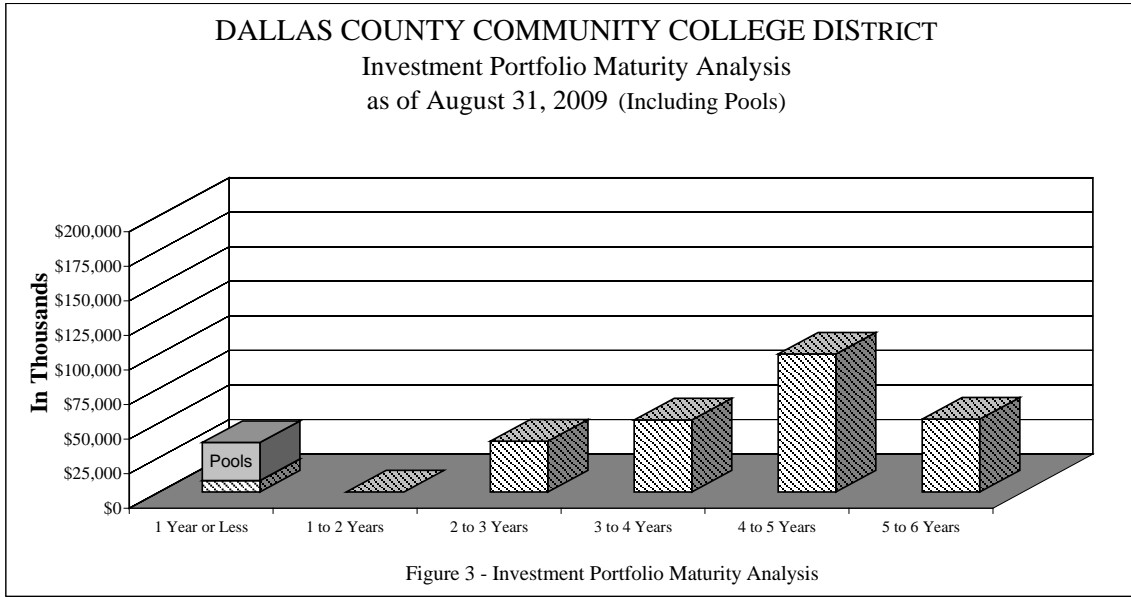


Figure 2 - Investment Portfolio Fund Report



GLOSSARY OF INVESTMENT TERMS

Agency: A security that is issued with an implied or actual pledge of the credit of the U.S. government. The agency is a department of the government or a pseudo-agency that is providing a governmental function (e.g., SLMA, FHLB).

Arbitrage: Arbitrage involves the simultaneous purchase of a security in one market and the sale of it or a derivative product in another market to profit from price differentials between the two markets. As used in municipal finance, it represents the spread between bond interest rates and the interest rate on investments of proceeds. Generally these earnings are limited by IRS requirements to spend proceeds quickly, usually within 24 months.

Basis point: 1/100th of a point (i.e., 50 basis points = .50 % or one half of one percent).

Bond: A long-term promissory note in which the issuer agrees to pay the owner the amount of the face value on a future date and to pay interest at a specified rate at regular intervals.

Broker/dealer: An individual or firm who acts as an intermediary between a buyer and seller, usually charging a commission.

Call: The right to redeem outstanding bonds before their scheduled maturity.

Coupon: The stated interest payment that is based on the face amount of a fixed income security. This amount is usually redeemable at a specific date for a specific payment.

Delivery vs. payment: The control feature that will not allow a security to be paid unless the security is delivered in the exact amount of value as the payment. This transaction usually involves a third party, usually the safekeeping department of a bank.

Discount: The amount of reduction from the face of a fixed income security to compensate for the difference in coupon price and the market value.

GASB 31: A pronouncement by the Governmental Accounting Standards Board that required a “mark to market” for the value of investments on a regular basis, with a recognition of gains or losses contemporaneously by booking an unrealized gain or loss.

GO bond: A bond which is supported by general obligation tax revenues of a governmental entity.

Liquidity: The liquidity of a security is the ease with which the market can absorb volume buying or selling without dramatic fluctuation in price, i.e., ease of entry/exit into/from a market.

Market value: The market value of a security is the last-sale price multiplied by total units outstanding. It is calculated throughout the trading day and is related to the total value of the index.

Maturity: The date that a security comes due. The issuer must pay the holder the face amount of the security.

Municipal bonds: Bonds issued by states, cities, counties, and towns to fund public capital projects like roads, schools, sanitation facilities, bridges, as well as operating budgets. These bonds are exempt from federal taxation and from state and local taxes for the investors who reside in the state where the bond is issued.

Premium: The amount of extra price that is added above the face of a fixed income security to compensate for the difference in coupon price and the market value (which takes into consideration the current interest market compared to the stated coupon).

Repurchase agreement: Agreement between a seller and a buyer, usually of agency or treasury securities, where the seller agrees to repurchase the securities at an agreed upon price and date. A “flex-repo” allows periodic draws against the overall value without a complete repurchase of all principal values.

Revenue bond: A bond which is supported by pledged revenues of the entity.

Settlement: The conclusion of a securities transaction; a broker/dealer buying securities pays for them; a selling broker delivers the securities to the buyer's broker.

Treasury: A security that is issued with the full faith and credit of the United States government.

Underwriter: An investment banker who assumes the risk of bringing a new securities issue to market. The underwriter will buy the issue from the issuer and guarantee sale of a certain number of shares to investors; this is firm-commitment underwriting. To spread the risk of purchasing the issue, the underwriter often will form a syndicate (underwriting group, purchase group) among other investment firms. If the investment firm is unwilling to buy the issue outright, other underwriting forms may be used.

Unrealized gain or loss: The amount of difference between market value and book value of securities recorded on the financial records of an entity. The amount is an unrealized gain if market value is higher than book value. If the market value is lower than the book value, an unrealized loss is recorded. The amount is unrealized until such time as the security or asset is actually sold by the investor, at which time the amount of difference between market and book values is realized. A security held to maturity will not ever realize a gain or loss.

INFORMATIVE REPORT NO. 28

Presentation of 1st Quarter Investment Transactions

The 1st quarter investment transactions are presented as provided by Board Policy CAK (Legal), which states: *Not less than quarterly, the investment officer shall prepare and submit to the Board a written report of investment transactions for all funds covered by the Public Funds Investment Act.*

The 1st quarter investment transaction report is typical for this phase of the annual financial cycle.

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT



Investment Portfolio

As of November 30, 2009

Dallas County Community College District
1st Quarter Report of Investment Transactions
Executive Summary

The investment portfolio of the District is summarized in the table *Investment Portfolio Summary Report*. The purchase date, maturity date, yield to maturity, book value, and market value are shown for each of the investment securities of the District as of November 30, 2009. The configuration of the portfolio is shown for both the quarters ended November 30, 2009 and August 31, 2009, see Figures 1 and 2. The portfolio is invested 75.02% in U.S. Agency securities, 19.79% in TexPool and TexSTAR and 5.19% in U.S. Treasury securities.

Within the portfolio¹, 21.96% of the holdings will mature in one year or less. No security has a term of more than six years from November 30, 2009. Of the securities held, 87% are callable and 74% are callable within the next twelve months. An analysis of the portfolio maturity is shown in Figure 3.

The portfolio had coupons that ranged in rate from 1.00% to 5.125%. In the past quarter, the District has earned a 0.2290% average return on the short-term investment pools. As of November 30, 2009, the portfolio yield is outperforming the Treasury yield curve; from a minimum of 26 basis points for securities that are three years or less to a maximum of 338 basis points for securities that are 1 year or less. An analysis of the District's portfolio yield compared with the Treasury yield at November 30, 2009 and August 31, 2009 is shown in Figure 4.

Note: There is a *Glossary of Investment Terms* at the end of this report.

¹Includes Pools

Dallas County Community College District
Investment Portfolio Summary Report
Activity for the 1st Quarter Ended November 30, 2009

INVESTMENTS:			MARKET		ACCRUED INTEREST	WEIGHTED AVERAGE MATURITY	YIELD TO MATURITY
Beginning of Period	August 31, 2009	\$	277,779,406	\$	1,718,153	1520	2.81%
Purchases		\$	253,414,177				
Maturities / Sold		\$	(296,727,098)				
Market Value Change		\$	910,607				
End of Period	November 30, 2009	\$	235,377,092	\$	869,128	1645	2.33%

This report is prepared in compliance with generally accepted accounting principles, the investment strategy expressed in the Investment Policy of the DCCCD Board of Trustees, and the Public Funds Investment Act, as amended.

/s/ Edward M. DesPlas

Edward M. DesPlas, Vice Chancellor of Business Affairs

/s/ Kim Green

Kim Green, Associate Vice Chancellor of Business Affairs

/s/ Robb Dean

Robb Dean, Director of Finance and Treasury

Dallas County Community College District
Investment Portfolio Transaction Summary Report
Activity for the 1st Quarter Ended November 30, 2009
By Type of Investment

	Face Amount 11/30/2009	Market Transactions					Market Value 11/30/2009
		Market Value 8/31/2009	Securities Purchased	Securities Matured /Sold	Market Value Change		
SUMMARY:							
MONEY MARKET FUNDS / INVESTMENT POOLS	\$ 46,584,450	\$ 27,530,277	\$ 206,381,271	\$ (187,327,098)	\$ -	\$ 46,584,450	
REPURCHASE AGREEMENTS	-	-	-	-	-	-	
TREASURY SECURITIES	12,000,000	-	12,033,906	-	187,174	12,221,080	
AGENCY SECURITIES	284,790,000	250,249,129	34,999,000	(109,400,000)	723,433	176,571,562	
PORTFOLIO TOTAL	\$ 343,374,450	\$ 277,779,406	\$ 253,414,177	\$ (296,727,098)	\$ 910,607	\$ 235,377,092	

	Face Amount 11/30/2009	Book Transaction Excludes Unrealized Gain and Loss					Book Value 11/30/2009
		Book Value 8/31/2009	Securities Purchased	Securities Matured / Sold	Securities Disc./(Prem.)		
SUMMARY:							
MONEY MARKET FUNDS / INVESTMENT POOLS	\$ 46,584,450	\$ 27,530,277	\$ 206,381,271	\$ (187,327,098)	\$ -	\$ 46,584,450	
REPURCHASE AGREEMENTS	-	-	-	-	-	-	
TREASURY SECURITIES	12,000,000	-	12,033,906	-	(735)	12,033,171	
AGENCY SECURITIES	284,790,000	249,650,658	34,999,000	(109,400,000)	(89,487)	175,160,171	
PORTFOLIO TOTAL	\$ 343,374,450	\$ 277,180,935	\$ 253,414,177	\$ (296,727,098)	\$ (90,222)	\$ 233,777,792	

Dallas County Community College District
Investment Portfolio Transaction Report
Activity for the 1st Quarter Ended November 30, 2009

Invest. ID	Cusip Number	Description	Purchase Date	Call Date	Maturity Date	Face Amount	Yield
MONEY MARKET FUNDS / INVESTMENT POOLS							
73190		TEXPOOL	30-Jul-09		N/A	\$ 18,561,120	(1)
1111		TEXSTAR	23-Jun-03		N/A	13,541,234	(2)
2003		TEXSTAR (TAX NOTE)	06-Aug-03		N/A	1,325,248	(2)
2004		TEXSTAR (TAX NOTE)	06-Apr-04		N/A	8,152,133	(2)
40-0		TEXSTAR (GO)	14-Sep-04		N/A	196	(2)
40-1		TEXSTAR (CP)	09-Oct-07		N/A	-	(2)
40-2		TEXSTAR (GO 2009)	05-Jun-09		N/A	5,004,519	(2)
TREASURY SECURITIES							
13083	912828LS7	U.S. T Notes	4-Nov-09		31-Oct-14	5,000,000	2.375%
46-4	912828LQ1	U.S. T Notes	9-Oct-09		30-Sep-14	7,000,000	2.375%
AGENCY SECURITIES							
16260	3128X7U36	FHLMC	30-Jul-08	30-Jan-09	30-Jul-10	5,000,000	3.750%
16262	3128X7Z23	FHLMC	29-Sep-08	29-Sep-09	29-Sep-11	15,000,000	3.550%
16268	3128X8FZ0	FHLMC	4-Feb-09	4-Feb-11	4-Feb-13	10,000,000	2.250%
16272	3128X8QP0	FHLMC (NO CALLS)	18-Mar-09	18-Mar-11	18-Mar-14	5,000,000	3.250%
16273	3133XTEP7	FHLB CALLABLE	7-Apr-09	7-Oct-09	7-Apr-14	15,000,000	3.000%
16274	3128X8UR1	FHLMC Step-up	15-Apr-09	15-Jul-09	15-Apr-14	10,000,000	2.000%
16275	3128X8VH2	FHLMC CALL	21-Apr-09	21-Oct-09	21-Apr-14	4,690,000	2.125%
16276	3128X8WM0	FHLMC CALL	21-Apr-09	21-Oct-09	21-Apr-14	5,000,000	2.125%
16277	3128X8XD9	FHLMC CALL	29-Apr-09	29-Oct-09	29-Oct-12	15,000,000	2.400%
16278	3136FHMB8	FNMA CALLABLE	12-May-09	12-Nov-09	12-May-14	8,910,000	2.125%
16279	3128X8ZF2	FHLMC Step-up	4-May-09	4-May-11	4-Nov-14	8,085,000	3.000%
16280	3128X8A85	FHLMC CALL	13-May-09	13-Aug-09	13-Nov-12	7,000,000	2.500%
16281	3136FHG41	FNMA CALLABLE	2-Jul-09	2-Oct-09	2-Jan-13	10,000,000	3.050%
16282	3133XTTP5	FHLB Step-up	22-Jun-09	8-Jun-10	8-Jun-12	8,705,000	1.000%
16283	31331GZR3	FFCB CALLABLE	2-Jul-09	6-Oct-09	2-Jul-14	10,000,000	3.550%
16284	3136FHX75	FNMA CALLABLE	29-Jul-09	29-Jan-10	29-Jul-14	5,000,000	3.100%
16285	3128X84M1	FHLMC CALL	28-Jul-09	28-Jan-10	28-Jul-14	5,000,000	3.125%
16286	3133XUCA9	FHLB CALLABLE	4-Aug-09	4-Nov-09	4-Aug-14	10,000,000	3.500%
16287	31331GD30	FFCB CALLABLE	28-Jul-09	28-Jul-10	28-Jul-15	10,000,000	3.625%
16288	3128X85Y4	FNMA CALLABLE	11-Aug-09	11-Aug-10	11-Aug-15	10,000,000	3.750%
16289	3136FH3F0	FNMA CALLABLE	18-Aug-09	8-Feb-10	18-Aug-14	5,000,000	3.500%
16290	3128X87G1	FHLMC CALL	26-Aug-09	26-Nov-09	26-Aug-15	5,000,000	4.000%
16291	31331GZ85	FFCB CALLABLE	22-Oct-09	25-Feb-10	22-Oct-15	10,000,000	3.450%
16292	3128X9MC1-10	FHLMC CALL	25-Nov-09	25-Feb-10	25-Nov-14	10,000,000	3.250%
16293	3128X9MC1-5	FHLMC CALL	25-Nov-09	25-Feb-09	25-Nov-14	5,000,000	3.250%
16294	3136FJRX1	FNMA CALLABLE	24-Nov-09	24-May-10	24-Nov-15	10,000,000	3.560%
40-26	3136F94W0	FNMA	30-Jan-09	30-Jul-09	30-Jul-12	13,000,000	2.200%
40-28	31331GXC8	FFCB CALLABLE	10-Jun-09	15-Sep-09	28-May-15	10,000,000	3.450%
40-29	3136FHK87	FNMA CALLABLE	6-Jul-09	6-Oct-09	6-Jan-15	10,000,000	4.350%
40-30	31331GYG8	FFCB CALLABLE	23-Jun-09	23-Sep-09	23-Dec-13	7,000,000	3.000%
40-32	31398AJD5	FNMA CALLABLE	2-Jul-09	2-Oct-09	2-Nov-12	10,000,000	5.125%
40-33	3133XUCA9	FHLB CALLABLE	4-Aug-09	4-Nov-09	4-Aug-14	7,000,000	3.500%
45-1	3128X3WY5	FHLMC Notes	1-Feb-06	22-Sep-06	22-Sep-09	1,300,000	4.000%
45-2	31359MWP4	FNMA Notes	1-Feb-06	N/A	21-Oct-09	1,800,000	4.010%
46-3	3128X8PB2	FHMLC	18-Mar-09	18-Sep-09	18-Mar-14	2,300,000	3.550%
PORTFOLIO TOTAL						\$ 343,374,450	

(1) TexPool yields vary daily. The Average Monthly Rate as of August 31, 2009 was 0.3380%. The Average Monthly Rate as of November 30, 2009 was 0.2112%.
(2) TexSTAR yields vary daily. The Average Monthly Rate as of August 31, 2009 was 0.3089%. The Average Monthly Rate as of November 30, 2009 was 0.1986%.

Market Transactions

Invest. ID	Market Value 8/31/2009	Securities Purchased	Securities Matured / Sold	Market Value Change	Market Value 11/30/2009
MONEY MARKET FUNDS / INVESTMENT POOLS					
73190	\$ 15,374,332	\$ 113,070,917	\$ (109,884,129)	\$	18,561,120
1111	17	\$ 43,541,217	\$ (30,000,000)		13,541,234
2003	1,324,510	\$ 738	\$ -		1,325,248
2004	8,147,593	\$ 4,541	\$ -		8,152,134
40-0	2,200,019	\$ 1,062	\$ (2,200,885)		196
40-1	0	\$ -	\$ -		-
40-2	483,806	\$ 49,762,796	\$ (45,242,083)		5,004,518
TREASURY SECURITIES					
13083	0	5,016,406		70,694	5,087,100
46-4	0	7,017,500		116,480	7,133,980
AGENCY SECURITIES					
16260	5,143,400			(33,750)	5,109,650
16262	15,037,650		(15,000,000)	(37,650)	-
16268	10,025,400			121,700	10,147,100
16272	5,034,250	-		76,900	5,111,150
16273	15,028,200	-		18,750	15,046,950
16274	10,015,000	-		9,500	10,024,500
16275	4,701,491	-		2,298	4,703,789
16276	5,012,250	-		2,450	5,014,700
16277	15,026,700	-		18,450	15,045,150
16278	8,923,187	-		23,077	8,946,264
16279	8,019,350	-		159,113	8,178,462
16280	7,026,320	-	(7,000,000)	(26,320)	-
16281	10,018,800	-	(10,000,000)	(18,800)	-
16282	8,713,183			19,064	8,732,247
16283	10,000,000		(10,000,000)		-
16284	4,985,950			32,800	5,018,750
16285	5,008,750			12,750	5,021,500
16286	10,015,600		(10,000,000)	(15,600)	-
16287	9,984,400			137,500	10,121,900
16288	10,078,000			91,700	10,169,700
16289	5,037,500			(1,550)	5,035,950
16290	5,026,750		(5,000,000)	(26,750)	-
16291		10,000,000		15,600	10,015,600
16292		10,000,000		48,000	10,048,000
16293		4,999,000		25,000	5,024,000
16294		10,000,000		53,100	10,053,100
40-26	13,016,250		(13,000,000)	(16,250)	-
40-28	9,931,300			71,800	10,003,100
40-29	9,937,500		(10,000,000)	62,500	-
40-30	6,997,830		(7,000,000)	2,170	-
40-32	10,078,100		(10,000,000)	(78,100)	-
40-33	7,010,920		(7,000,000)	(10,920)	-
45-1	1,302,717		(1,300,000)	(2,717)	-
45-2	1,809,000		(1,800,000)	(9,000)	-
46-3	2,303,381	-	(2,300,000)	(3,381)	-
PORTFOLIO TOTAL	\$ 277,779,406	\$ 253,414,177	\$ (296,727,098)	\$ 910,606	\$ 235,377,092

(1) TexPool yields vary daily. The Average Monthly Rate as of August 31, 2009 was 0.3380%. The Average Monthly Rate as of November 30, 2009 was 0.2112%.
(2) TexSTAR yields vary daily. The Average Monthly Rate as of August 31, 2009 was 0.3089%. The Average Monthly Rate as of November 30, 2009 was 0.1986%.

Book Transaction Excludes Unrealized Gain and Loss

Invest. ID	Book Value 8/31/2009	Securities Purchased	Securities Matured / Sold	Securities Disc./(Prem.)	Book Value 11/30/2009
MONEY MARKET FUNDS / INVESTMENT POOLS					
73190	\$ 15,374,332	\$ 113,070,917	\$ (109,884,129)		\$ 18,561,120
1111	17	43,541,217	(30,000,000)		13,541,234
2003	1,324,510	738	-		1,325,248
2004	8,147,593	4,541	-		8,152,134
40-0	2,200,019	1,062	(2,200,885)		196
40-1	-	-	-		-
40-2	483,806	49,762,796	(45,242,083)		5,004,518
TREASURY SECURITIES					
13083	-	5,016,406	-	(234)	5,016,172
46-4	-	7,017,500	-	(501)	7,016,999
AGENCY SECURITIES					
16260	5,000,000				5,000,000
16262	15,000,000		(15,000,000)		-
16268	10,000,000				10,000,000
16272	5,000,000				5,000,000
16273	15,000,000				15,000,000
16274	10,000,000				10,000,000
16275	4,690,000				4,690,000
16276	5,000,000				5,000,000
16277	15,000,000				15,000,000
16278	8,899,958			427	8,900,385
16279	8,085,000				8,085,000
16280	7,000,000		(7,000,000)		-
16281	10,000,000		(10,000,000)		-
16282	8,675,740			2,631	8,678,371
16283	10,000,000		(10,000,000)		-
16284	5,000,000				5,000,000
16285	5,000,000				5,000,000
16286	10,000,000		(10,000,000)		-
16287	9,981,541			779	9,982,320
16288	10,000,000				10,000,000
16289	5,000,000				5,000,000
16290	5,000,000		(5,000,000)		-
16291		10,000,000			10,000,000
16292		10,000,000			10,000,000
16293		4,999,000		3	4,999,003
16294		10,000,000			10,000,000
40-26	13,000,000		(13,000,000)		-
40-28	9,817,153			7,939	9,825,092
40-29	10,000,000		(10,000,000)		-
40-30	6,953,895		(7,000,000)	46,105	-
40-32	10,147,371		(10,000,000)	(147,371)	-
40-33	7,000,000		(7,000,000)		-
45-1	1,300,000		(1,300,000)		-
45-2	1,800,000		(1,800,000)		-
46-3	2,300,000		(2,300,000)		-
PORTFOLIO TOTAL	\$ 277,180,935	\$ 253,414,177	\$ (296,727,098)	\$ (90,222)	\$ 233,777,792

(1) TexPool yields vary daily. The Average Monthly Rate as of August 31, 2009 was 0.3380%. The Average Monthly Rate as of November 30, 2009 was 0.2112%.
(2) TexSTAR yields vary daily. The Average Monthly Rate as of August 31, 2009 was 0.3089%. The Average Monthly Rate as of November 30, 2009 was 0.1986%.

Dallas County Community College District
Investment Portfolio Percentage Report
Activity for the 1st Quarter Ended November 30, 2009

Type of Security	Portfolio Pct 8/31/2009	Market Value 8/31/2009	Portfolio Pct 11/30/2009	Market Value 11/30/2009
MONEY MKT FUNDS & INVESTMENT POOLS	9.91%	\$ 27,530,277	19.79%	\$ 46,584,450
TREASURY SECURITIES	0.00%	-	5.19%	12,221,080
AGENCY SECURITIES	90.09%	250,249,129	75.02%	176,571,562
PORTFOLIO TOTAL	100.00%	\$ 277,779,406	100.00%	\$ 235,377,092

Portfolio Percent by Investment Type

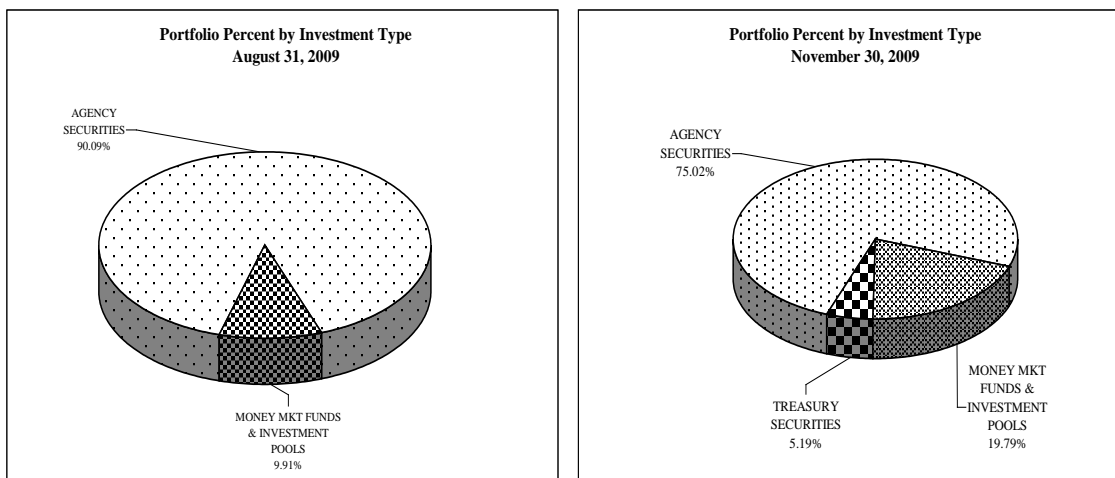


Figure 1 - Investment Portfolio Percentage Report

Dallas County Community College District
Investment Pool Report by Fund Type
Activity for the 1st Quarter Ended November 30, 2009

Fund Description	Portfolio Pct 8/31/2009	Market Value 8/31/2009	Portfolio Pct 11/30/2009	Market Value 11/30/2009
Unrestricted Fund	64.97%	\$ 180,488,760	76.80%	\$ 180,783,900
Auxiliary Fund	5.46%	15,162,501	6.55%	\$ 15,405,985
Unexpended Plant Fund	27.76%	77,111,559	14.48%	\$ 34,079,196
Quasi - Endowment Fund	1.81%	5,016,587	2.17%	\$ 5,108,011
PORTFOLIO TOTAL	100.00%	\$ 277,779,406	100.00%	\$ 235,377,092

Portfolio Pool Allocation Percent by Fund Type

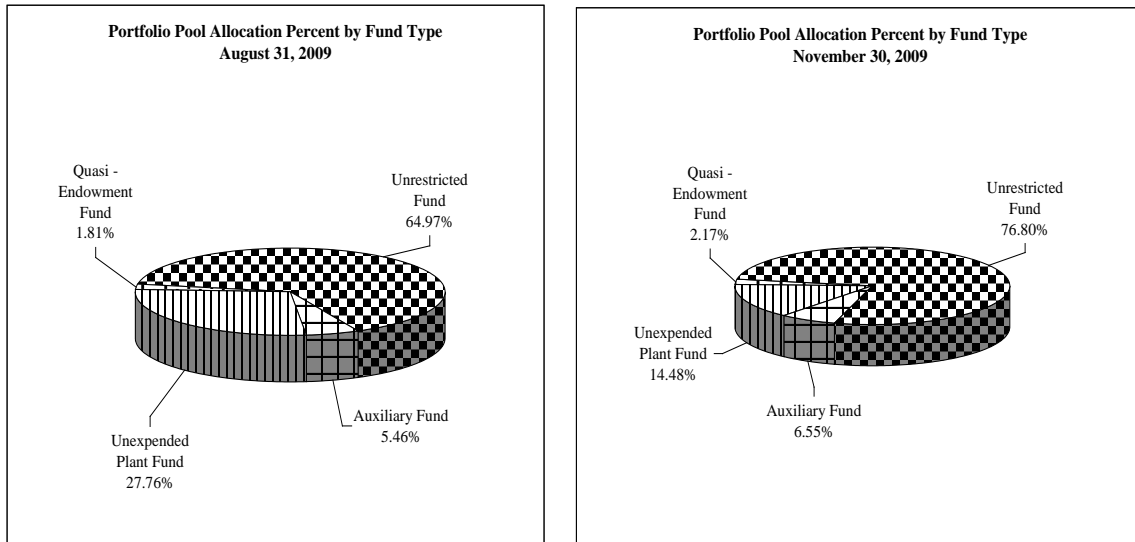


Figure 2 - Investment Portfolio Fund Report

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
Investment Portfolio Maturity Analysis
as of November 30, 2009 (Including Pools)

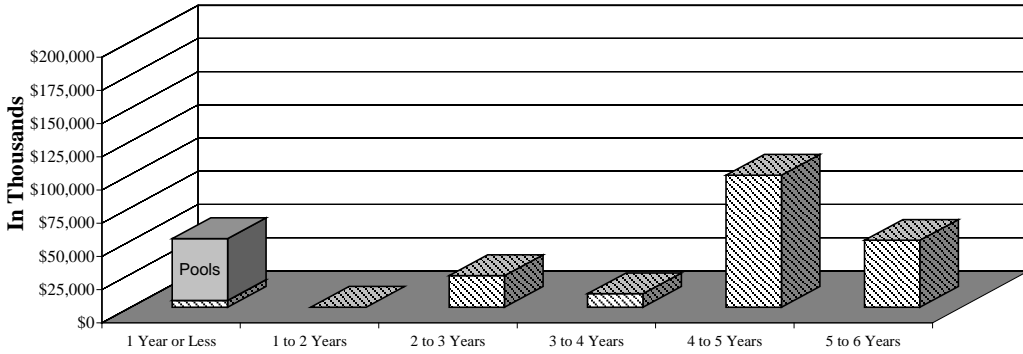


Figure 3 - Investment Portfolio Maturity Analysis

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT
Securities Yield-to-Maturity Analysis by Year (Excluding Pools)

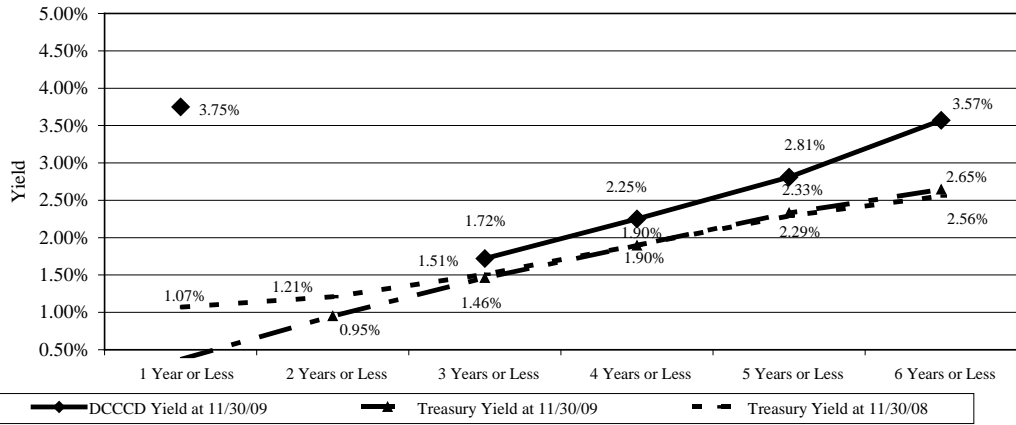


Figure 4 - Yield-to-Maturity Analysis by Year

GLOSSARY OF INVESTMENT TERMS

Agency: A security that is issued with an implied or actual pledge of the credit of the U.S. government. The agency is a department of the government or a pseudo-agency that is providing a governmental function (e.g., SLMA, FHLB).

Arbitrage: Arbitrage involves the simultaneous purchase of a security in one market and the sale of it or a derivative product in another market to profit from price differentials between the two markets. As used in municipal finance, it represents the spread between bond interest rates and the interest rate on investments of proceeds. Generally these earnings are limited by IRS requirements to spend proceeds quickly, usually within 24 months.

Basis point: 1/100th of a point (i.e., 50 basis points = .50 % or one half of one percent).

Bond: A long-term promissory note in which the issuer agrees to pay the owner the amount of the face value on a future date and to pay interest at a specified rate at regular intervals.

Broker/dealer: An individual or firm who acts as an intermediary between a buyer and seller, usually charging a commission.

Call: The right to redeem outstanding bonds before their scheduled maturity.

Coupon: The stated interest payment that is based on the face amount of a fixed income security. This amount is usually redeemable at a specific date for a specific payment.

Delivery vs. payment: The control feature that will not allow a security to be paid unless the security is delivered in the exact amount of value as the payment. This transaction usually involves a third party, usually the safekeeping department of a bank.

Discount: The amount of reduction from the face of a fixed income security to compensate for the difference in coupon price and the market value.

GASB 31: A pronouncement by the Governmental Accounting Standards Board that required a “mark to market” for the value of investments on a regular basis, with a recognition of gains or losses contemporaneously by booking an unrealized gain or loss.

GO bond: A bond which is supported by general obligation tax revenues of a governmental entity.

Liquidity: The liquidity of a security is the ease with which the market can absorb volume buying or selling without dramatic fluctuation in price, i.e., ease of entry/exit into/from a market.

Market value: The market value of a security is the last-sale price multiplied by total

units outstanding. It is calculated throughout the trading day and is related to the total value of the index.

Maturity: The date that a security comes due. The issuer must pay the holder the face amount of the security.

Municipal bonds: Bonds issued by states, cities, counties, and towns to fund public capital projects like roads, schools, sanitation facilities, bridges, as well as operating budgets. These bonds are exempt from federal taxation and from state and local taxes for the investors who reside in the state where the bond is issued.

Premium: The amount of extra price that is added above the face of a fixed income security to compensate for the difference in coupon price and the market value (which takes into consideration the current interest market compared to the stated coupon).

Repurchase agreement: Agreement between a seller and a buyer, usually of agency or treasury securities, where the seller agrees to repurchase the securities at an agreed upon price and date. A “flex-repo” allows periodic draws against the overall value without a complete repurchase of all principal values.

Revenue bond: A bond which is supported by pledged revenues of the entity.

Settlement: The conclusion of a securities transaction; a broker/dealer buying securities pays for them; a selling broker delivers the securities to the buyer's broker.

Treasury: A security that is issued with the full faith and credit of the United States government.

Underwriter: An investment banker who assumes the risk of bringing a new securities issue to market. The underwriter will buy the issue from the issuer and guarantee sale of a certain number of shares to investors; this is firm-commitment underwriting. To spread the risk of purchasing the issue, the underwriter often will form a syndicate (underwriting group, purchase group) among other investment firms. If the investment firm is unwilling to buy the issue outright, other underwriting forms may be used.

Unrealized gain or loss: The amount of difference between market value and book value of securities recorded on the financial records of an entity. The amount is an unrealized gain if market value is higher than book value. If the market value is lower than the book value, an unrealized loss is recorded. The amount is unrealized until such time as the security or asset is actually sold by the investor, at which time the amount of difference between market and book values is realized. A security held to maturity will not ever realize a gain or loss.

INFORMATIVE REPORT NO. 29

Presentation of 1st Quarter Financial Statements

The 1st quarter financial statements are presented as provided by Board Policy CDA (Local) which states: *Periodic financial reports shall be submitted to the Board outlining the progress of the budget to that date and reporting on the status of all District funds and District accounts.*

The 1st quarter financial statements are typical for this phase of the annual financial cycle. These statements were reviewed by the DCCCD Audit Committee on December 15, 2009.

DALLAS COUNTY COMMUNITY COLLEGE DISTRICT



Financial Statements

As of November 30, 2009

Dallas County Community College District
1st Quarter Financial Report
Executive Summary

There have been no significant changes or transactions affecting the financial position of the District for the period September 1, 2009 through November 30, 2009. A brief analysis of each of the primary statements follows.

Balance Sheet

The schedule *Combined Balance Sheet* presents the unaudited Combined Balance Sheet by fund group as of November 30, 2009. The assets of the District continue to consist primarily of cash, investments, and plant assets (approximately 97.2% of total assets). Cash, cash equivalents, and investments decreased approximately \$47.5 million (17.0%) from November 30, 2008. This decrease is primarily due to payment of construction expenditures related to the voter-approved capital improvement projects. Receivables increased approximately \$2.0 million (12.0%) from November 30, 2008. This increase is primarily due to an increase in State grant receivables. Inventories and other assets are relatively unchanged from November 30, 2008. Property, plant and equipment increased approximately \$199.4 million (43.1%) from November 30, 2008. This increase is primarily related to the capitalization of assets for major repairs and rehabilitation projects, construction projects and other capital equipment expenditures, net of asset disposals and depreciation. Total combined assets have increased from November 2008 by about \$153.9 million (20.1%). The District's Combined Assets, Liabilities and Fund Balances are depicted graphically in Figures 1-2.

District assets are funded approximately 48.8% by fund balances, and 51.2% by liabilities. Isolating the effects of interfund payables, total liabilities of the District have increased about \$94.4 million (25.1%) when compared to November 2008. This increase is mainly attributable to the issuance of the \$102.9 million of 2009 General Obligation Bonds in June 2009.

Schedule of Fund Balances

The *Schedule of Fund Balances* presents the total fund balances of the District by fund and by type (i.e. Restricted, Designated, etc.). The largest components of fund balance are the investment in plant assets (\$248.7 million, 55.3%) and current operating funds (\$145.8 million, 32.4%). Total current fund balances increased by approximately \$4.7 million (2.9%) for the year to date. The change in fund balance is cyclical in nature over the course of the fiscal year. The components of the fund balances are depicted graphically in Figure 3.

Statement of Current Funds Revenues, Expenditures, and Other Changes

The results of operations for the current funds are summarized in the *Combined*

Current Funds Revenues, Expenditures and Transfers table. This table presents a comparison for the first quarter ended November 30, 2009, 2008 and 2007.

Current revenues have increased from the same period in the prior year. Although state appropriations in the first year of the new biennium increased approximately \$8.5 million, unrestricted state appropriations to date decreased approximately \$1.7 million (4.9%) from November 2008 as a result of payments from the state being paid in advance of the scheduled time in the prior year until vetoed funds for insurance benefits were restored by the legislature. Total tuition and charges have increased approximately \$7.0 million (18.1%) from November 2008 primarily due to an enrollment increase and a tuition increase that became effective in Spring 2009. Ad valorem tax revenue in the current funds increased approximately \$3.7 million (83.5%) from November 2008 primarily due to an increase in the collection rate and the inclusion of \$1.6 million (36.4%) of taxes not yet transferred to the debt service fund. Investment revenue decreased approximately \$0.7 million (27.8%) from November 2008 as a result of decreased interest rates in the District's investment portfolio. Contracts and grants revenue increased approximately \$6.1 million (65.5%) from November 2008 as a result of increased Federal financial aid from the Department of Education. Auxiliary Enterprises revenue decreased approximately \$0.1 million (10.4%) from November 2008 as a result of a decrease in external support revenue. The District's Current Unrestricted Revenues are depicted graphically in Figure 4.

Current unrestricted funds expenditures are relatively unchanged from those from the same period in the prior year. Instruction and Academic Support grew proportionately larger than other expenditures due to increased enrollments. Current Unrestricted Expenditures are shown in Figure 5.

Restricted expenditures are approximately \$6.3 million (66.2%) ahead of those from the same period in the prior year primarily due to corresponding increases in expenditures resulting from the increased revenues for financial aid described above.

In summary, the net difference between total expenditures and transfers and total revenues results in an increase to fund balance of approximately \$4.7 million for the first three months of the 2009-10 fiscal year. This change is due primarily to the increase in tuition revenues and taxes.

Note: See Glossary for fund groups, functional areas and financial terms at the end of the report.

*Dallas County Community College District
 Combined Balance Sheet (Unaudited)
 November 30, 2009
 With Comparative Totals (000's)*

	<i>Current Funds</i>	<i>Plant Funds</i>	<i>Loan and Agency Funds</i>	<i>Quasi- Endowment Fund</i>	<i>Total Current Year</i>	<i>Total As Of 08/31/09</i>	<i>Total November 2008</i>
<u>ASSETS:</u>							
Cash and Cash Equivalents	\$7,537	\$32,315	\$2,171	\$1,184	\$43,207	\$24,054	\$28,580
Receivables, Net	17,894	312	21		18,227	38,789	16,276
Inventories and Other Assets	4,804	3,068			7,872	8,934	7,886
Due From Other Funds	94	16	1	10	121	113	34
Investments	165,280	19,210		4,303	188,793	250,249	250,906
Property, Plant, and Equipment		662,169			662,169	665,995	462,773
TOTAL ASSETS	\$195,609	\$717,090	\$2,193	\$5,497	\$920,389	\$988,134	\$766,455
<u>LIABILITIES:</u>							
Accounts Payable and Accrued Liabilities	\$21,056	\$22,397	\$108		\$43,561	\$82,517	35,673
Due to Other Funds		121			121	113	34
Deposits and Deferred Revenues	4,721		1,626	133	6,480	34,204	5,420
Notes Payable					-		
Bonds Payable		420,820			420,820	420,820	335,330
TOTAL LIABILITIES	\$25,777	\$443,338	\$1,734	\$133	\$470,982	\$537,654	\$376,457
<u>FUND BALANCES:</u>							
<u>Current Funds:</u>							
Operating	\$145,817				\$145,817	\$144,742	\$134,733
Auxiliary	23,572				23,572	19,866	22,324
Restricted	-				-	-	
Richland Collegiate High School	443				443	500	268
<u>Plant Funds:</u>							
Unexpended		18,061			18,061	22,026	30,687
Retirement of Indebtedness		7,007			7,007	5,018	6,147
Investment in Plant		248,684			248,684	252,511	190,025
Loan Fund			459		459	459	465
Quasi-Endowment Fund				5,364	5,364	5,358	5,349
TOTAL FUND BALANCES	\$169,832	\$273,752	\$459	\$5,364	\$449,407	\$450,480	\$389,998
TOTAL LIABILITIES & FUND BALANCES	\$195,609	\$717,090	\$2,193	\$5,497	\$920,389	\$988,134	\$766,455

Combined Assets
As of November 30, 2009

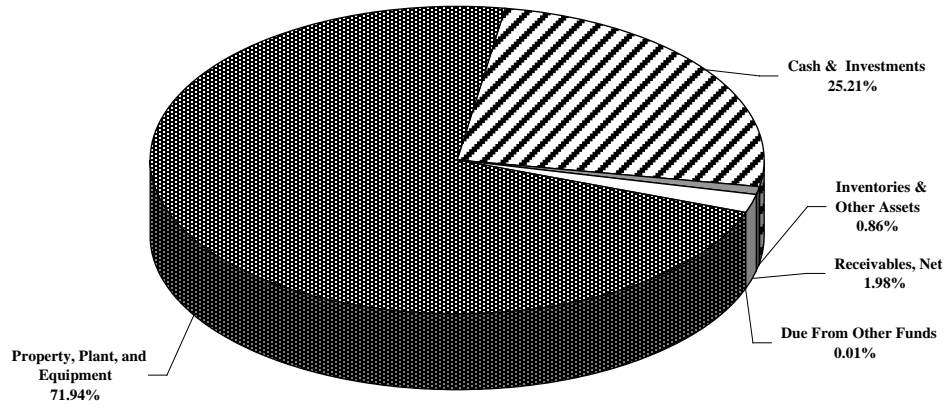


Figure 1 - Combined Assets

Combined Liabilities and Fund Balances
As of November 30, 2009

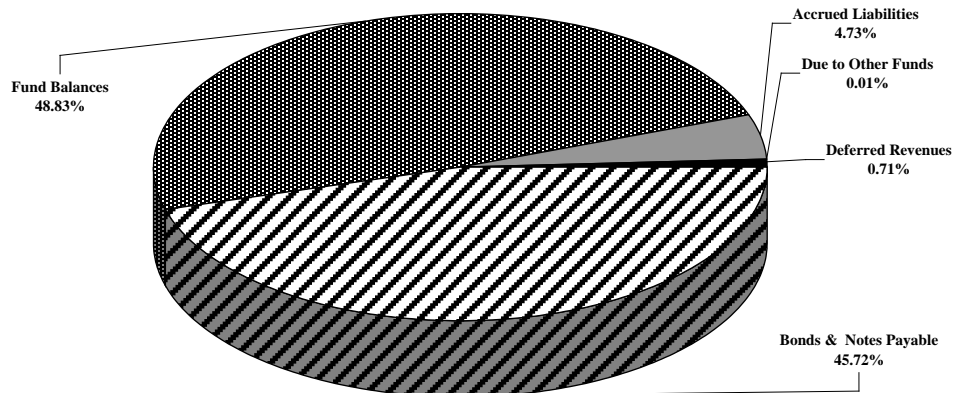


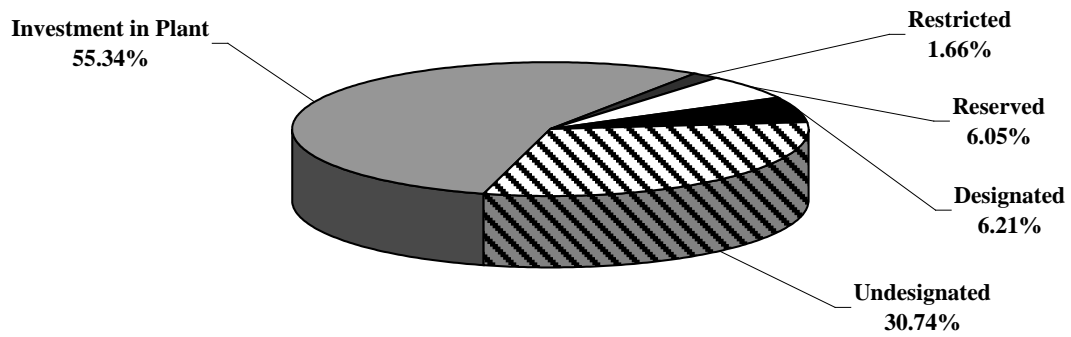
Figure 2 - Combined Liabilities and Fund Balances

Dallas County Community College District
Schedule of Fund Balances (Unaudited)
November 30, 2009
With Comparative Totals (000's)

	<i>Unrestricted</i>			<i>Restricted</i>		<i>Net Investment in Plant</i>	<i>Total-Current Month</i>	<i>Fiscal Year Ending 08/31/09</i>	<i>Net Change Increase/ (Decrease)</i>
	<i>Reserved</i>	<i>Designated</i>	<i>Undesignated</i>	<i>Debt Service</i>	<i>Other</i>				
<u>FUND BALANCES:</u>									
<i>Current Funds:</i>									
Operating	\$25,874	\$4,484	\$115,459				\$145,817	\$144,742	\$1,075
Auxiliary	1,304	2	22,266				23,572	19,866	3,706
Restricted							-	-	-
Richland Collegiate High School	5		438				443	500	(57)
<i>Subtotal:</i>	27,183	4,486	138,163				169,832	165,108	4,724
<i>Plant Funds:</i>									
Unexpended		18,061					18,061	22,026	(3,965)
Retirement of Indebtedness				7,007			7,007	5,018	1,989
Investment in Plant						248,684	248,684	252,511	(3,827)
Loan Fund					459		459	459	
Quasi-Endowment Fund		5,364					5,364	5,358	6
TOTAL FUND BALANCES	\$27,183	\$27,911	\$138,163	\$7,007	\$459	\$248,684	\$449,407	\$450,480	(\$1,073)

Fund Balances by Type - All Funds

November 30, 2009



Fund Balances by Fund Group - All Funds

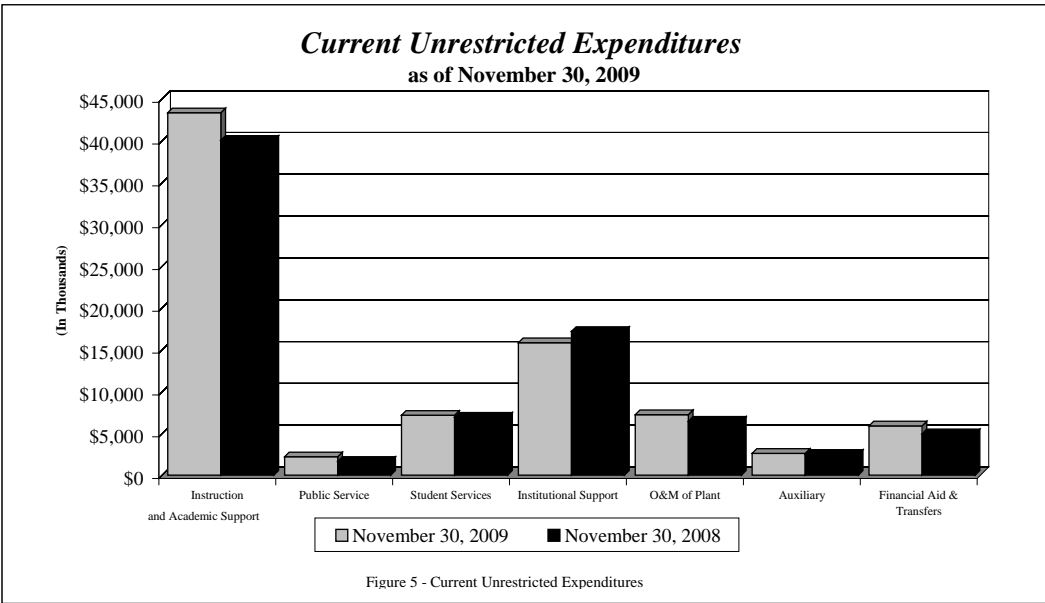
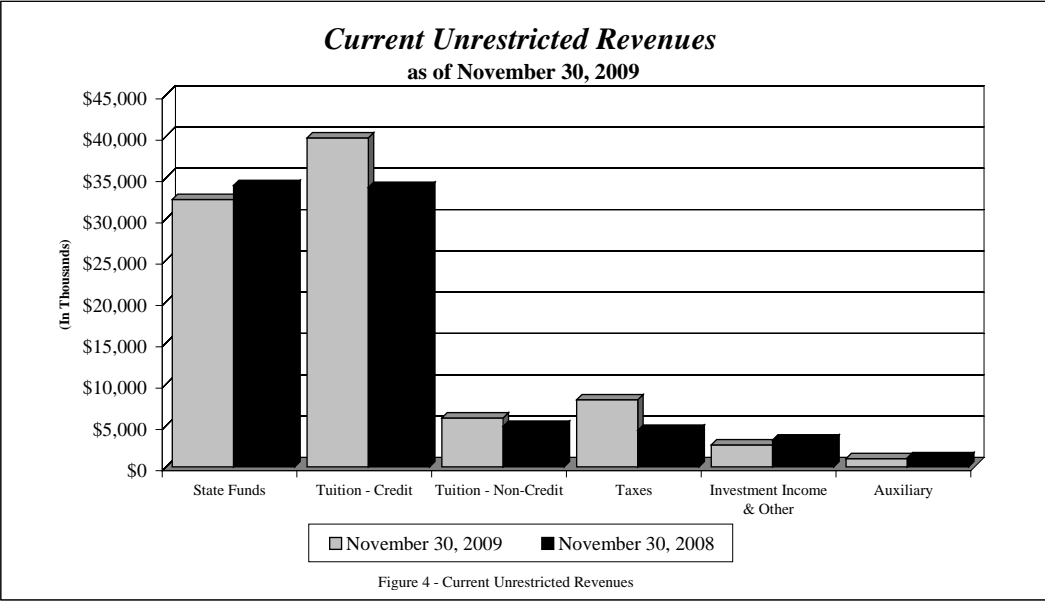
November 30, 2009



Figure 3 - Fund Balances By Type & Fund Group

*Dallas County Community College District
 Combined Current Funds Revenues, Expenditures, and Transfers (Unaudited)
 For the Three Months Ending November 30, 2009
 With Comparative Totals (000's)*

	<i>Operating</i>	<i>Auxiliary</i>	<i>Restricted</i>	<i>RCHS</i>	<i>Total Current Year</i>	<i>Total November 2008</i>	<i>Total November 2007</i>
<u>REVENUES:</u>							
State Appropriations	\$32,355		\$6,987	\$459	\$39,801	\$37,163	\$36,486
Tuition & Charges - Credit	39,798				39,798	33,803	31,128
Tuition & Charges - Non-Credit	5,901				5,901	4,898	4,692
Total Tuition & Charges	45,699				45,699	38,701	35,820
Ad Valorem Taxes	8,108				8,108	4,418	4,914
Investment Income	1,611	155		3	1,769	2,449	2,490
Contracts & Grants	332		15,133		15,465	9,343	8,340
Other	548				548	533	540
Auxiliary Enterprises		980			980	1,094	891
TOTAL REVENUES	\$88,653	\$1,135	\$22,120	\$462	\$112,370	\$93,701	\$89,481
<u>EXPENDITURES:</u>							
Instruction and Academic Support	\$43,332		\$7,081	\$283	\$50,696	\$43,575	\$43,868
Public Service	2,195		1,403	67	3,665	3,156	1,914
Student Services	7,154		1,933	64	9,151	8,229	8,356
Institutional Support	15,832		3,312	105	19,249	18,912	13,762
Operation and Maintenance of Plant	7,228				7,228	6,451	5,850
Financial Aid	3,382		8,694		12,076	7,187	5,864
Auxiliary Enterprises		2,605			2,605	2,486	2,642
Mandatory Transfers	(2,508)		257		(2,251)	(1,887)	(1,916)
TOTAL EXPENDITURES & MANDATORY TRANSFERS	\$81,631	\$2,605	\$22,166	\$519	\$106,921	\$91,883	\$84,172
<i>Other Transfers and Additions, net</i>	(5,947)	5,176	46		(725)	(1,776)	(4,550)
NET INCR/(DECR) in FUND BALANCE	\$1,075	\$3,706	-	(\$57)	\$4,724	\$42	\$759



GLOSSARY

FUND GROUPS

Current funds: Funds available for current operating and maintenance purposes as well as those restricted by donors and other outside agencies for specific operating purposes. Current funds are segregated into separately balanced fund groups.

Unrestricted current funds: Funds that have no limitation or stipulations placed on them by external agencies or donors. The funds are used for carrying out the primary purpose of the District, i.e., educational, student services, extension, administration and maintenance of facilities.

Fund 08 – Richland Collegiate High School

Fund 11 – general unrestricted funds

Fund 14 – unrestricted fund used to track services charged back to locations

Fund 16 – unrestricted fund used to track non-capital projects funded by the District for the locations

Auxiliary enterprises: Funds for activities that serve students, faculty, or staff for charges that are directly related to, although not necessarily equal to, the cost of the service. Examples are food services and bookstores. The state of Texas expects auxiliary enterprises to be self-supporting on a perpetual basis. Fund 12

Restricted current funds: Funds available for current purposes but with restrictions from outside agencies or persons. Revenues are reported only to the extent of expenditures for the current year.

Fund 13 – restricted funds

Fund 17 – restricted funds related to program income

Plant funds: Plant funds are divided into three separately balanced fund groups.

Unexpended: Funds for the construction, rehabilitation, and acquisition of physical properties for institutional purposes.

Fund 45 – general unexpended plant fund

Fund 40 – GO Bond projects

Fund 91 – 2003 Maintenance Tax Note projects

Fund 92 – 2004 Maintenance Tax Note projects

Retirement of indebtedness: Funds accumulated to meet debt service charges and the retirement of indebtedness. Fund 46

Investment in plant: Funds already expended for plant properties. Physical properties are stated at cost at the date of acquisition or fair market value at the date of donation for gifts. Depreciation on physical plant and equipment is recorded. Fund 47

Loan funds: Funds available for loan to students. Fund 34

Agency funds: Funds held by the District as custodial or fiscal agent for students, faculty members, and/or others. Fund 24

Quasi-endowment and similar funds: Funds subject to certain Board-designated restrictions. Fund 58

FUNCTIONAL AREAS OF EXPENDITURES

Instruction: Salaries, wages, supplies, travel, office furniture, equipment and other expenses for the operation of general academic and technical/vocational instructional departments.

Public service: All costs of activities designed primarily to serve the general public, including correspondence courses, adult study courses, public lectures, workshops, institutes, and similar activities.

Academic support: Library – Salaries, wages, library materials (including books, journals, audiovisual media, computer-based information, manuscripts and other information sources), binding costs, equipment and other operating costs of the library. Also, Instructional Administration Expense – Salaries, wages, supplies, travel, equipment and other operating expense of the offices of academic deans or directors of major teaching department groupings.

Student services: Salaries, wages and all other costs associated with admissions and registration, student financial services (including financial aid), student recruitment and retention, testing and guidance, career placement services and other student services.

Institutional support: Salaries, wages and all other costs for the governance of the institution, executive direction and control, business and fiscal management, campus security, administrative data processing, central support services, purchasing and other general institutional activities.

Operation and maintenance of plant: Salaries, wages, supplies, travel, equipment, services and other operating expenses for physical plant administration services, building maintenance, custodial services, grounds maintenance, utilities and major repairs and rehabilitation of buildings and facilities.

Staff benefits: Premiums and costs toward staff benefit programs for employees. Examples of authorized staff benefits are group insurance premiums, workers' compensation insurance, Medicare, retirement contributions and parking stipends. For reporting purposes, staff benefits are allocated over the functional areas based on salaries.

Scholarships and fellowships: Expenditures for student financial aid including waivers, scholarships, and state and federal financial assistance.

Auxiliary enterprises: Expenditures related to bookstore, food service,

intercollegiate athletics, and Center for Educational Telecommunications operations.

OTHER FINANCIAL TERMS

Audit of financial statements: Generally the expression of an opinion by the auditor that the financial statements present fairly in accord with certain standards, in all material respects the financial position of the organization being audited. It is not a determination of the presence or absence of fraud or deliberate misrepresentation.

Fraud: A false representation of a matter of fact that should have been disclosed, which deceives another so that he/she acts upon it to his/her injury.

GAAP: Generally accepted accounting principles. Rules, conventions, standards, and procedures that are widely accepted among accountants. GAAP doctrine is established by a combination of authoritative standards set by policy boards such as the Governmental Accounting Standards Board (GASB), an independent, self-regulating organization, and accounting practices developed over time that have become universally accepted as appropriate.

GASB 31: A pronouncement by the Governmental Accounting Standards Board that provided for dissolution of distinct differences between book and market values. It caused there to be a “mark to market” on a regular basis, with recognition of gains or losses contemporaneously by booking an unrealized gain or loss.

GASB 34 and 35: Pronouncements by the Governmental Accounting Standards Board that were implemented beginning with the August 31, 2002 audited financial statements. Implementation of the pronouncements changed wording of the auditor's opinion, added a management's discussion and analysis section, reformatted financial statement line items, presented a single consolidated funds column, added a cash flow statement, and made various changes to the footnotes.

Internal control: The system of business procedures that limits and may prevent access of individuals to misappropriate the funds of an organization. One example is a separation of duties between execution and recording of transactions.

Management letter: A letter, generally issued by external auditors, pointing out various findings that were noted in connection with the audit engagement. Often these items involve observed weaknesses in internal control.

Materiality: A state of relative importance. Strict adherence to any accounting principle is not required when the lack of adherence does not produce an error or misstatement sufficiently large as to influence a financial statement reader's

judgment of a given situation.

OMB Circular A-133: The U.S. Office of Management and Budget's pronouncement that established the process of auditing all government grants of a specified threshold size, to be included in the regular annual audit of the grantee.

Repurchase agreement: Agreement between a seller and a buyer, usually of agency or treasury securities, where the seller agrees to repurchase the securities at an agreed upon price and date. A "flex-repo" allows periodic draws against the overall value without a complete repurchase of all principal values.

Unrealized gain or loss: The amount of difference between market value and book value of securities recorded on the financial records of an entity. The amount is an unrealized gain if market value is higher than book value. If the market value is lower than the book value, an unrealized loss is recorded. The amount is unrealized until such time as the security or asset is actually sold by the investor, at which time the amount of difference between market and book values is realized. A security held to maturity will not ever realize a gain or loss.

FINANCIAL REPORT NO. 30

Presentation of DCCCD Foundation, Inc. Report of Gifts and Expenditures on Behalf of DCCCD

The chancellor presents the report of gifts to DCCCD Foundation, Inc. in December 2009 and expenditures on behalf of DCCCD during the month of November 2009.

Policy Reminders

Board policies pertinent to evaluating a report of Foundation gifts and expenditures on behalf of DCCCD include:

The Chancellor or designee is authorized to make application on behalf of the District to private or governmental agencies for external funding, and shall report to the Board, not less than quarterly, all applications submitted and grants received. Prior approval of the Board shall be required for any grant application in support of construction of new facilities or any grant application the terms of which require specific Board approval.
CF (LOCAL), EXTERNAL FUNDING

The Board has the legal power and duty to: ...receive bequests and donations or other monies or funds coming legally into their hands. BAA (LOCAL), BOARD LEGAL STATUS - POWERS, DUTIES, RESPONSIBILITIES, Education Code 11.151 (a)

A college district that is authorized by statute to accept money from a private donor or for which a private organization exists that is designed to further the purposes and duties of the College District shall adopt rules governing the relationship between:

- 1. The donor or organization; and*
- 2. The College District and its employees. CAM (LEGAL), GRANTS, FUNDS, DONATIONS FROM PRIVATE SOURCES, Gov't Code 2255.001*

DCCCD Board of Trustees approved a five-year affiliation agreement with DCCCD Foundation, Inc. on December 4, 2007, which stipulates the following concerning Acceptance of Funds from Foundation:

The [College] District shall accept funds from the Foundation for the purpose of promoting the well being and advancement of the District and the colleges comprising the District, and to improve the operations so as to provide expanded educational advantages and opportunities; encourage teaching, scholarship, and service; and increase the District's services to the taxpayers and residents of District.

- a) The District shall ensure that any legally restricted funds are expended strictly in accordance with the terms and conditions as may be imposed by a testator or donor.*
- b) All non-restricted funds that are provided for the use of the District shall be expended in a manner that exclusively serves the charitable or educational public purposes of the District.*

c) *The District shall, upon request of the Foundation, account for the expenditure of funds provided to District by Foundation.*

Note: (LEGAL) denotes the subject is regular by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

<u>Gifts Reported in December 2009</u>				
<u>Beneficiary</u>	<u>Purpose</u>	<u>Quantity</u>	<u>Range</u>	<u>Total</u>
DCCCD	Equipment	1	\$5,000 – 10,000	7,000
	Programs and Services	8	\$100 - 5,000	13,266
	Programs and Services	2	\$5,000 – 55,000	70,930
	Rising Star	1	\$5,000 – 175,000	175,000
	Scholarships ¹	8	\$100 - 5,000	3,850
	Scholarships ¹	2	\$5,000 – 175,000	155,008
Total	n/a	22	n/a	425,054

<u>Gifts Reported in Fiscal Year 2009-10</u>				
<u>Month Reported</u>	<u>Amount by Category</u>			<u>Total</u>
	<u>Equipment</u>	<u>Rising Star</u>	<u>Other Gifts</u>	
September 2009	\$6,892	\$189,793	\$101,553	\$298,238
October 2009	15,571	0	89,917	105,488
November 2009	500	26,468	120,983	147,951
December 2009	7,000	175,000	243,054	425,054
January 2010				
February 2010				
March 2010				
April 2010				
May 2010				
June 2010				
July 2010				
August 2010				
Total To Date	<u>\$29,963</u>	<u>\$391,261</u>	<u>\$555,507</u>	<u>\$976,731</u>

¹The "Scholarships" category does not include gifts to the Rising Star program, which are reported as a separate line item.

<u>Gifts Reported in Fiscal Years 2002-03 through 2008-09</u>							
<u>Type</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>	<u>2008-09</u>
Equipment	\$2,267,725	\$187,915	\$ 137,643	\$ 396,503	\$ 64,830	\$ 220,565	\$791,041
Rising Star	724,230	439,556	728,836	492,032	57,068	163,227	978,546
Other Gifts	734,917	1,135,653	939,058	1,432,358	972,010	879,876	1,204,822
Total	<u>\$3,726,872</u>	<u>\$1,763,124</u>	<u>\$1,805,537</u>	<u>\$2,320,893</u>	<u>\$1,093,908</u>	<u>\$1,263,668</u>	<u>\$2,974,409</u>

In November 2009, DCCCD Foundation, Inc. made the following expenditures on behalf of DCCCD:

<u>Purpose</u>	<u>Quantity</u>	<u>Total</u>
Chancellor's Fund	4	\$1,333
Programs and Services	20	\$59,590
Total	24	\$60,923

INFORMATIVE REPORT NO. 31

Receipt of Business and Corporate Contracts

The following table lists companies with which the colleges have entered into contracts during the past month, courses titles, and the sum of contract amounts.

Policy Reminders

Board policies pertinent to evaluating an educational contracts report include:

The Board must be sensitive to the hopes and ambitions of the community and be able to adapt readily to community needs. BAA (LOCAL), BOARD LEGAL STATUS – POWERS, DUTIES, RESPONSIBILITIES

In addition to goals enumerated in the Coordinating Board's plan for higher education, Closing the Gaps by 2015, the Board establishes these goals for the College District: ...

9. The College District will collaborate with private, public, and community partners to identify and respond to recruitment, training, and educational needs. BAA (LOCAL), BOARD LEGAL STATUS – POWERS, DUTIES, RESPONSIBILITIES, ESTABLISH GOALS

The Chancellor (or designee) is authorized to enter into contracts to provide educational services, provided the contract is less than \$250,000. In this policy, "educational services" means providing classroom instruction, testing, development of curriculum, counseling, and similar activities to business, industry, and other institutions. CF (LOCAL), DELEGATION OF CONTRACTUAL AUTHORITY

The provost of the Bill J. Priest Institute for Economic Development of College President is authorized to execute contracts for educational services, as defined in CF (LOCAL), provided the contract is less than \$250,000. Educational services to not include providing a service or classroom instruction that is open to the public, but rather providing the services to business, industry and other institutions. An administrator designated by the provost or College President may execute a contract for educational services if the contract is less than \$10,000. The provost and College Presidents shall report monthly through the Chancellor to the Board regarding contracts for educational services. CF (REGULATION), DELEGATION OF AUTHORITY

Note: (LEGAL) denotes the subject is regular by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

BROOKHAVEN COLLEGE - \$ 12,441

Ford	Automotive
GM	Automotive
Doubletree Hotel	Food Preparation Certification
Town of Addison	Defensive Driving

CEDAR VALLEY COLLEGE - \$ 1,874

Best Southwest Cities Professional Employee Development Program	Conflict Resolution
McGraw Hill Companies	Introduction to Computers

EASTFIELD COLLEGE - \$ 1,600

PPG	Customer Paint Auto Body
Motorcycle Training Center	Motorcycle Training

EL CENTRO COLLEGE - \$ 1,000

Los Barrios Unidos Community Clinic	Professional Development -Billing
Los Barrios Unidos Community Clinic	Professional Development-Physicians

NORTH LAKE COLLEGE - \$ 99,808

Construction Education Foundation	Career Training
Public Service Company of New Mexico	Supervisor Training Series
Public Service Company of New Mexico	Supervisor Training Series

MOUNTAIN VIEW COLLEGE – \$8,640

Focus Learning Academy	Microsoft Word
Focus Learning Academy	Microsoft Word
AT&T	DC 1 Electronics (MW)
AT&T	DC 1 Electronics (TR)

RICHLAND COLLEGE – \$ 2,165

Chambrell Hill	Emeritus
Dallas County	Business Productivity
The Forum	Emeritus
Meadowstone	Emeritus
Presbyterian Village North	Emeritus
Presbyterian Village North	Emeritus
Alliance	Technical Support

<u>Contracts Reported in 2009-10</u>								
	<u>BHC</u>	<u>CVC</u>	<u>EFC</u>	<u>ECC</u>	<u>MVC</u>	<u>NLC</u>	<u>RLC</u>	<u>Total</u>
September 2009	\$ 25,267	\$ 30,560	\$ 2,100	\$ 4,360	\$ 8,844	\$ 10,593	\$ 8,289	\$ 90,013
October 2009	\$ 33,517	\$ 42,214	\$ 600	\$ 82,000	\$ 0.00	\$ 0.00	\$ 44,950	\$ 203,281
November 2009	\$ 13,587	\$ 44,092	\$ 0.00	\$ 1,040	\$ 8,705	\$ 62,991	\$ 30,390	\$ 160,805
December 2009	\$ 12,441	\$ 1,874	\$ 1,600	\$ 1,000	\$ 8,640	\$ 99,808	\$ 2,165	\$127,528
January 2010								
February 2010								
March 2010								
April 2010								
May 2010								
June 2010								
July 2010								
August 2010								
Total To Date	\$84,812	\$118,740	\$4,300	\$88,400	\$26,189	\$173,392	\$85,794	\$581,627

<u>Contracts Reported in Fiscal Years 2002-03 through 2008-09</u>							
<u>Campus</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>	<u>2008-09</u>
BHC	\$ 240,776	\$ 369,414	\$ 310,983	\$ 272,691	\$ 344,651	\$ 263,919	\$ 259,372
CVC	150,814	198,999	563,088	501,655	886,499	804,523	829,174
EFC	186,901	156,515	72,145	125,727	122,943	95,796	63,986
ECC	484,360	555,163	117,300	646,509	312,686	500,707	560,228
MVC	187,826	250,008	202,878	202,246	137,995	164,883	119,534
NLC	1,162,953	791,704	624,729	428,096	424,961	431,473	270,759
RLC	427,108	291,799	343,528	238,414	196,645	173,689	139,100
BPI	248,459	195,066	326,457	115,575 ¹	0	0	0
Total	\$3,089,197	\$2,808,668	\$2,561,108	\$2,530,913	\$2,426,380	\$2,434,990	\$2,242,153

¹The Bill J. Priest Institute for Economic Development ceased contract training in October 2005. The Institute subsequently became El Centro College-Bill Priest Campus.

INFORMATIVE REPORT NO. 32

Monthly Award and Change Order Summary

Listed below are the awards and change orders approved by the executive vice chancellor of business affairs in November 2009.

AWARDS:

11654	WELDING EQUIPMENT - BJP Gast & Alloy Supply Co.	\$23,138.00
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This award is for the purchase of various welding equipment which will be used in the Industrial Arts and Technologies Welding program.

11694	WEBSITE ANALYSIS SYSTEM – D-W Friedrich Software Resources, LLC	\$16,500.00
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This recommendation consists of a hardware and software appliance, installation and training, to monitor website activity and collect data district-wide. The proposed system will replace the current WebTrends solution at considerable cost savings.

Award is not recommended to the low bidder, GSAT, Inc, as their proposal involved the use of custom software, judged by the evaluators to be less desirable in staying up-to-date with industry trends. Award is recommended to the next low bidder, Freidrich Software Resources, LLC.

2D67605	PROVIDE & INSTALL FENCE AND GATES - BHC Sunnyvale Fence Company	\$13,400.00
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This recommendation consists of the labor and materials necessary to install a 10' x 110' vinyl fence with gates around the cooling tower at the new X building.

5D37146	RENEWAL OF ANNUAL LICENSE AGREEMENT - BJP Info USA Marketing, Inc.	\$23,350.00
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This request consists of the annual renewal of the licensing agreement for the “Reference USA” web-based reference service used by the North Texas SBDCs (Small Business Development Corporations). The service is a vital marketing research tool used in consulting activities with SBDC clients across the North Texas SCDC network.

This service was initiated by the North Texas SBDC in fiscal year 2007-2008. Previous payments have been funded through the Tyler SBDC office and Tyler Junior College. This year, the SBDC desires to fund the annual fees through the regional Dallas SBDC office using a DCCCD cost center.

5D37147	RENEWAL OF ANNUAL SOFTWARE HOSTING & MAINTENANCE - BJP Outreach systems	
	Annual WebCATS Hosting Fees	\$11,250.00
	Annual Maintenance	1,125.00
	EDMIS Annual Subscription	<u>1,000.00</u>
	Total	\$13,375.00

This request consists of the annual renewal of hosting and maintenance fees for the WebCATS (Web-based Client Activity Tracking System). The system has been used by the SBDC to capture SBA-mandated client and training data for the 18 centers in this region since 1995 and has evolved over time, achieving a leading position among tracking software used by the SBDC’s nationwide.

6D91160	WHO’S NEXT? SOFTWARE LICENSE & SUPPORT - MVC Blue Eon Solutions	
	El Centro (previously spent, PO 144375)	\$8,098.35
	Brookhaven (previously spent, PO# 143006)	\$ 500.00
	Mountain View (this requisition)	<u>\$2,499.75</u>
	Total (FY 2009/2010)	\$11,098.10

This award consists of 5 additional individual software user licenses and maintenance for “Who’s Next?”, the district’s standard for counseling center scheduling systems for more than a year. This purchase will expand coverage of the system in the Student Advising area. “Who’s Next?” operates on campus-level educational servers.

This approval is necessitated by other campus’ (El Centro & Brookhaven) use of the system and purchase orders placed earlier in the fiscal year. EVCBA approval is requested as the current amount being paid to this vendor exceeds the Purchasing Department’s approval level.

LD687603	LICENSE AGREEMENT WITH CNN IMAGESOURCE FOR USE OF VIDEO FOOTAGE IN US GOVT TELECOURSE PROJECT - LCET CNN Imagesource	\$20,000.00
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This award consists of the license agreement for the use of stock video footage, license fees, and related charges for research, duplication, and shipping. The material will be used for U. S. Government distance learning course video modules. This source has been used successfully in a number of previous distance learning courses in recent years.

CHANGE ORDERS:

Identity Management Consultants, LLC – Bid #11624
Eastfield Electronic Marquee Signs - EFC
Purchase Order No. B15915
Change Order No. 01

Change: New monument design.

Original Contract Amount	\$150,704.00
Change Order Limit/Contingency	.00
Prior Change Order Total Amounts	.00
Net Increase this Change Order	22,990.00
Revised Contract Amount	\$173,694.00

Board approved original award 09/01/2009. This is for EFC project #9, *Progress Report on Construction Projects*.

Progressive Roofing – Bid #11604
Re-Roofing - RLC
Purchase Order No. B15001
Change Order No. 02

Change: Roof repair and replacement at Fannin, Neches, and Alamito at RLC.

Original Contract Amount	\$459,000.00
Change Order Limit/Contingency	.00
Prior Change Order Total Amounts	.00
Net Increase this Change Order	13,111.35
Revised Contract Amount	\$472,111.35

Board approved original award 07/07/2009. This is for RLC project #6, *Progress Report on Construction Projects*.

Sawyers Construction, Inc. – Bid #11623
Paramount Skylight and Ramp Replacement - ECC
Purchase Order No. B15164
Change Order No. 01

Change: Modification of existing ramp that extends ramp south per Architect's Supplemental Instructions #2.

Original Contract Amount	\$339,408.00
Change Order Limit/Contingency	50,911.00
Prior Change Order Total Amounts	.00
Net Decrease this Change Order	- 5,850.00
Revised Contract Amount	\$338,558.00

Board approved original award 08/04/2009. This is for ECC project #6, *Progress Report on Construction Projects*.

Sawyers Construction, Inc. – Bid #11623
Paramount Skylight and Ramp Replacement - ECC
Purchase Order No. B15164
Change Order No. 02

Change: Demolition and replacement of concrete stairs north of the ramp landing: include (3) handrails and (1) fall protection rail per Architect's Supplemental Instructions #2.

Original Contract Amount	\$339,408.00
Change Order Limit/Contingency	50,911.00
Prior Change Order Total Amounts	-5,850.00
Net Increase this Change Order	6,600.00
Revised Contract Amount	\$340,158.00

Board approved original award 08/04/2009. This is for ECC project #9, *Progress Report on Construction Projects*.

INFORMATIVE REPORT NO. 33

Payments for Goods and Services

This is an indicator report for the M/WBE participation provision in Policy BAA (LOCAL), which the Board of Trustees adopted on April 1, 2008. The policy statement is “The Board intends that the District, in the awarding of contracts for goods and services, shall make competitive opportunities available to all prospective suppliers including but not limited to new businesses, small businesses, and minority and woman-owned business enterprises (M/WBEs).” This report reflects the status as of November 30, 2009.

September & October 2009 Compared to September & October 2008

Ethnicity/ Gender	September 09		October 09		September 08		October 08	
	Amount	%	Amount	%	Amount	%	Amount	%
Amer Indian/ Alaskan Native	54,743	0.3	9,455	0.1	30,129	0.1	69,080	0.3
Black/African-American	547,012	2.6	1,020,111	6.7	5,057,922	22.2	2,773,180	12.6
Asian Indian	1,030,571	5.0	494,339	3.3	547,305	2.4	566,624	2.6
Anglo-American, Female	1,726,382	8.4	1,648,059	10.9	1,245,194	5.5	879,590	4.0
Asian Pacific	10,439	0.1	36,715	0.2	34,430	0.2	3,741	0.0
Hispanic/ Latino/ Mex-American	1,982,617	9.6	1,566,096	10.3	2,590,645	11.4	3,816,340	17.4
Other Female	56,882	0.3	85,006	0.6	251,365	1.1	137,660	0.6
Total M/WBE	5,408,645	26.2	4,859,780	32.1	9,756,990	42.9	8,246,214	37.6
Not Classified	15,239,773	73.8	10,283,161	67.9	13,006,078	57.1	13,693,784	62.4
Subtotal for Discretionary Payments	20,648,418	100.0	15,142,941	100.0	22,763,068	100.0	21,939,998	100.0
Non-discretionary Payments	2,950,476		2,546,863		3,568,720		1,726,781	
Total Payments	23,598,893		17,689,804		26,331,788		23,666,779	

November & December 2009 Compared to November & December 2008

Ethnicity/ Gender	November 09		December 09		November 08		December 08	
	Amount	%	Amount	%	Amount	%	Amount	%
Amer Indian/ Alaskan Native	5,634	0.1			8,221	0.0		
Black/African-American	435,464	4.6			3,960,548	14.1		
Asian Indian	988,845	10.4			655,003	2.3		
Anglo-American, Female	1,346,777	14.1			1,152,561	4.1		
Asian Pacific	5,072	0.1			21,820	0.1		
Hispanic/ Latino/ Mex-American	579,192	6.1			2,375,204	8.5		
Other Female	399,182	4.2			59,452	0.2		
Total M/WBE	3,760,166	39.5			8,232,810	29.3		
Not Classified	5,761,318	60.5			19,831,935	70.7		
Subtotal for Discretionary Payments								
	9,521,484	100.0			28,064,744	100.0		
Non-discretionary Payments	1,616,628				1,172,782			
Total Payments	11,138,113				29,237,526			

Payments to M/WBEs in Fiscal Years 2001/02 – 2008/09

	<u>2001-02</u>	<u>2002-03</u>	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>	<u>2008-09</u>
Amer Indian/ Alaskan Native	1,985	2,735,072	3,849,775	300,869	976,953	1,098,580	293,244	304,324
Black/African- American	1,777,088	2,292,519	3,205,921	4,404,239	4,706,496	3,125,284	14,934,516	40,748,128
Asian Indian	422,606	66,670	148,477	468,352	1,112,483	3,170,023	3,494,574	12,392,237
Anglo-American, Female	1,861,600	1,615,111	1,237,126	5,569,275	4,684,336	3,902,023	4,893,713	14,952,024
Asian Pacific	193,409	236,225	286,589	995,558	25,793	26,035	656,552	1,099,847
Hispanic/ Latino/ Mex-American	2,214,839	1,019,652	816,123	2,574,890	4,034,906	1,993,010	11,019,093	30,260,832
Other Female	14,602	13,991	11,092	33,805	712,096	695,800	940,788	1,545,232
HUB	N/A	N/A	N/A	1,363,959	N/A	N/A	N/A	N/A
Total paid to M/WBEs	6,486,129	7,979,240	9,555,103	15,710,947	16,253,063	14,010,755	36,232,480	101,302,624
% of all payments	9.89%	12.02%	14.33%	24.78%	22.27%	20.07%	21.69%	37.87%

Note: Effective September 1, 2004, sources for ascertaining certification were expanded from only NCTRCA to include HUB-State of Texas, DFWMBDC, and WBC - Southwest.

INFORMATIVE REPORT NO. 34

PROGRESS REPORT ON CONSTRUCTION PROJECTS
 Status Report as of November 30, 2009

PROJECTS		DESIGN							CONSTRUCTION										
Project Status		Board Review	A & E Selection	Feasibility Study	Programming	Concept Review	Schematic Rev	30%	65%	95%	100%	Bidding	Board Approval	Construction Start	30%	65%	95%	100%	Final Completion Acceptance
	BHC																		
1	Install access control system																		
2	Recarpet bldgs B,D,J,T																		
3	Install Scene Shop fire protection																		
4	DCCCD Public Safety Comm Sys																		
5	Renovate bldgs R; ADA/TAS																		
6	Waterproof wall bldg K																		
7	Upgrade restrooms campus-wide																		
8	Repaint 40 classrooms, 20 labs, & performance hall																		
9	Exterior wall repair & sidewalk replacement																		
	Bond Program																		
10	Construct Science & Allied Health Bldg																		
11	Expand Automotive Technology																		
12	Construct Workforce & Continuing Education Bldg																		
	CVC																		
1	Correct subsurface drainage bldgs B, C, D																		
2	Replace transformer & switchgear bldg B																		
3	Replace glass doors & related store fronts bldgs C & E																		
	Bond Program																		
4	Expand Mechanical Infrastructure																		
5	Construct Science Bldg																		
6	Construct Industrial Tech Bldg																		
	DO																		
	Bond Program																		
1	District Admin. Center																		
	DSC																		
1	Replace underground roof drainage																		
2	Seal & redo parking lots																		
3	Upgrade security system																		
	D-W																		
1	Feasibility study IT environment upgrades																		
	ECC																		
1	Upgrade security system 701																		
2	Replace 9 air handlers																		
3	Replace carpet offices/classrooms @ BJP																		
4	Replace toilet partitions @ BJP																		
5	Replace restroom fixtures @ BJP																		
6	Replace Skylights																		
7	Replace window tint bldg. R																		
8	Welding exhaust system BJP																		

PROGRESS REPORT ON CONSTRUCTION PROJECTS
 Status Report as of November 30, 2009

PROJECTS		DESIGN						CONSTRUCTION											
		Board Review	A & E Selection	Feasibility Study	Programming	Concept Review	Schematic Rev	30%	65%	95%	100%	Bidding	Board Approval	Construction Start	30%	65%	95%	100%	Final Completion Acceptance
9	Replace/Repair gym bleachers C220																		
10	Replace & seal all ext. windows, Paramount																		
	Bond Program																		
11	Develop West Campus																		
12	Build Center for Allied Health & Nursing																		
13	Back fill Adaptive Remodel																		
	EFC																		
1	Upgrade bromide exhaust																		
2	Reconstruct roadway																		
3	Repair structural crack in stairwell																		
4	Realign La Prada Drive																		
5	Repair foam roof bldgs C,L,M,N, P																		
6	Gymnasium bleacher replacement																		
7	Repair 6 racket-ball courts																		
8	Repair baseball backstop & dugout																		
9	Electronic marquee sign																		
10	Refurbish restrooms																		
	Bond Program																		
11	Develop South Campus																		
12	Expand Mechanical Infrastructure																		
13	Build Learning Center																		
14	Remodel vacated space																		
15	Construct Continuing Education Workforce & Criminal Justice Bldg																		
16	Construct Center for Child & Family Studies																		
17	Construct Technology Bldg																		
	MVC																		
1	Replace access control																		
2	Replace gym roof																		
3	Replace pool filter tanks, deck & underwater lights																		
4	Repair cooling tower/Replace pipe																		
5	Replace hall carpet, main campus																		
	Bond Program																		
6	Build Soccer Fields & Community Recreation Complex																		
7	Expand Mechanical Infrastructure																		
8	Construct Science Bldg																		
9	Construct Performance Hall																		
10	Remodel vacated space																		
11	Construct Economic & Workforce Center																		
12	Construct Student Center																		
	NLC																		
1	Remodel & convert old library																		
2	Install CCTV system																		

PROGRESS REPORT ON CONSTRUCTION PROJECTS
 Status Report as of November 30, 2009

PROJECTS		DESIGN							CONSTRUCTION										
		Board Review	A & E Selection	Feasibility Study	Programming	Concept Review	Schematic Rev	30%	65%	95%	100%	Bidding	Board Approval	Construction Start	30%	65%	95%	100%	Final Completion Acceptance
3	Retrofit interior lighting																		
4	Construct new elevator for bldg A																		
5	Relocate above ground fuel tanks																		
6	Oncor Easements																		
7	Repair tunnel bldgs F & A300																		
8	Replace HVAC system bldg H; H200 & H300																		
	Bond Program																		
9	Develop South Campus																		
10	Develop North Campus																		
11	Expand Mechanical Infrastructure																		
12	Construct Science Bldg																		
13	Construct General Purpose Bldg																		
14	Workforce Development Center																		
15	Remodel vacated space																		
16	Repair structural/waterproofing																		
	RLC																		
1 ¹	Replace restroom partitions																		
2	Replace fire alarms campus-wide																		
3	Repair sinkhole south end of lake																		
4	Replace ADA Access																		
5	Restore roof bldgs A,N,F																		
6	Replace roof bldgs C,H,G, L, M, P																		
7	Structural analysis modular bldgs.																		
8	Structural analysis modular bldgs. Kiowa																		
	Bond Program																		
9	Construct Science Bldg & expand parking/Mechanical Infrastructure																		
10	Renovate Sabine Hall																		
11	Develop Garland Campus																		

¹This project, "(Replace restroom partitions)" at RLC has been cancelled by the campus and will not appear on this report next month.

COMPLETED PROJECTS¹

Renovate bldgs R; ADA/TAS (BHC)
Waterproof wall bldg K (BHC)
Relocate above ground fuel tanks (NLC)

BOND PROGRAM 100% COMPLETED PROJECTS²

Expand Automotive Technology (BHC)
Construct Science & Allied Health Bldg (BHC)
Expand Mechanical Infrastructure (CVC)
Construct Science Bldg (CVC)
District Admin. Center (DO)
Build Center for Allied Health & Nursing (ECC)
Develop West Campus (ECC)
Develop South Campus (EFC)
Expand Mechanical Infrastructure (EFC)
Build Learning Center (EFC)
Construct Continuing Education Workforce & Criminal Justice Bldg (EFC)
Construct Center for Child & Family Studies (EFC)
Build Soccer Fields & Community Recreation Complex (MVC)
Expand Mechanical Infrastructure (MVC)
Construct Science Bldg (MVC)
Construct Performance Hall (MVC)
Construct Economic & Workforce Development Center (MVC)
Construct Student Center (MVC)
Develop South Campus (NLC)
Develop North Campus (NLC)
Expand Mechanical Infrastructure (NLC)
Construct Science Bldg (NLC)
Construct General Purpose Bldg (NLC)
Workforce Development Center (NLC)
Construct Science Bldg & expand parking/Mechanical Infrastructure (RLC)
Develop Garland Campus (RLC)

¹This is the last report on which these projects will appear.

²The 100% completed Bond Program projects will continue to appear on this report

INFORMATIVE REPORT NO. 35

Bond Program Report on Projects

The status of planning as of November 30, 2009 for projects assigned to contracted construction program managers and other bond funded projects.

Background

The Bond Program Management Team has begun publishing a status report at www.dcccd.edu that includes site photographs, Gantt charts for each project, upcoming deadlines and persons to contact for submitting proposals and bids. The primary audiences for the Internet report are taxpayers in Dallas County and local businesses that are interested in participating in the District's bond program.

The primary audience for this report is the District's Board of Trustees. In this report, Trustees are informed about program design for new buildings, potential and actual impacts on campus operations and surrounding neighborhoods, and other matters that may affect student learning, operational productivity, public safety, and constituents' perceptions about use of public funds. Also listed are projects managed through DCCCD Facilities Management as part of the 2004 bond program.

Brookhaven College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Location Wide Original Budget: \$0 Revised Budget: \$3,225,032	3,225,032				
Science and Allied Health Building Original Budget: \$29,200,000 Revised Budget: \$46,765,495 Total Awarded: \$46,620,002	0	3,673,731	39,002,042	434,981	3,509,248
COMPLETED Construction Start / Beneficial Occupancy: Dec 07 / Aug 09 Managed by Bond Program Management Team. \$37,566,526 CMAR Guaranteed Maximum Price 01/28/2008.					
Automotive Technology Expansion Original Budget: \$4,000,000 Revised Budget: \$4,539,666 Total Awarded: \$4,369,693	0	352,950	3,881,695	82,880	52,168
COMPLETED Construction Start / Beneficial Occupancy: Aug 08 / Jul 09 Managed by Bond Program Management Team.					
Workforce & Continuing Education	0	620,618	6,300,000	88,116	302,938

BHC M/WBE Participation						
	Total Contracted Dollars	Dollars Allocated	Non-MWBE Dollars	Non-MWBE %	MWBE Dollars	MWBE %
Sub-total	57,796,724	57,715,331	36,721,644	64%	20,993,687	36%

Cedar Valley College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Location Wide Original Budget: \$0 Revised Budget: \$2,806,382	2,806,382				
Mechanical Infrastructure Original Budget: \$4,306,840 Revised Budget: \$77,810 Total Awarded: \$77,810	0	0	0	77,810	0
COMPLETED Construction Start / Beneficial Occupancy: Apr 08 / Jul 09 Managed by Bond Program Management Team. --Budget and scope included in science, allied health, and veterinary technology building.					
Science, Allied Health, & Veterinary Technology Bldg. Original Budget: \$30,600,000 Revised Budget: \$37,622,348 * Total Awarded: \$36,837,159	0	2,925,857	30,958,572	590,604	2,362,126
COMPLETED Construction Start / Beneficial Occupancy: Apr 08 / Jul 09 Managed by Bond Program Management Team. \$30,754,172 CMAR Guaranteed Maximum Price 3/17/2008. * \$55,500 added from non-bond program dollars.					

Cedar Valley College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Industrial Technology Bldg.	0	1,137,807	11,248,295	209,042	1,062,728
Original Budget: \$6,600,000 Revised Budget: \$13,636,263 Total Awarded: \$13,657,872	<p>Construction Start / Beneficial Occupancy: Jan 08 / Dec 09 Managed by Bond Program Management Team. \$11,171,222 CMAR Guaranteed Maximum Price 12/13/2007. CMAR in default; surety involved.</p> <p style="text-align: right;">November 30, 2009</p>				
Location Summary	Original Budget: 53,506,840	Revised Budget: 54,142,803		Total Awarded: 53,379,222	

CVC M/WBE Participation						
	Total Contracted Dollars	Dollars Allocated	Non-MWBE Dollars	Non-MWBE %	MWBE Dollars	MWBE %
Sub-total	50,338,623	50,290,643	41,345,322	82%	8,945,321	18%

Eastfield College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Location Wide Original Budget: \$0 Revised Budget: \$2,833,863	2,833,863				
South Campus Original Budget: \$10,200,000 Revised Budget: \$13,784,801 * Total Awarded: \$13,779,139	0	833,409	9,366,584	2,826,224	752,922
	COMPLETED Construction Start / Beneficial Occupancy: May 08 / May 09 Managed by Bond Program Management Team. \$9,309,163 CMAR Guaranteed Maximum Price 4/24/2008. * \$2,420,039 land purchase and \$296,152 demolition. \$372,349 added from non-bond program dollars.				
Mechanical Infrastructure Original Budget: \$2,306,840 Revised Budget: \$94,433 Total Awarded: \$94,433	0	0	0	94,433	0
	COMPLETED Construction Start / Beneficial Occupancy: Sep 08 / Sep 09 Managed by Bond Program Management Team. --Budget and scope included in workforce development building.				

Eastfield College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Adaptive Remodel Original Budget: \$4,600,000 Revised Budget: \$4,138,714 Total Awarded: \$3,847,084	0	23,880	3,785,525	24,689	12,990
Construction Start / Beneficial Occupancy: Jul 09 / Aug 10 Managed by Bond Program Management Team. \$2,920,037 Design-Build Guaranteed Maximum Price 5/29/2009. --Budget transferred to workforce development building, parent child study center, and industrial technology building.					
Learning Center Original Budget: \$17,400,000 Revised Budget: \$14,348,873 Total Awarded: \$14,340,377	0	1,058,965	11,766,086	135,691	1,379,635
Construction Start / Beneficial Occupancy: Aug 07 / Nov 08 Managed by Bond Program Management Team. \$11,766,086 CMAR Guaranteed Maximum Price 8/9/2007. --Budget transferred to workforce development building, parent child study center, and industrial technology building.					
Continuing Ed. Workforce & Criminal Justice Bldg. Original Budget: \$7,100,000 Revised Budget: \$10,774,868 Total Awarded: \$10,765,773	0	747,294	9,391,228	127,148	500,103
Construction Start / Beneficial Occupancy: Sep 08 / Sep 09 Managed by Bond Program Management Team. \$9,391,228 CMAR Guaranteed Maximum Price 8/15/2008. --Budget transferred to industrial technology building.					

Eastfield College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Center for Child and Family Services Original Budget: \$0 Revised Budget: \$7,043,228 Total Awarded: \$7,028,887	0	460,212	6,210,744	67,903	290,028
	<p style="text-align: center;">COMPLETED</p> Construction Start / Beneficial Occupancy: Sep 08 / Jul 09 Managed by Bond Program Management Team. \$6,167,079 CMAR Guaranteed Maximum Price 8/12/2008				
Technology Building Original Budget: \$0 Revised Budget: \$8,083,713 Total Awarded: \$7,810,613	0	446,607	7,049,095	94,966	219,945
	Construction Start / Beneficial Occupancy: Nov 08 / Apr 10 Managed by Bond Program Management Team. \$7,049,095 CMAR Guaranteed Maximum Price 11/10/2008				
Location Summary	Original Budget: 61,706,840		Revised Budget: 61,102,493		Total Awarded: 60,500,169

EFC M/WBE Participation						
	Total Contracted Dollars	Dollars Allocated	Non-MWBE Dollars	Non-MWBE %	MWBE Dollars	MWBE %
Sub-total	56,513,884	56,492,517	39,245,321	69%	17,247,196	31%

El Centro College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Location Wide Original Budget: \$0 Revised Budget: \$864,696	864,696				
West Campus Original Budget: \$10,200,000 Revised Budget: \$13,665,239 * Total Awarded: \$13,503,500	0	599,729	8,667,129	3,625,054	611,588
<p style="text-align: center;">COMPLETED</p> <p style="text-align: center;">Construction Start / Beneficial Occupancy: May 08 / May 09 Managed by Bond Program Management Team. \$8,667,129 CMAR Guaranteed Maximum Price 4/30/2008.</p> <p>* \$3,444,384 land purchase and \$530,855 demolition.</p>					
Allied Health and Nursing Original Budget: \$16,100,000 Revised Budget: \$20,592,600 *	0	1,093,129	11,361,796	351,538	5,429,231
<p style="text-align: center;">COMPLETED</p> <p style="text-align: center;">Construction Start / End: Jan 06 / Aug 08 Managed by Facilities Management. Awarded \$18,235,694</p> <p>* \$292,600 added from non-bond program dollars.</p>					
Adaptive Remodel Original Budget: \$3,850,000 Revised Budget: \$4,998,646 *	0	421,426	2,437,607	72,253	0
<p style="text-align: center;">Construction Start / End: April 09 / May 10 Managed by Facilities Management. Awarded \$2,931,286</p> <p>* \$1,000,000 from mechanical infrastructure.</p>					

El Centro College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Paramount Building / Land Acquisition Original Budget: \$11,000,000 Revised Budget: \$11,309,880	Construction: Completed Managed by Facilities Management. Awarded \$11,243,117 <div style="text-align: center; font-size: 2em; letter-spacing: 0.5em;">COMPLETED</div>				
Location Summary	Original Budget: 46,990,680	Revised Budget: 51,431,061	November 30, 2009		
			Total Awarded: 46,778,293		

ECC M/WBE Participation						
	Total Contract Dollars	Dollars Allocated	Non-MWBE Dollars	Non-MWBE %	MWBE Dollars	MWBE %
Sub-total	29,769,213	25,778,805	15,528,246	60%	10,250,559	40%

Mountain View College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Location Wide Original Budget: \$0 Revised Budget: \$2,797,278	2,797,278				
Mechanical Infrastructure Original Budget: \$4,491,280 Revised Budget: \$73,712 Total Awarded: \$73,712	0	0	0	73,712	0
COMPLETED Construction Start / Beneficial Occupancy: Dec 07 / Jul 09 Managed by Bond Program Management Team. --Budget and scope included in science building and student center and services building. Partial budget transferred to economic and workforce development building.					
Science Building Original Budget: \$15,300,000 Revised Budget: \$15,286,761 Total Awarded: \$15,019,343	0	1,171,350	12,787,238	380,643	680,112
COMPLETED Construction Start / Beneficial Occupancy: Dec 07 / Dec 08 Managed by Bond Program Management Team. \$12,948,189 CMAR Guaranteed Maximum Price 11/19/2007.					

Mountain View College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Performance Hall Original Budget: \$5,700,000 Revised Budget: \$4,442,434 Total Awarded: \$4,115,282	0	278,552	3,744,871	76,742	15,117
COMPLETED Construction Start / Beneficial Occupancy: Jul 08 / Apr 09 Managed by Bond Program Management Team. --Partial budget transferred to student center and services building.					
Adaptive Remodel Original Budget: \$2,300,000 Revised Budget: \$4,011,992 Total Awarded: \$3,645,155	0	16,050	3,605,948	23,157	0
Construction Start / Beneficial Occupancy: May 09 / Aug 10 Managed by Bond Program Management Team.					

Mountain View College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Economic and Workforce Development Building	0	529,157	6,085,879	62,787	341,796
Original Budget: \$7,600,000 Revised Budget: \$7,718,268 Total Awarded: \$7,019,619	<p align="center">COMPLETED</p> <p align="center">Construction Start / Beneficial Occupancy: Aug 08 / Aug 09 Managed by Bond Program Management Team. \$6,079,499 CMAR Guaranteed Maximum Price 7/10/2008</p>				
Student Services Building	0	1,086,255	14,450,528	140,057	897,052
Original Budget: \$16,500,000 Revised Budget: \$17,615,166 Total Awarded: \$16,573,892	<p align="center">COMPLETED</p> <p align="center">Construction Start / Beneficial Occupancy: Jan 08 / Aug 09 Managed by Bond Program Management Team. \$14,450,528 CMAR Guaranteed Maximum Price 01/04/2008.</p>				

Mountain View College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Athletic and Community Recreation Complex	0	560,910	8,235,547	317,878	0
Original Budget: \$5,300,000 Revised Budget: \$9,309,625 *	<p>Construction Start / End: Mar 07 / Dec 08 Managed by Facilities Management. Awarded \$9,114,335</p> <p>* \$3,009,625 added from non-bond program dollars.</p> <p>November 30, 2009</p>				
Location Summary	Original Budget: 57,191,280		Revised Budget: 61,255,236		Total Awarded: 58,358,616

MVC M/WBE Participation						
	Total Contracted Dollars	Dollars Allocated	Non-MWBE Dollars	Non-MWBE %	MWBE Dollars	MWBE %
Sub-total	56,305,460	54,441,283	36,679,808	67%	17,761,475	33%

North Lake College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Location Wide Original Budget: \$0 Revised Budget: \$3,007,482	3,007,482				
South Campus Original Budget: \$10,200,000 Revised Budget: \$13,474,736 * Total Awarded: \$13,318,990	0	595,434	7,353,274	4,798,110	572,172
	<p align="center">COMPLETED</p> <p align="center">Construction Start / Beneficial Occupancy: Dec 07 / Dec 08 Managed by Bond Program Management Team. \$7,977,698 CMAR Guaranteed Maximum Price 12/04/2007.</p> <p>* \$4,465,797 land purchase and \$533,807 demolition.</p>				
North Campus Original Budget: \$10,200,000 Revised Budget: \$18,522,655 * Total Awarded: \$18,497,688	0	691,939	8,202,041	9,116,674	487,034
	<p align="center">COMPLETED</p> <p align="center">Construction Start / Beneficial Occupancy: Aug 07 / Aug 08 Managed by Bond Program Management Team. \$8,202,041 CMAR Guaranteed Maximum Price 08/08/2007.</p> <p>* \$8,968,631 land purchase and related cost of \$23,900.</p>				

North Lake College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Mechanical Infrastructure Original Budget: \$1,990,680 Revised Budget: \$75,543 Total Awarded: \$75,543	0	0	0	75,543	0
COMPLETED Construction Start / Beneficial Occupancy: Nov 07 / Jan 09 Managed by Bond Program Management Team. --Budget and scope included in science and medical professions building.					
Adaptive Remodel Original Budget: \$4,100,000 Revised Budget: \$6,427,466 Total Awarded: \$5,256,636	0	23,980	5,222,156	10,500	0
Construction Start / Beneficial Occupancy: May 09 / May 10 Managed by Bond Program Management Team. \$5,222,156 CMAR Guaranteed Maximum Price 10/28/2009.					
Science Building Original Budget: \$6,800,000 Revised Budget: \$13,901,151 * Total Awarded: \$13,901,151	0	941,613	11,986,577	317,390	655,571
COMPLETED Construction Start / Beneficial Occupancy: Nov 07 / Jan 09 Managed by Bond Program Management Team. \$12,121,726 CMAR Guaranteed Maximum Price 10/29/2007. * \$165,900 added from non-bond program dollars.					

General Purpose Building Original Budget: \$0 Revised Budget: \$12,460,000 Total Awarded: \$11,923,296	0	851,285	10,376,084	131,359	564,568
	COMPLETED Construction Start / Beneficial Occupancy: Jun 08 / Aug 09 Managed by Bond Program Management Team. \$10,350,368 CMAR Guaranteed Maximum Price 5/29/2008.				
Workforce Development Center Original Budget: \$0 Revised Budget: \$1,649,512 Total Awarded: \$1,647,744	0	148,261	1,356,052	59,118	84,313
	COMPLETED Construction Start / Beneficial Occupancy: Jul 08 / Dec 08 Managed by Bond Program Management Team. * \$121,942 added from non-bond program dollars.				

Structural Repairs	0	125,500	1,150,929	27,500	0
Original Budget: \$2,000,000	Construction Start / Beneficial Occupancy: Jul 09 / Jan 10 Managed by Bond Program Management Team.				
Revised Budget: \$1,598,295					
Total Awarded: \$1,303,929					
	November 30, 2009				
Location Summary	Original Budget: 55,790,680	Revised Budget: 71,116,840	Total Awarded: 68,932,460		

NLC M/WBE Participation						
	Total Contracted Dollars	Dollars Allocated	Non-MWBE Dollars	Non-MWBE %	MWBE Dollars	MWBE %
Sub-total	53,714,267	49,421,753	35,260,843	71%	14,160,910	29%

Richland College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Location Wide Original Budget: \$0 Revised Budget: \$3,495,518	3,495,518				
Garland Campus Original Budget: \$0 Revised Budget: \$14,917,576 * Total Awarded: \$14,858,439	0	904,560	10,393,900	3,559,979	0
	<p style="text-align: center;">COMPLETED</p> <p style="text-align: center;">Construction Start / Beneficial Occupancy: Dec 07 / Apr 09 Managed by Bond Program Management Team. \$10,293,084 CMAR Guaranteed Maximum Price 11/12/2007.</p> <p>* \$3,216,953 land purchase and \$279,917 demolition. \$1,655,906 added from non-bond program dollars.</p>				
Science Building Original Budget: \$31,600,000 Revised Budget: \$48,381,498 Total Awarded: \$48,218,948	0	3,569,600	42,671,829	693,195	1,284,324
	<p style="text-align: center;">COMPLETED</p> <p style="text-align: center;">Construction Start / Beneficial Occupancy: Nov 07 / Nov 09 Managed by Bond Program Management Team. \$42,556,558 CMAR Guaranteed Maximum Price 2/19/2008.</p>				

Richland College	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Adaptive Remodel	0	24,840	5,017,000	0	0
Original Budget: \$0 Revised Budget: \$5,825,000 Total Awarded: \$5,041,840	<p>Construction Start / Beneficial Occupancy: Jul 09 / Sept 10 Managed by Bond Program Management Team.</p> <p style="text-align: right;">November 30, 2009</p>				
Location Summary	Original Budget: 56,006,840	Revised Budget: 72,619,592	Total Awarded: 71,614,745		

RLC M/WBE Participation						
	Total Contract Dollars	Dollars Allocated	Non-MWBE Dollars	Non-MWBE %	MWBE Dollars	MWBE %
Sub-total	67,618,718	66,118,718	54,386,631	82%	11,732,087	18%

District Office at 1601 S Lamar	Awarded \$				
	Program Manager Fee	Architect Fee	CMAR / Contractor	Other Professional Services	Other Awards
Location Wide Original Budget: \$0 Revised Budget: \$553,601	553,601				
District Administration Center Original Budget: \$0 Revised Budget: \$16,144,221 * Total Awarded: \$16,080,380	0	47,108	10,554,493	3,642,198	1,836,581
COMPLETED Construction Start / Beneficial Occupancy: Feb 08 / Dec 08 Managed by Bond Program Management Team. \$10,373,000 Design-Build Guaranteed Maximum Price 3/5/2008. * \$3,391,716 land purchase and \$93,402 demolition. \$1,511,578 added from non-bond program dollars. November 30, 2009					
Location Summary	Original Budget: 10,200,000	Revised Budget: 16,697,822		Total Awarded: 16,633,982	

DO M/WBE Participation						
	Total Contracted Dollars	Dollars Allocated	Non-MWBE Dollars	Non-MWBE %	MWBE Dollars	MWBE %
Sub-total	13,228,957	13,228,957	10,724,817	81%	2,504,140	19%

Other	
Property Acquisition	Revised budget dollars were \$27,813,720. There is \$38,287 designated of remaining property acquisition budget.
Project Development	Revised budget dollars are \$6,451,066. Out of these funds committed dollars total \$3,293,930, leaving a contingency of \$3,157,136. November 30, 2009

Notes	
Other Professional Services	Examples of 'Other Professional Services' are geotechnical investigation services, civil and utility assessments, construction materials testing service consultants, environmental and hazardous materials consulting services and abatement, roofing consultant services, HVAC testing / adjusting / balancing consultant, and unique professional services (i.e., a theater assessment or LEED green building commissioning services).
Other Awards	Examples of 'Other Awards' are demolition activity, electrical and plumbing work, site cleanup, permits, fees, furniture / fixtures / equipment and technology.
Construction Start and End Dates	Construction start and end dates are subject to change due to weather, process delays, or unforeseen events beyond the scope of the District and the bond program management team. End date a.k.a. beneficial occupancy.
Financial Analysis	This report is an informative report only.

INFORMATIVE REPORT NO. 36

Facilities Management Project Report

The status of the work of facilities management on maintenance projects and staff assistance request (SARS) projects is reported for the period ending November 30, 2009.

Background

The following projects consist of deferred maintenance projects funded by the district and staff assistance (SAR) projects funded by the college campuses. The maintenance projects are developed by the annual audit of facilities by Facilities Management and the (SAR) projects are generated by special project request for the campuses in need of technical assistance from District Facilities Management Department.

Brookhaven College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc
1) Mep Upgrade/ Restroom Renovations	90,252	0	28,428	0
Estimated Cost: \$1,017,336 Revised Cost: \$ Awarded Amount: \$118,680	Start / Finish: April 09 / September 10			
2) Repaint Classrooms, Perf. Hall & Labs	14,231	0	5,871	0
Estimated Cost: \$210,102 Revised Cost: \$ Awarded Amount: \$20,102	Start / Finish: December 08 / April 10			
3) Update/Replace Exterior Signage	9,363	0	0	0
Estimated Cost: \$138,225 Revised Cost: \$ Awarded Amount: \$9,363	Start / Finish: December 09 / April 10			

Brookhaven College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc
4) Replace walkways/ sidewalks campus-wide	24,343	0	0	0
Estimated Cost: \$364,260 Revised Cost: \$ Awarded Amount: \$24,343	Start / Finish: December 09 / December 10			
5) Replace 700T centrifugal chiller, Building B	33,705	0	0	0
Estimated Cost: \$497,610 Revised Cost: \$ Awarded Amount: \$33,705	Start / Finish: December 09 / June 11			
BHC Maintenance Summary	Total Estimated Cost:: \$2,227,533	Total Revised Cost: \$0	Total Awarded Amount: \$206,193	

Brookhaven College SAR	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Swipe Card Access Control System Estimated Cost: \$500,000 Revised Cost: \$ Awarded Amount: \$498,004	17,500	480,504	0	0
Start / Finish: December 06 / February 10				
2) Police Communication System Estimated Cost: \$1,214,286 Revised Cost: \$ Awarded Amount: \$85,000	85,000	0	0	0
Start / Finish: August 08 / June 10				
3) Bldg. K Waterproofing Estimated Cost: \$145,772 Revised Cost: \$ Awarded Amount: \$100,988	10,204	90,784	0	0
Start / Finish: December 08 / December 09				

Brookhaven College SAR	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
4) Sprinkler Sys Renovation/Fire Protection	2,597	0	0	0
Estimated Cost: \$37,100 Revised Cost: \$ Awarded Amount: \$2,597	Start / Finish: May 09 / TBD*			
BHC SAR Summary	Total Estimated Cost:: \$1,897,158	Total Revised Cost: \$0	Total Awarded Amount: \$686,589	

* TBD – To Be Determined

Cedar Valley College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Correct water drainage, Bldg. B,C,D Estimated Cost: \$552,900 Revised Cost: \$ Awarded Amount: \$52,900	37,450	0	15,450	0
Start / Finish: December 08 / December 10				
2) Update Fire Sprinkler systems, Buildings D,E, F and G Estimated Cost: \$1,144,503 Revised Cost: \$ Awarded Amount: \$77,522	77,522	0	0	0
Start / Finish: December 09 / June 11				
3) Replace glass in Bldg C,E Estimated Cost: \$525,256 Revised Cost: \$ Awarded Amount: \$61,172	46,494	0	14,678	0
Start / Finish: April 09 / August 10				

Cedar Valley College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
4) Mep Project- replace switchgear	7,490	80,800	3,090	0
Estimated Cost: \$110,580	Start / Finish: December 08 / May 10			
Revised Cost: \$				
Awarded Amount: \$91,380				
CVC Maintenance Summary	Total Estimated Cost:: \$2,333,239	Total Revised Cost: \$0	Total Awarded Amount: \$282,974	

Eastfield College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Upgrade Bromine Exhaust System Estimated Cost: \$182,458 Revised Cost: \$ Awarded Amount: \$34,844	12,359	17,386	5,099	0
	Start / Finish: December 08 / January 10			
2) Repair foam roofs: Bldgs C, L, N, P Estimated Cost: \$204,439 Revised Cost: \$ Awarded Amount: \$19,298	13,662	0	5,636	0
	Start / Finish: February 09 / May 10			
3) Loop Road (see SAR La Prada Rd) Estimated Cost: \$2,300,000 Revised Cost: \$ Awarded Amount: \$2,203,102	298,980	1,810,120	74,742	19,260
	Start / Finish: April 07 / January 10			
4) Repair Upper Courtyard Estimated Cost: \$629,890 Revised Cost: \$ Awarded Amount: \$42,094	42,094	0	0	0
	Start / Finish: December 09 / December 10			

5) Refurbish Restrooms, C3RW2, F2RM1, F2RW1, L3RM1, L3RW1 Estimated Cost: \$154,812 Revised Cost: \$ Awarded Amount: \$10,486	10,486	0	0	0
	Start / Finish: December 09 / December 10			
6) Replace Asphalt Parking Lots Estimated Cost: \$1,815,696 Revised Cost: \$ Awarded Amount: \$121,338	121,338	0	0	0
	Start / Finish: December 09 / December 10			
EFC Maintenance Summary	Total Estimated Cost:: \$5,287,295	Total Revised Cost: \$0	Total Awarded Amount: \$2,431,162	

Eastfield College SAR	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Stairwell Estimated Cost: \$70,000 Revised Cost: \$ Awarded Amount: \$4,900	4,900	0	0	0
	Start / Finish: June 08 / February 10			
2) CCTV Estimated Cost: \$100,000 Revised Cost: \$ Awarded Amount: \$7,000	7,000	0	0	0
	Start / Finish: September 08 / TBD			
3) Bleacher Replacement Estimated Cost: \$165,714 Revised Cost: \$ Awarded Amount: \$11,600	11,600	0	0	0
	Start / Finish: September 08 / January 10			
4) Electronic Marquee Signs Estimated Cost: \$165,972 Revised Cost: \$ Awarded Amount: \$188,962	15,268	173,694	0	0
	Start / Finish: March 09 / April 10			

Eastfield College SAR	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
5) Replace Exterior Doors Estimated Cost: \$123,000 Revised Cost: \$ Awarded Amount: \$12,600	12,600	0	0	0
	Start / Finish: April 09 / TBD			
6) Swimming Pool Repairs /Baseball Dugout/ Estimated Cost: \$200,000 Revised Cost: \$ Awarded Amount: \$173,195	22,500	150,695	0	0
	Start / Finish: December 08 / December 09			
7) La Prada Entrance (see Loop Road MTN) Estimated Cost: \$550,000 Revised Cost: \$ Awarded Amount: \$490,908	38,500	452,408		
	Start / Finish: March 08 / January 10			

Eastfield College SAR	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
8) Re-Route Oates to Loop Road	109,900			
Estimated Cost: \$1,679,900	Start / Finish: October 09 / TBD			
Revised Cost: \$				
Awarded Amount: \$109,900				
EFC SAR Summary	Total Estimated Cost:: \$3,054,586	Total Revised Cost: \$0	Total Awarded Amount: \$999,065	

El Centro College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Replace/repair gym bleachers C220 Estimated Cost: \$55,290 Revised Cost: \$ Awarded Amount: \$3,745	3,745	0	0	0
Start / Finish: December 09 / June 11				
2) Replace & seal all exterior windows, Paramount Estimated Cost: \$277,169 Revised Cost: \$ Awarded Amount: \$18,774	18,774	0	0	0
Start / Finish: December 09 / June 11				
3) Replace roof, Bldg A and penthouse Estimated Cost: \$359,385 Revised Cost: \$ Awarded Amount: \$24,342	24,342	0	0	0
Start / Finish: December 09 / December 10				

El Centro College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
4) Replace portion of Elm St. sidewalk by Bookstore Estimated Cost: \$11,208 Revised Cost: \$ Awarded Amount: \$749	749	0	0	0
	Start / Finish: December 09 / December 10			
5) Repair exterior sidewalks: east & north building perimeters @ BJP Estimated Cost: \$42,030 Revised Cost: \$ Awarded Amount: \$2,809	2,809	0	0	0
	Start / Finish: December 09 / December 10			
6) Replace AHU drives, shaft, bearings, controls, 9 each @ BJP Estimated Cost: \$193,515 Revised Cost: \$ Awarded Amount: \$13,108	13,108	0	0	0
	Start / Finish: December 09 / June 11			

El Centro College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
7) Replace surge suppressors at distribution panels @ BJP Estimated Cost: \$55,290 Revised Cost: \$ Awarded Amount: \$3,745	3,745	0	0	0
	Start / Finish: December 09 / June 11			
8) Replace carpet, 1st/2nd floor offices/ Classrooms @ BJP Estimated Cost: \$138,226 Revised Cost: \$ Awarded Amount: \$13,226	9,363	0	3,863	0
	Start / Finish: December 08 / June 10			
9) Replace toilet partitions in all restrooms @ BJP Estimated Cost: \$55,290 Revised Cost: \$ Awarded Amount: \$5,290	3,745	0	1,545	0
	Start / Finish: December 08 / June 10			

El Centro College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
10) Replace Restroom Fixtures @ BJP	13,108	0	5,408	0
Estimated Cost: \$193,515 Revised Cost: \$ Awarded Amount: \$18,516	Start / Finish: December 08 / June 10			
11) Sky light replacement/ renovations	16,500	339,408	10,182	0
Estimated Cost: \$366,090 Revised Cost: \$ Awarded Amount: \$366,090	Start / Finish: November 08 / January 10			
ECC Maintenance Summary	Total Estimated Cost:: \$1,747,008	Total Revised Cost: \$0	Total Awarded Amount: \$470,394	

El Centro College SAR	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) CCTV Replacement at 701 Elm Estimated Cost: \$53,451 Revised Cost: \$ Awarded Amount: \$53,804	0	53,804	0	0
	Start / Finish: July 09 / TBD			
ECC SAR Summary	Total Estimated Cost:: \$53,451	Total Revised Cost: \$0	Total Awarded Amount: \$53,804	

Mountain View College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Replace pool filter tanks, piping pool deck & underwater lights	9,737	0	4,017	0
Estimated Cost: \$143,754 Revised Cost: \$ Awarded Amount: \$13,754	Start / Finish: December 08 / May 10			
2) Repair cooling tower and replace corroded pipe	9,363	152,161	3,863	0
Estimated Cost: \$138,226 Revised Cost: \$ Awarded Amount: \$165,387	Start / Finish: December 08 / May 10			
3) Replace gymnasium roof	14,980	0	6,180	0
Estimated Cost: \$221,160 Revised Cost: \$ Awarded Amount: \$21,160	Start / Finish: December 08 / May 10			

Mountain View College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
4) Replace 1000T centrifugal Chiller, CH-2 Estimated Cost: \$829,350 Revised Cost: \$ Awarded Amount: \$56,175	56,175	0	0	0
Start / Finish: December 09 / June 11				
5) Replace motors and VFDs on AHUs A-1, A-2, A-3 & A-4. Estimated Cost: \$110,580 Revised Cost: \$ Awarded Amount: \$7,490	7,490	0	0	0
Start / Finish: December 09 / June 11				
6) Replace Hall Carpet, all levels, main campus, 158,000 SF Estimated Cost: \$652,422 Revised Cost: \$ Awarded Amount: \$44,191	44,191	0	0	0
Start / Finish: December 09 / June 11				
MVC Maintenance Summary	Total Estimated Cost: \$2,095,492	Total Revised Cost: \$0	Total Awarded Amount: \$308,157	

North Lake College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Repair Utility Tunnel Estimated Cost: \$285,804 Revised Cost: \$ Awarded Amount: \$19,100	19,100	0	0	0
Start / Finish: December 09 / December 10				
2) Replace Roofs, Bldgs. H & K Waterproofing Estimated Cost: \$333,438 Revised Cost: \$ Awarded Amount: \$22,282	22,282	0	0	0
Start / Finish: December 09 / December 10				
3) Repair/Replace concrete stairs, Bldg. A, Waterproofing Estimated Cost: \$119,169 Revised Cost: \$ Awarded Amount: \$7,964	7,964	0	0	0
Start / Finish: December 09 / December 10				

North Lake College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
4) Repair Roofs, exterior stucco, water infiltration, Bldg. R Estimated Cost: \$364,260 Revised Cost: \$ Awarded Amount: \$24,343	24,343	0	0	0
	Start / Finish: December 09 / December 10			
5) Repair high priority water infiltration points, campus wide Estimated Cost: \$119,169 Revised Cost: \$ Awarded Amount: \$7,964	7,964	0	0	0
	Start / Finish: December 09 / December 10			
6) Replace piping insulation in section of tunnel Estimated Cost: \$199,044 Revised Cost: \$ Awarded Amount: \$13,482	13,482	0	0	0
	Start / Finish: December 09 / June 11			

North Lake College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
7) Replace buried utility pipe in section of tunnel Estimated Cost: \$99,522 Revised Cost: \$ Awarded Amount: \$6,741	6,741	0	0	0
Start / Finish: December 09 / June 11				
8) Repair/re-upholster performance hall seating, 452 seats Estimated Cost: \$217,422 Revised Cost: \$ Awarded Amount: \$14,726	14,726	0	0	0
Start / Finish: December 09 / June 11				
9) Repair Tunnel Soils At Bldg F & Bldg A300 Estimated Cost: \$702,386 Revised Cost: \$ Awarded Amount: \$52,609	52,609	0	0	0
Start / Finish: December 09 / TBD				
NLC Maintenance Summary	Total Estimated Cost: \$2,440,214	Total Revised Cost: \$0	Total Awarded Amount: \$169,211	

North Lake College SAR	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Student Life Center Estimated Cost: \$3,800,000 Revised Cost: \$ Awarded Amount: \$248,600	248,600	0	0	0
Start / Finish: March 08 / March 11				
2) Bldg A Elevator Estimated Cost: \$1,146,428 Revised Cost: \$ Awarded Amount: \$75,000	75,000	0	0	0
Start / Finish: April 09 / March 11				
3) Repair lab flooring Bldg c Estimated Cost: \$146,742 Revised Cost: \$ Awarded Amount: \$9,600	9,600	0	0	0
Start / Finish: July 09 / June 10				
NLC SAR Summary	Total Estimated Cost: \$5,093,170	Total Revised Cost: \$0	Total Awarded Amount: \$333,200	

Richland College Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Replace Roofs; Bldgs. N, A, C, S, G Estimated Cost: \$1,548,120 Revised Cost: \$ Awarded Amount: \$148,120	104,860	0	43,260	0
	Start / Finish: December 08 / May 10			
2) Fire Alarm Estimated Cost: \$1,105,900 Revised Cost: \$ Awarded Amount: \$1,056,321	74,900	981,421	0	0
	Start / Finish: April 07 / March 10			
3) Replace underground West side HVAC piping Estimated Cost: \$707,712 Revised Cost: \$ Awarded Amount: \$47,936	47,936	0	0	0
	Start / Finish: December 09 / TBD			
4) Replace 900 Ton Chiller No. 2 Estimated Cost: \$552,900 Revised Cost: \$ Awarded Amount: \$37,450	37,450	0	0	0
	Start / Finish: December 09 / June 11			

5) Repair/replace ADA ramps and accessible access Estimated Cost: \$330,636 Revised Cost: \$ Awarded Amount: \$22,096	22,096	0	0	0
	Start / Finish: December 09 / May 10			
6) Replace original entrance doors, Phase II Estimated Cost: \$404,722 Revised Cost: \$ Awarded Amount: \$27,413	27,413	0	0	0
	Start / Finish: December 09 / June 11			
7) Refurbish existing cooling towers, 750 Ton, 3 each Estimated Cost: \$315,153 Revised Cost: \$ Awarded Amount: \$21,347	21,347	0	0	0
	Start / Finish: December 09 / June 11			

8) Replace damper and actuators, AHU 1 & AHU-2 @ LCET Estimated Cost: \$7,740 Revised Cost: \$ Awarded Amount: \$524	524	0	0	0
	Start / Finish: December 09 / June 11			
RLC Maintenance Summary	Total Estimated Cost: \$4,972,883	Total Revised Cost: \$0	Total Awarded Amount: \$1,361,207	

Richland College SAR	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) 84 Store front doors Estimated Cost: \$231,911 Revised Cost: \$ Awarded Amount: \$231,911	42,411	189,500	0	0
Start / Finish: February 08 / TBD				
2) Roof Restoration Estimated Cost: \$492,115 Revised Cost: \$ Awarded Amount: \$492,115	33,115	459,000	0	0
Start / Finish: May 08 / January 10				
3) Sink Hole at South End of Lake Estimated Cost: \$2,004,286 Revised Cost: \$ Awarded Amount: \$140,300	140,300	0	0	0
Start / Finish: October 08 / January 10				
4) Sidewalk & Ramp Reconstruction Estimated Cost: \$444,571 Revised Cost: \$ Awarded Amount: \$31,120	31,120	0	0	0
Start / Finish: December 08 / June 11				

5) Magnetic Locks on Interior Estimated Cost: \$250,000 Revised Cost: \$ Awarded Amount: \$17,500	17,500	0	0	0
	Start / Finish: November 08 / August 10			
6) Portable Bldgs. Structural beams Estimated Cost: \$167,429 Revised Cost: \$ Awarded Amount: \$11,720	11,720	0	0	0
	Start / Finish: April 09 / TBD			
RLC SAR Summary	Total Estimated Cost: \$3,590,312	Total Revised Cost: \$0	Total Awarded Amount: \$924,666	

District Service Center Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Replace underground roof drain line on North Wall Estimated Cost: \$44,832 Revised Cost: \$ Awarded Amount: \$4,232	2,996	0	1,236	0
Start / Finish: December 08 / May 10				
2) Seal & Redo Parking Lots @ DSC Estimated Cost: \$221,160 Revised Cost: \$ Awarded Amount: \$21,160	14,980	0	6,180	0
Start / Finish: December 08 / May 10				
3) Upgrading the Administrative cabling infrastructure District Wide Estimated Cost: \$5,062,857 Revised Cost: \$ Awarded Amount: \$354,400	354,400	0	0	0
Start / Finish: October 07 / TBD				

District Service Center Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
4) Replace motor, VFD, belts/sheaves, TAB, AHU-6 Purchasing Estimated Cost: \$44,832 Revised Cost: \$ Awarded Amount: \$2,996	2,996	0	0	0
Start / Finish: December 09 / June 11				
5) Refurbish Cooling Tower Estimated Cost: \$44,232 Revised Cost: \$ Awarded Amount: \$2,996	2,996	0	0	0
Start / Finish: December 09 / June 11				
DSC Maintenance Summary	Total Estimated Cost: \$5,417,913	Total Revised Cost: \$0	Total Awarded Amount: \$385,784	

District Office Maintenance	Awarded \$			
	Architect	Construction	Construction Manager	Misc.
1) Dock Lift	749	0	0	0
Estimated Cost: \$11,058	Start / Finish: December 09 / December 10			
Revised Cost: \$				
Awarded Amount: \$749				
DO Maintenance Summary	Total Estimated Cost: \$11,058	Total Revised Cost: \$0	Total Awarded Amount: \$749	

INFORMATIVE REPORT NO. 37

Discussion of Internal Control by External Auditor with Staff

Grant Thornton audited DCCCD's annual financial statements for fiscal year ended August 31, 2009. In a letter dated December 14, 2009, from Audit Manager Fulya Bayoken to DCCCD Associate Vice Chancellor of Business Affairs Kim Green, Ms. Bayoken wrote: "...we did not note any significant deficiencies or material weaknesses in internal control. As such, we will not issue a formal internal control letter related to this audit. Certain other control deficiencies and other suggestions were communicated to you, Ed, and Pat during our closing meeting on December 4, 2009."

In the Board of Trustees Audit Committee meeting on December 15, 2009, Vice Chair Diana Flores asked to be informed of the "other control deficiencies and suggestions." The topics Grant Thornton brought to the attention of administration are these:

1. Timely removal of user access to critical systems for terminated employees.
2. Reflecting current practice in policy related to stale-dated checks.
3. Complying with written procedures for obtaining approval from appropriate level of administration for overtime.
4. Complying with written procedures for obtaining approval for overtime before time is worked.
5. Monitoring for timely final payments to terminated employees.

Policy Reminders

Board policies pertinent to evaluating audited annual financial statements include the following:

The Board shall be responsible for the preparation of an annual financial statement showing total receipts from each fund, itemized according to source (i.e., taxes, grants of state money, gifts); showing total disbursements, itemized according to nature of expenditures; and showing the balance on hand in each fund at the close of the fiscal year. CDA (LEGAL), Local Gov't Code 140.005

The Board has the legal power and duty to: ...

8: Have the accounts audited in accordance with the approved financial reporting system. BAA (LEGAL), ANNUAL AUDIT, Education Code 61.065

9: Submit the required annual reports to the governor and comptroller. BAA (LEGAL), ANNUAL AUDIT, Gov't Code 403.013

...The annual audit of all funds shall be made to determine:

1. *The adequacy of the Board's fiscal policies.*
2. *The execution of those fiscal policies.*
3. *A check and review of the District's fiscal actions for the preceding year.*

A comprehensive audit of all funds and accounts of the District including a management letter shall be submitted annually to the Board. A copy of the independent audit shall become a part of the Board's official minutes and shall be available to the public for inspection during regular office hours. CDC (LOCAL)

The College District shall submit its audited annual financial report to the Coordinating Board by January 1st of each year. CDA (LEGAL), 19 TAC 13.62

Note: (LEGAL) denotes the subject is regulated by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

INFORMATIVE REPORT NO. 38

Distribution of Annual Audited Financial Statements

In the Board of Trustees Audit Committee meeting on December 15, 2009, Committee Chair Charletta Compton asked to know distribution of the auditor's reports and financial statements. In addition to being published on the Internet at www.dcccd.edu, the documents are sent to the following:

Comptroller of Public Accounts
Fund Accounting Division
Financial Reporting Section
P.O. Box 13528
Austin, TX 78711-3528

House Appropriations Committee
P.O. Box 2910
Capitol Station
Austin, TX 78769-2910

Mr. John Keel
State Auditor's Office
P.O. Box 12067
Austin, TX 78711

Mr. Jim Pinkard
Texas Higher Education Coordinating
Board
Finance and Resource Planning
P.O. Box 12788
Austin, TX 78711

Federal Audit Clearinghouse
Bureau of the Census
1201 E. 10th St
Jeffersonville, IN 47132

Mr. Rey Garcia
Texas Association of Community
Colleges
1304 San Antonio, Suite 201
Austin, TX 78701

Senate Finance Committee
P.O. Box 12068
Capitol Station
Austin, TX 78711

Legislative Budget Board
P.O. Box 126666
Capitol Station
Austin, TX 78711

Governor's Budget & Planning Office
Office of the Governor
P.O. Box 12428
Austin, TX 78711

Legislative Reference Library
P.O. Box 12488
Capitol Station
Austin, TX 78711

Office of the Treasurer
P.O. Box 12608
Capitol Station
Austin, Texas 78711

Mr. Gilbert Martinez
Director of Audit Resolution
101 East 15th Street, Room 242T
Austin, TX 78778

Laura Slaughter, Executive Director
Municipal Advisory Council of Texas
P.O. Box 2177
Austin, TX 78768-2177

Standard & Poor's
Corporate & Government Services
State and Local Government Group
55 Water Street, 38th Floor
Mailbox 38-1-10
New York, NY 10041-0003

Moody's Investors Service
Mr. Travis Lovell
Moody's Investors Service, Inc.
7 World Trade Center at 250
Greenwich Street
23rd Floor
New York, NY 10007

Daniel C. Champeau, Managing
Director
Public Finance
Fitch Ratings
One State Street Plaza
New York, NY 10004

U.S. Department of Education
Office of Postsecondary Education
Institutional Participation and
Oversight Service
Institutional Monitoring Division
Audit Resolution Branch
600 Independence Avenue, SW
ROB 3, Room 3919
Washington, DC 20202-5430

Mr. Larry Oxendine, Director
Federal TRIO Programs
U.S. Department of Education
1990 K. Street, N.W. RM 7058
Washington, DC 20007

Ms. Gail Robinson
AACC
One Dupont Circle Nw
Suite 410
Washington, DC 20036

Ms. Maria Colgero
Dun & Bradstreet Operations
P.O. Box 75542
Chicago, IL 606075-5542

Mike Wilson
JP Morgan Chase Bank
Commercial Banking
TX1-1239
P.O. Box 2050
Fort Worth, TX 76113

Public Finance Surveillance
Fitch Ratings
Attn: Feron Leonard
One State Street Plaza
New York, NY 10004

Ms. Vicky Norton
Program Officer
U.S. Small Business Administration
Dallas District Office
4300 Amon Carter Blvd. Ste 114
Fort Worth, TX 76155

W. Boone Fields
Workforce Contract Management
Texas Workforce Commission
101 E. 15th Street, Room 424-T
Austin, TX 78778-001

Carl R. Peed, Director
U. S. Department of Justice
COPS Universal Hiring Program
1100 Vermont Avenue, NW
Washington, DC 20530

Janice E. Perry
U.S. Department of Labor
Employment and Training
Administration
200 Constitutional Avenue, N.W.

General Services Commission
State Energy Conservation Office
Thomas J. Rusk State Office Building
208 East 10th Street, Suite 206
Austin, TX 78701-3047

U.S. Department of Health and Human
Services
Attn: Diane Coger
Health Resource and Services
Administration
Parklawn Building
5600 Fishers Ln., Room 13A-19
Rockville, MD 20857

Collin County Community College
District
Attn: Helen Sullivan
NSF Grant Director
North Texas Regional Technologies
Center Consortium
9700 Wade Blvd.
Frisco, Texas 75035

The University of Texas at Austin
Attn: Wayne K. Kuenstler
Director, Sponsored Projects
P.O. Box 7726
Austin, Texas 78713

U.S. Department of Education
Office of Postsecondary Education
1990 K Street, N.W., 6th Floor
Washington, DC 20006

Division of Discretionary Grants
Office of Grants Management OA
Administration for Children and
Families
Aerospace Building, 8th Floor West
370 L'Enfant Promenade, SW
Washington, DC 20447

Washington, D.C. 20210
Northeast Texas Community College
Attn: Judy Traylor
P.O. Box 1307
Mt. Pleasant, Texas 75456

Texas Department of Human Services
Attn: Sandra Galnor
Special Nutrition Programs
Financial Services
P.O. Box 149030
Mail Code Y-906
Austin, Texas 78714-9030

National Science Foundation
Attn: Larry Fuqua
4201 Wilson Blvd.
Arlington, Virginia 22230

Work Source for Dallas
Attn: John Durcell, CFO
1201 Main Street, Suite 2700
Dallas, Texas 75202

U.S. Department of Agriculture
Attn: Patricia E. Healy
Deputy CFO
1400 Independence Avenue, SW
Whitten, Bldg., Room 143W
Washington, DC 20250

Head Start of Greater Dallas, Inc.
Attn: Tabatha Reed
3954 Gannon Lane
Dallas, TX 75237-2919

Ms. Joyce Brigham
U.S. Department of Commerce
100 Bureau Dr, MS1650
Gaithersburg, MD 20899

University of Texas at San Antonio
Texas Pre-freshman Enginery Program

Dallas County Auditor's Office
509 Main Street, Suite 407
Dallas, Texas 75202

North Central Texas Council of
Governments
North Central Texas Workforce
Attn: Dana Buckholt, Grants &
Contracts Administrator
616 Six Flags Drive, Suite 200, Center
Point Two
P.O. Box 5888 (76005-5888)
Arlington, Texas 46011

Letitia Hamilton
Contracts & Grants
Department of Controller
Stephen F Austin State University
P.O. Box 13035, SFA Station
Nacogdoches, Texas 75962

Texas Education Agency
Division of Discretionary Grants,
Room 6-108
1701 N. Congress Avenue
Austin, TX 78701-1494

Mr. John Warren
Dallas County Clerk
Records Building
509 W. Main St
Dallas, TX 75202

Mr. J. Kent Stone
National Manager Customer Relations
Dun and Bradstreet
899 Eaton Avenue
Bethlehem, PA 18025-0016

SACS

501 W. Durango Blvd.
San Antonio, Texas 78207-4415

John Bryant
c/o Grants/Contracts Dept. m/c 1116F
Houston Community College System
3100 Main, P.O. Box 667517
Houston, Texas 77266-7517

Hector Gonzalez
Dean of Instructional Service and
Chief Financial Officer
Southwest Texas Junior College
2401 Garner Field Road
Uvalde, Texas 78801

Jay B. Guthrie
Coordinator of Grants and Contracts
The University of Tulsa
Office of Research and Sponsored
Programs
600 South College Avenue
Tulsa, Oklahoma 74101-3189

Abelardo Villarreal, Ph.D., Division
Director
Division of Professional Development
Intercultural Development Research
Association
5835 Callaghan Rd, Suite 350
San Antonio, TX 78228-1190

Ms. Fay Sanders, Editor
MERGENT
5250 77 Center Drive, Suite 150
Charlotte, N.C. 28217-0706

Rita Chase, Financial Audits
Texas Education Agency
1701 N. Congress Avenue
Austin, TX 78701

Policy Reminders

Board policies pertinent to evaluating distribution of audited annual financial statements include the following:

The Board has the legal power and duty to: ...

8: Have the accounts audited in accordance with the approved financial reporting system. BAA (LEGAL), ANNUAL AUDIT, Education Code 61.065

9: Submit the required annual reports to the governor and comptroller. BAA (LEGAL), ANNUAL AUDIT, Gov't Code 403.013

...The annual audit of all funds shall be made to determine:

4. The adequacy of the Board's fiscal policies.

5. The execution of those fiscal policies.

6. A check and review of the District's fiscal actions for the preceding year.

A comprehensive audit of all funds and accounts of the District including a management letter shall be submitted annually to the Board. A copy of the independent audit shall become a part of the Board's official minutes and shall be available to the public for inspection during regular office hours. CDC (LOCAL)

The College District shall submit its audited annual financial report to the Coordinating Board by January 1st of each year. CDA (LEGAL), 19 TAC 13.62

Note: (LEGAL) denotes the subject is regulated by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

INFORMATIVE REPORT NO. 39

Designated Fund Balance

In the Board of Trustees Planning & Budget Committee meeting on November 17, 2009, Board Chair Jerry Prater asked, “How much of fund balance is designated, i.e., not available if it were needed for an emergency?”

The amount recorded in the general ledger as designated in the unrestricted fund balance is \$4,483,629.56, for the following purposes: \$4,000,000 for legal liability, \$177,181.12 for worker’s compensation, \$197,187.73 for unemployment insurance, \$9,260.71 for automobile insurance, and \$100,000 for insurance loss.

The amount recorded in the general ledger as designated in the auxiliary fund balance is \$1,700 for art loss at the following colleges: \$300 each for Eastfield, El Centro, Mountain View, North Lake, and Richland Colleges, and \$200 for Cedar Valley College.

These designations first appeared in the general ledger during the period 1975 – 1987. Each is presumed to have been designated by official action of the Board of Trustees. (For purposes of this report, staff did not go into archived reports to retrieve the details of each designation.)

Unrestricted and auxiliary fund balance consists of unspent funds when the fiscal year ends. For example, if \$10 were budgeted but only \$9 used, the remaining \$1 would “roll into” fund balance. For about 30 years, DCCCD chancellors have assigned what remains of each college budget allocation at year-end to the college. Contingent on explicit approval by the Board of Trustees, the so-called “college fund balance” may be spent for non-operating purposes at the college. Former Chancellor Jan LeCroy instituted the practice to temper use-or-lose spending by enabling presidents to retain unspent funds. Presidents have plans for how to use the fund balances that have accumulated for their colleges, and in that respect, they may be thought of as “designated.” However, the only officially designated fund balance amounts are those named above. All else is available to the Board to draw upon in case of financial exigency.

Unrestricted and auxiliary fund balances as of August 31, 2009, were \$165,108,432, which is equivalent to 6.5 months of operating expenses and which includes designations of approximately \$4.5 million as delineated above.

Policy Reminders

Board policy pertinent to evaluating designated fund balance include the following:

The guidelines for management of college district funds are as follows:...

4. The College District will maintain a prudent amount of undesignated fund balance – equivalent to not less than four and not more than six months of operating expenses – to ensure continuity in case of catastrophic loss and to maintain the most favorable credit ratings for financing debt. BAA (LOCAL),
BOARD POWERS, DUTIES, RESPONSIBILITIES: MANAGEMENT OF COLLEGE DISTRICT FUNDS

Note: (LEGAL) denotes the subject is regulated by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

INFORMATIVE REPORT NO. 40

Organizational Structure and Staffing Patterns

In the Board of Trustees Planning & Budget Committee meeting on November 17, 2009, Board Vice Chair Diana Flores asked, “Can the Board be given objectives or anticipated outcomes for centralizing various functions rather than ‘just doing it to do it’?”

The question arises two years into the most severe economic recession since the 1930s, i.e., when resources are more constrained and enrollments are increasing faster than the norm, and when the chancellor has initiated an examination of whether certain administrative functions can be accomplished more cost-effectively by re-configuring work flows and related personnel. The administrative functions to be examined are human resources, marketing, and resource development.

At this early stage of study, only the most obvious driving and restraining forces have surfaced. There has not yet been any real analysis to identify which specific work flows within the functions may be amenable to re-configuration, or what the consequences of doing so might be.

The chancellor would desire a year for assembling and interpreting information, consulting with employees and advisors, and reaching conclusions. If economic conditions deteriorate more quickly than currently predicted, especially if the State were to impose a rescission such as was done in 2003, then decisions may have to be made earlier in the process.

The chancellor has authority to institute changes that make better and more complete use of public and private funds within the boundaries of the Board policy for providing direction, paragraph 3.g., printed below. All such changes shall be for the purpose of advancing DCCCD’s mission and goals, as set forth by the Board of Trustees.

Policy Reminders

Board policy pertinent to evaluating organizational structure and staffing patterns include the following:

The Board’s governance guidelines are as follows:

- 1. The Board’s major focus will be on the intended long-term impacts that the organization addresses, i.e., increasing educational attainment, not on the administrative or programmatic means of attaining them. BAA (LOCAL), BOARD POWERS, DUTIES, RESPONSIBILITIES:*

GOVERNANCE

3. *The Chancellor's authority is defined by the following rules, enacted by the Board, as prudent and ethical boundaries for executive activity and decision making. The Board expects that the Chancellor will apply reasonable interpretations of the rules, understanding that in cases of disagreement, the Board is the final arbiter of reasonableness...*

g. Adopt cost-effective practices that make the best and most complete use of public and private funds entrusted to the College District. The Board recognizes this may involve reassigning duties from the College District to college staffs, or vice-versa, depending on the nature of the work and current conditions. BAA (LOCAL), BOARD POWERS, DUTIES, RESPONSIBILITIES: PROVIDE DIRECTION

Note: (LEGAL) denotes the subject is regulated by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

INFORMATIVE REPORT NO. 41

Central Administration and Educational Program Review

In the Board of Trustees Planning & Budget Committee meeting on November 17, 2009, Vice Chair Diana Flores asked, “Is central administration evaluated in a manner comparable to educational program review at the colleges?”

Central administration is not evaluated in a manner comparable to educational program review. The requirement DCCCD’s Board places on the chancellor for evaluating central administration is contained in its policies that address cost-effectiveness and highest and best use of public funds. Examples are cited later in this report.

Texas Higher Education Coordinating Board (THECB) regulates certain aspects of educational program evaluation, as indicated in other policies that are also cited later in this report. Local policies adopted by DCCCD’s Board of Trustees reinforce the State’s requirements for demonstrating quality and relevance.

There is a recent development in the arena of THECB regulations. On December 8, 2009, THECB Assistant Commissioner MacGregor Stephenson addressed a memorandum to presidents and chief instructional officers of community, state, and technical colleges concerning new policies and procedures for degree and certificate program approval and review. Dr. Stephenson related actions taken by the Coordinating Board in October 2009, including this paragraph concerning review of existing programs:

“The rules adopted by the [Coordinating] Board also include a directive to staff ‘to develop a process for the periodic review of existing degree programs.’ A review process will likely include both quantitative and qualitative measures of a program’s quality and effectiveness. The Undergraduate Education Advisory Committee has recently made recommendations on measures that could be used in a review of undergraduate programs. We will keep you updated as progress is made.”

DCCCD Board members may be interested to know that nine of 22 members of the Undergraduate Education Advisory Committee are from community colleges, including Dr. Tommy Thompson, Professor & Coordinator of Mathematics at DCCCD’s Cedar Valley College. DCCCD Board members may also be interested to know that college vice presidents for instruction met December 16, 2009, for their first examination of THECB’s new rules.

Policy Reminders

Board policies pertinent to evaluating central administration include the following:

The Board's major focus will be on the intended long-term impacts that the organization addresses, i.e., increasing educational attainment, not on the administrative or programmatic means of attaining them. BAA (LOCAL), BOARD POWERS, DUTIES, RESPONSIBILITIES: GOVERNANCE

In the execution of his or her duties, the Chancellor must: ...

g. Adopt cost-effective practices that make the best and most complete use of public and private funds entrusted to the College District. The Board recognizes this may involve reassigning duties from the College District to college staffs, or vice-versa, depending on the nature of the work and current conditions. BAA (LOCAL), BOARD POWERS, DUTIES, RESPONSIBILITIES: PROVIDE DIRECTION

The guidelines for management of College District funds are as follows: ...

5. The Board holds the Chancellor responsible for allocating the College District's resources, financial and other, in ways that provide the best return to the public in the form of educational opportunities that are of the highest quality and relevance. BAA (LOCAL), BOARD POWERS, DUTIES, RESPONSIBILITIES: MANAGEMENT OF COLLEGE DISTRICT FUNDS

Board policies pertinent to evaluating educational programs include the following:

The specific responsibilities of the Board are as follows: ...

7. The Board believes that the College District serves students best by ensuring that the education provided is of the highest quality and relevance. BAA (LOCAL), BOARD POWERS, DUTIES, RESPONSIBILITIES: SPECIFIC RESPONSIBILITIES

The guidelines for management of College District funds are as follows: ...

4. To ensure that public funds are put to their highest and best use in pursuit of the College District's purposes and goals, the Board's policy is to discontinue educational programs that are under-performing based on the Texas Higher Education Coordinating Board's evaluation criteria. BAA (LOCAL), BOARD POWERS, DUTIES, RESPONSIBILITIES: MANAGEMENT OF COLLEGE

DISTRICT FUNDS

Each college shall review and evaluate the college's core curriculum every ten years on the schedule that accords with the institution's accreditation reaffirmation self-study report to the Southern Association of Colleges and Schools, or its successor, and report the results of that evaluation to the Coordinating Board. The evaluation should include: ...

4. The extent to which the College District's educational goals and the exemplary educational objectives of the core curriculum recommended by the Coordinating Board are being achieved. EFAA (LEGAL), EVALUATION OF CORE CURRICULA, Education Code 61.824; 19 TAC 4.30

Each college must review and evaluate its procedures for complying with field of study curricula at intervals specified by the Coordinating Board and shall report the results of that review to the Coordinating Board. These reports shall be submitted following the same timetable as the regular reports of core curriculum evaluations. EFAA (LEGAL), EVALUATION OF CORE CURRICULA, Education Code 61.824; 19 TAC 4.30

In addition to legal and local policies cited above, the chancellor has established guidelines for discontinuing technical and vocational programs. These are contained in the DCCCD's Board Policy Manual, chapter EFAB (REGULATION), and include the following:

4. In certain instances it may become necessary to consider the phase-out of a program. If a college is contemplating deletion of a program, redesigning/ updating and/or relocation of the program may become a feasible alternative. The phase-out of a program usually occurs after consideration of a combination of the following factors:

- a. A history of low student enrollment.*
- b. Limited job opportunities.*
- c. Long-term projections that reveal a declining need for this training.*
- d. The College's need to expand existing programs or develop new programs based on more pressing training needs.*
- e. Excessive program costs. (pp. 1-2 of 9)*

If a college wishes to consider the deletion/phase out of a program, the College President will notify the vice-chancellor of educational affairs (VCEA). The VCEA will charge appropriate College District staff to initiate the exploration process as follows:

1.The assistant director of program development will initiate exploration involving appropriate college and College District staff. Information collected during this exploration should be an update on the existing program and may include: program costs; specific labor force needs; local business and industry input; proximity of potential employers; availability of interested students, articulation responsibilities; and descriptions of similar existing programs, both locally and statewide. The sources of this information will generally include:

- a. Survey of local employers.*
- b. Task force composed of representatives of the occupation being explored, including existing program advisory committee members.*
- c. Review of existing data and surveys of professional organizations, chambers of commerce, Texas Employment Commissions, explorer career interest survey, DCCCD enrollment patterns, related noncredit enrollment, trade associations, and the like.*

2.A task force meeting may be held to include representatives of the occupation being explored, interested faculty, division chairs, career and continuing education deans, and others. The purpose of this meeting will be to review the survey results and other data collected.

3.The CCE [career and continuing education] council will review all data gathered during the exploration. After this review, the CCE council will consider program relocation and then will make a recommendation regarding the need for program deletion/phase out. The recommendation will go to the VPI [vice presidents of instruction] council for approval and to the executive cabinet [chancellor's staff] for approval.

If the councils and cabinet recommend/approve that a program be discontinued, the following factors will be addressed by appropriate college and College District staff:

- 1.Accommodation of students currently in the program.*
- 2.Articulation agreements discontinued.*
- 3.Faculty retraining/reassignment.*
- 4.Disposition of equipment and facilities of the program.*

Note: (LEGAL) denotes the subject is regulated by federal or state authority. (LOCAL) denotes a policy that DCCCD's Board of Trustees has adopted and may amend or eliminate at its discretion.

INFORMATIVE REPORT NO. 42

Gilliam Collegiate Academy

In the Board of Trustees regular business meeting on November 3, 2009, Vice Chair Diana Flores asked to know when the Cedar Valley Early College High School associated with Dallas ISD, recently named Kathlyn Joy Gilliam Collegiate Academy, will move from Nolan Estes Educational Plaza to new facilities.

According to Principal Gayle Smith, the new campus for Gilliam Collegiate Academy is projected to open in Fall 2011, in time for start of the 2011-12 school year. The campus will be on Camp Wisdom Road, northeast of UNT-Dallas main building.

Policy Reminders

None related to this report.

Duties and Ethics of Public Sector Board Members under Texas Law

General Authority and Duties

1. ***Committee Authority*** - Board committees (Curriculum, Finance and Audit, Planning and Construction, Rules and Regulations, Diversity Enhancement, and Local Committee for each component) represent the Board and act on its behalf in preparation for Board meetings. They have authority to report and recommend policy initiatives to the Board.
2. ***Duty of Loyalty*** - A Board member must be responsible and loyal to the interests of the Texas State University System and its components. Members do not represent any other constituency, person, or entity that conflicts with those interests.
3. ***Duty of Unity*** - No single member of the Board may speak for or otherwise bind the Board, unless authorized to do so by vote of a majority of the Board pursuant to an action taken in open or public session at a duly-constituted meeting of the Board.
4. ***Duties of the Chair*** - The Chair may speak for and represent the Board under a general grant of authority but may not take positions he or she knows to be contrary to Board policy, unless first authorized to do so by the Board.

Conduct at Board Meetings

5. ***Right to Speak*** - A Board member has the right to state his or her views, opinions, positions, and recommendations but should do so professionally and respectfully in the procedural manner established or directed by the Chair.
6. ***Duty to Listen*** - A Board member should listen respectfully to the views, opinions, positions, and recommendations of others, even those with whom he or she disagrees.
7. ***Duty to Respect Board Decisions*** - A Board member should abide by and not subvert lawfully-taken Board decisions. If, as a matter of principle, a Board member must articulate a minority opinion, he or she may do; however, such opinion should be announced to the Board through the Chair, who may call upon the dissenting Board member to articulate his or her opinion. In any case, the Board member must refrain from subverting or otherwise derogating to outside parties lawfully taken Board decisions.
8. ***Duty of Confidentiality*** - A Board member may not report the Board's executive session discussions, deliberations, or statements of Board members (including his or hers) to any third party without the Board's permission.

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Conflicts of Interest

9. ***Gifts and Bribes*** - A Board member may not accept or solicit any gift, favor or service that might reasonably tend to influence him or her in the discharge of official duties or that he or she knows or should know is being offered with the intent to influence his or her official conduct. Nor should he or she knowingly solicit, accept or agree to accept any benefit for exercising or having exercised his or her official powers or duties in favor of another.
10. ***Business or Professional Activities*** - A Board member may not engage in employment or in business or professional activities that might reasonably require or induce him or her to disclose confidential information acquired by virtue of his or her official position.
11. ***Employment*** - A Board member may not accept employment or compensation that could reasonably be expected to impair his or her independence of judgment in the performance of his or her official duties.
12. ***Investments*** - A Board member may not make personal investments that could reasonably be expected to create a substantial conflict between his or her private interest and the Board's interest; nor, may he or her usurp an opportunity of the Board to profit or benefit from any person or entity.

Texas Government Code, Chapter 572.051; System Rules and Regulations, Chapter VIII, Paragraph 3.1.

Relationships with Chancellor, Staff and Presidents

13. ***Executive's Role*** - A Board member must respect the role of the Chancellor as the chief executive officer for the System and the Chancellor's charge to carry out policy set by the Board. While the Chancellor must be responsive to Board members, he is not obligated to honor policy initiatives set by individual Board members that are not authorized by the Board.
14. ***Staff and Presidents*** - Board members customarily should not deal directly with System Administrative Office staff and presidents, except through specific or general grant of authority from the Chancellor and should expect staff and presidents to keep the Chancellor informed of such conversations.
15. ***Local and Other Committees*** - Board members should timely inform Chancellor and the Chair of scheduled meetings of such committees and keep them informed of the proceedings of meetings the Chancellor or Chair are unable to attend.
16. ***Supervision*** - The Chancellor reports to the Chair day-to-day and to the Board. The relationship should be collegial and professional.

Policy Reminders

The specific responsibilities of the Board are as follows: ...

- 7. The Board intends that the College District, in the awarding of contracts for goods and services, shall make competitive opportunities available to all prospective suppliers including but not limited to new businesses, small businesses, and minority- and woman-owned business enterprises (M/WBEs). BAA (LOCAL), POWERS, DUTIES, RESPONSIBILITIES: SPECIFIC RESPONSIBILITIES*

In awarding a contract, the College District shall consider:

- 1. Purchase price.*
- 2. The reputation of the vendor and of the vendor's goods and services.*
- 3. The quality of the vendor's goods or services.*
- 4. The extent to which the goods or services meet the College District's needs.*
- 5. The vendor's past relationship with the College District.*
- 6. The impact on the ability of the College District to comply with laws relating to historically underutilized businesses.*
- 7. The total long-term cost to the College District to acquire the goods or services.*
- 8. Any other relevant factor specifically listed in the request for bids or proposals.*

The factors listed above are the only criteria that may be considered by the College District in its decision to award a contract. The College District may apply one, some, or all of the criteria, but it may not completely ignore them. CF (LEGAL), PURCHASING AND ACQUISITION: FACTORS, R.G.V. Vending v. Weslaco Indep. Sch. Dist. 995 S.W.2d 897 (Tex. Appt.—Corpus Christi 1999, no pet.).

(Tab 3) RECOMMENDATION FOR AWARD – RFP NO. 11592
CUSTODIAL SERVICES
PRICE AGREEMENT, DISTRICT-WIDE
JANUARY 1, 2010 THROUGH DECEMBER 31, 2017

RESPONSE: Of 38 companies that attended the mandatory prebid meeting, 21 proposals were received as per the attached list.

COMPARISON OF FINALIST PROPOSALS:

	<u>monthly rate</u>
GCA Services Group-Education Division	\$451,516.47
Member's Building Maintenance, Ltd.	\$455,603.94
SSC Service Solutions	\$495,082.24
Defender Services, Inc.	\$595,170.18

RECOMMENDATION FOR AWARD:

GCA SERVICES GROUP-EDUCATION DIVISION	(7-year base cost) \$37,927,383.48
--	---------------------------------------

LOWEST OVERALL AND BEST PROPOSAL.

COMMENTS: This recommendation is the culmination of approximately six months' work by a project team comprised of representatives from each of the Facilities Services Departments plus the Purchasing Department. An RFP was developed with uniform performance-based specifications, staffing models, and the requirement that participants base their charges on a flat rate per month for each of the district's twenty locations.

An evaluation process similar to that used to select professional service providers was used to assess the responses. Under this process the initial focus was to identify the most competent, capable, and reliable candidates. Pricing was not taken into consideration until the finalists were selected.

The evaluation process began with rating and ranking the responses to identify, in the professional opinion of the evaluators, those deemed to be the most qualified based on competency, experience, capability, and staffing levels, as well as completeness and quality of information that the RFP required to be submitted by each company.

Nine companies were selected as semifinalists for interviews and to make presentations to the project team. After the interviews, the project team rated and ranked the nine semifinalists and the four with the highest ratings were selected as finalists. At this stage, the assessment information included the results of reference checks that were made on each semifinalist.

The project team conducted in-depth interviews with the four finalists to discuss their proposed staffing, work hours, supplies, equipment, and financial factors. At the conclusion of the interviews, each project team representative, in conjunction with their respective business officers, made the determination as to which of the four finalists would be the best fit, provide the best service, and be the most cost effective contractor for their particular location.

The monthly rate for the recommended contractor is the base amount that will apply when all existing and new facilities are in use. During the contract term, the monthly rates may decrease or increase because of factors that include all or part of a facility being taken out of service for repairs or renovations, changes in the use of facilities, or changes in enrollment which impact staffing levels. The cost of any adjustment will be as mutually agreed on a case-by-case basis using established labor rates as stated in the contract.

In order to help assure a stable workforce, the base monthly rates may be increased on the annual anniversary of the contract by an amount not to exceed the change in the U.S. Department of Labor's Regional Consumer Price Index, up to a maximum of five percent. In such cases, the contractor must give its employees a pay raise equal to the approved percentage of increase.

In addition to the base monthly rates, administration recommends a contingency of approximately 5% or \$2,000,000 of the base cost to cover out-of-scope services such as setup and extra cleaning for events and extra-curricular activities.

In the event one of the present service providers fails to provide services through December 31, 2009, administration recommends that the executive vice chancellor be authorized to approve early commencement of the new service by the recommended contractor.

So as not to disrupt routine operations, it is further recommended that SSC Services Solutions be approved as a secondary or standby provider of custodial services if/when circumstances warrant termination of the primary contractor at any district location. Member's Building Maintenance, Ltd. Is not recommended as a secondary provider because, in the final stage of the evaluation process, major discrepancies were discovered in proposed staffing and the associated cost of services.

Administration further recommends the district director of purchasing be authorized to execute contracts for this project.

List of Respondents:

American Commercial Building Maintenance Services, LLC.
Andrews Building Services, Inc.
Combined Services of Dallas, Inc.
Commercial Building Maintenance, Inc.
CTJ Maintenance, Inc.
D & A Building Services
Defender Services, Inc.
Flagship Facility Services, Inc.
GCA Services Group-Education Division
ISS Facility Services
Hampton Janitorial Service
Make Ready Plus, LLC.
Marcis & Associates, Inc.
McLemore Building Maintenance, Inc.
Member's Building Maintenance, Ltd.
Oriental Building Services, Inc.
Pritchard Industries Southwest, Inc.
ServiceMaster by Eagle Maintenance Company, Inc.

SSC Service Solutions
UGL-Unnico
Varsity Contractors, Inc.